Development of *in situ* characterization techniques in molecular beam epitaxy

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Abstract: *Ex situ* characterization techniques in molecular beam epitaxy (MBE) have inherent limitations, such as being prone to sample contamination and unstable surfaces during sample transfer from the MBE chamber. In recent years, the need for improved accuracy and reliability in measurement has driven the increasing adoption of *in situ* characterization techniques. These techniques, such as reflection high-energy electron diffraction, scanning tunneling microscopy, and X-ray photoelectron spectroscopy, allow direct observation of film growth processes in real time without exposing the sample to air, hence offering insights into the growth mechanisms of epitaxial films with controlled properties. By combining multiple *in situ* characterization techniques properties and extensive applications. This review aims to overview the benefits and achievements of *in situ* characterization techniques regarding their challenges and potential solutions, particularly highlighting the assistance of machine learning to correlate *in situ* characterization with other material information, we hope to provide a guideline for future efforts in the development of novel monitoring and control schemes for MBE growth processes with improved material properties.

Key words: epitaxial growth; thin film; in situ characterization; molecular beam epitaxy (MBE)

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1. Introduction

Molecular beam epitaxy (MBE) is a versatile and powerful technique that has revolutionized material synthesis and design. It allows researchers to engineer materials with tailored properties for applications in diverse fields, such as photonics, energy conversion, and catalysis, by providing a high degree of control over the composition, structure, and properties of the films^[1–5]. During MBE growth, the substrate and evaporation sources can be regulated, allowing for the generation of atomic or molecular beams that travel nearly straight towards the substrate surface. These beams are slowly deposited on the heated substrate, forming highly crystalline and atomically smooth thin-film layers^[6, 7]. Compared with traditional film growth methods, MBE enables atomic-scale control and offers several key advantages, such as the ability to grow at relatively low temperatures, precise control over parameters, and an ultra-high vacuum environment, facilitating the production of large-area materials with minimal defects and outstanding performance^[8-12]. MBE also pro-

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vides a platform for systematic studies of the structure-property relationship in materials, laying the foundation for the development of materials for various applications^[13–16].

There are, however, several outstanding issues that have not been adequately addressed in MBE. On the one hand, many related factors interact to determine the growth guality and even a tiny deviation can lead to the formation of various defects^[17, 18]. As an example, the growth temperature plays a crucial role in regulating the atoms' adhesion coefficient, adsorption, and desorption capabilities. To improve growth quality, high-temperature growth is utilized to effectively reduce bulk defects and unwanted impurities by promoting step-flow growth. However, the excessive temperature variation introduced in the growth process can also have a negative impact on the film quality^[19-22]. Therefore, it is necessary to find the optimal balance between different parameters. On the other hand, the instability of material growth environment can also cause other unknown effects. For instance, the traditional thermocouple-based temperature monitoring, which typically has a significant deviation from the actual temperatures, cannot ensure excellent stability for temperature control, thus increasing the difficulties for optimizing the growth parameters^[19, 23-25].

Advanced characterization technologies, such as X-ray diffraction (XRD), Raman spectroscopy (RS), and photoelec-

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tron spectroscopy (PES), have been incorporated into MBE to gain insight into material growth mechanisms and optimizing growth parameters. These characterization techniques can be classified into two categories: ex situ and in situ, in which choosing suitable characterization techniques plays a crucial role in improving material quality^[26-28]. Although ex Received 7 JULY 2023; Revised 5 AUGUST 2023. Characterization is currently predominantly employed, it has several inherent limitations. For instance, ex situ characterization normally requires transferring the sample from the MBE chamber, resulting in the exposure of the thin films to the atmosphere, the formation of an oxide layer, and the contamination of surface, thereby compromising the characterization results and leading to incorrect guidelines about the material growth conditions. Therefore, the information provided by exsitu characterization may not accurately reflect the true structure and properties of the material in its original environment^[29-31]. Additionally, traditional ex situ measurement methods may leave surface imprints, which can be challenging to remove, degrading the surface guality. As an example, the research on the instability of bismuth (Bi) on substrate surfaces in Vrich environments has shown that ex situ characterization techniques may yield inaccurate results due to changes of the vacuum environment, while in situ characterization can effectively address these challenges by preserving the vacuum conditions^[32].

Thus, with careful consideration to minimize the environmental impact on the samples during characterization, in situ characterization techniques have been widely applied, offering a range of capabilities beyond those of ex situ characterization^[32]. In situ characterization allows for accurate material characterization in their original environment, tracking dynamic material changes in structure, morphology, and reaction kinetics, and providing rapid characterization results, typically in real time^[25]. By enabling in situ monitoring of the material and subsequent characterization without exposing the sample to air, in situ techniques can eliminate unwanted stoichiometry impacts, ensuring that films are not subjected to active contaminants present during ex situ characterization^[33, 34]. Although most contaminants can be removed by moderate heating, ex situ characterization equipment often lacks such heating capabilities. Moreover, in addition to avoiding issues caused by sample transfer, in situ characterization is typically nondestructive, which is highly desired in material studies^[35-38]. Therefore, in situ characterization techniques hold significant importance in the field of MBE. However, only a few reviews of this topic have been published, either focusing on specific applications, such as sodium-ion batteries, or merely covering a number of selected characterization techniques^[39–41]. Thus, a thorough review of these in situ characterization techniques can contribute to a better understanding of materials growth principles, methodologies, and applications, enabling researchers to make informed choices in selecting the most suitable techniques for specific material systems.

This review is organized as follows. In Section 2, numerous *in situ* characterization techniques are categorized, based on their functionalities and the information to be extracted, into microscopic morphology analysis in Section 2.1, surface properties analysis in Section 2.2, phase analysis in Section 2.3, composition analysis in Section 2.4 and common analysis in Section 2.5. In addition, their applications and advantages are summarized. In Section 2.6, several promising techniques with great potential for future integration with MBE are illustrated. In Section 3, we discussed the limitations of the existing *in situ* characterization techniques and point to some potential routes for future development. Finally, our conclusions are presented in Section 4.

2. In situ characterization techniques in MBE

In situ characterization techniques applied in MBE are reviewed in this section. It is important to note that these characterization techniques, some of which can be utilized for multiple purposes, have been categorized according to their primary use into the following categories: microscopic morphology analysis, surface properties analysis, phase analysis, compositional analysis, and common analysis. We summarize the applications of these *in situ* characterization techniques and evaluate their advantages and disadvantages. The techniques included in this review are displayed in Fig. 1, in which we also listed the potential analysis to be integrated with MBE in the future.

2.1. Microscopic morphology analysis

Microscopic techniques, such as scanning tunneling microscopy (STM), scanning electron microscopes (SEM), atomic force microscopy (AFM), and low-energy electron microscopy (LEEM), can provide a wealth of microscopic surface information. These techniques also enable researchers to gain insight into the evolution of the growth by observing surface changes in materials, with several of them having been integrated with MBE.

2.1.1. Scanning tunneling microscopy (STM)

In situ STM has been used to analyze nucleation and growth kinetics in the early stages of growth. For example, Socha et al. observed the island-like growth pattern of the film through STM and directly determined the correlation between the STM image and the film surface height^[42]. Similarly, Yang et al. used STM to characterize GaN with increasing thickness, discovering that due to different growth gradients throughout the film, a three-dimensional growth mode of GaN in both horizontal and vertical directions was achieved with MBE, which was potentially originated from thread dislocations or strain relaxation during growth^[43]. Kawasaki et al. found that the ErSb/GaSb nanostructures formed via a surface-mediated self-assembly mechanism, through the observation by STM during growth, as shown in Fig. 2^[44]. They explained that the growth occurs entirely during the initial stages and is not a result of diffusion or segregation. Additionally, when studying the growth of graphene on Au(111) surface, Hernández et al. found dendritic islands accumulated at the edge of the atomic step with STM^[45]. Haze *et al*. obtained the local electronic structure around non-magnetic Zn impurities in CeCoIn₅ film by combining STM with MBE, providing a convenient way to study the electronic properties of heavy fermion compounds^[46]. These studies demonstrated the power of *in situ* STM in providing valuable insight into the growth process of semiconductor materials and its potential for optimizing growth parameters.

For the exploration of new materials, Zhang *et al.* leveraged the power of *in situ* characterization techniques, combining low-temperature STM and low-energy electron diffrac-



Fig. 1. (Color online) In situ characterization techniques applied in MBE.



Fig. 2. (Color online) STM images of filled states obtained after sequential depositions at 500 °C: (a) 0.6 ML ErSb on a GaSb (001) surface, followed by (b) 2 ML of GaSb, and then followed by (c) an additional 0.6 ML ErSb. STM images acquired after depositing additional GaSb on the surface shown in (a). (d) 4 ML of GaSb and (e) 10 ML of GaSb. Higher-resolution STM insets (10 nm \times 10 nm) reveal the surface reconstruction of the ErSb sites, with (a–c) showing exposed ErSb and (d-e) showing GaSb coverage over the ErSb sites. Reproduced with permission. Ref. [44] Copyright 2013, American Chemical Society.

tion (LEED), to obtain a phosphorene structure model on Au(111) surface^[27]. This structure was highly consistent with the calculation results of density functional theory (DFT). Cai *et al.* used STM and *ex situ* X-ray photoelectron spectroscopy

(XPS) valence analysis results to prove the successful growth of CeOI thin films^[47]. Similarly, Dávila *et al.* synthesized germanene layers on Au(111) and identified the material by combining STM and LEED observations with DFT calculations, as

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Fig. 3. (Color online) (a) STM image of the modulated honeycomb $\sqrt{7} \times \sqrt{7}$ superstructure with a close-up in the bottom left corner. (b) The LEED pattern of (a). (c) Schematic representation of one-sixth of the pattern, where filled dots represent the hidden (0, 0) spot and integer-order spots, and open circles represent spots corresponding to the $\sqrt{7} \times \sqrt{7}$ superstructure (in red), the $\sqrt{19} \times \sqrt{19}$ superstructure (in green), and the 5 × 5 super-structure (in blue). Reproduced with permission. Ref. [48].



Fig. 4. (Color online) STM image of $c(6 \times 12)$ reconstructions on wurtzite GaN(0001). Reproduced with permission. Ref. [38] Copyright 2014, AIP Publishing.

shown in Fig. 3^[48]. These studies demonstrate the importance and capability of *in situ* characterization techniques of STM in developing and understanding new materials.

Combining in situ STM with other characterization techniques offers a powerful tool for understanding the growth mechanisms and optimizing the quality of materials^[49]. Using spectroscopic imaging and STM, Wu et al. successfully revealed different energy scales of the local state's density and explained the origins of pseudo gap and superconductivity^[50]. By combining STM, reflection high energy electron diffraction (RHEED), and angle-resolved photoelectron spectroscopy (ARPES), Song et al. were able to identify new materials and reveal their properties with atomic-plane resolution^[51]. They determined the optimum temperatures for layer-by-layer growth of Bi₂Se₃ films in Se-rich conditions. When the growth thickness exceeded 10 atomic layers, they also found that the film became a bulk insulator. Lin et al. combined low-temperature superconducting magnets spin-polarized STM with MBE, which allows for high-quality data collection without compromising the vacuum integrity, as shown in Fig. 4, illustrating the reconstructions of GaN^[38].

Much emphasis has been placed on the development of self-assembled quantum dots in recent years. However, the lack of understanding of the precise mechanism of quantum dot self-assembly hampers the effective control of their size, density, and distribution for specific applications. With the development of in situ techniques such as STM, researchers have overcome these challenges and achieved highly crystalline guantum dots. Toujyou et al. applied a voltage to the tip at a specific position on the two-dimensional wetting layer during growth and simultaneously observed it through in situ STM, leveraging the mechanism that the nucleation of quantum dots can be affected by tiny alloy fluctuations of the two-dimensional wetting layer, thus successfully realizing the fabrication of site-controlled and high-crystalline InAs quantum dots^[52, 53]. Similarly, Rauschenbach et al. used in situ RHEED and STM to study the factors that affect the crystalline quality of GaN film, finding that the ratio of ions to atoms is the key to control the thin-film's growth mode^[54]. They grew two-dimensional thin films of GaN with smooth morphology, high crystalline quality, low biaxial stress, and low defect density by controlling this ratio.

2.1.2. Scanning electron microscopes (SEM)

SEM offers enhanced observation of discernible phenomena during MBE processes, by providing an expansive field of view. For instance, SEM provides better performance, compared to STM with a more limited observation field, when adatom diffusion lengths become significant during high-temperature growth. Homma et al. have used SEM to study the effects of changes in adatoms at the kink points of atomic steps during crystal growth^[55]. SEM can also observe the growth process, including island growth, coalescence, and completion of monolayer growth. Furthermore, for the initial stages of nanowire growth in the presence of a catalyst, SEM can observe the dynamic changes in nanowire growth and provide insight into the growth mechanism, potentially including the dissolution of the substrate by the catalyst and the filling of nanowires by the catalyst^[56]. Kolíbal et al. employed SEM to investigate the initial stage of surface nucleation in thin films, discovering that the primary factor causing growth



Fig. 5. (Color online) *In situ* AFM images for as-grown NiO on Ni(110) in (a) air, (b) water, and (c) 10 mM Pb-contained solution for 15 h. MBEgrown NiO on MgO(001) in (d) air, (e) water, and (f) 10 mM Pb-contained solution for 15 h. Reproduced with permission. Ref. [58].



Fig. 6. SnS on graphene substrates as a function of temperature as observed by LEEM. Reproduced with permission. Ref. [60] Copyright 2018, American Chemical Society.

delay in nanowires is the filling of droplets by nanowire materials^[56]. Wang *et al.* used SEM to observe micro-scale indium (In) droplets, resulting in the discovery of *in situ* droplet formation^[57].

2.1.3. Atomic force microscopy (AFM)

AFM, in combination with other characterization techniques, such as STM reviewed in the previous section, can provide valuable insight into growth process and material properties, and verify the roughness, structure and morphology of the film surface during growth. The non-contact AFM can also be used to get large-area images with atomic resolution and identify different reconstructions. For example, from in situ AFM results shown in Fig. 5, Kim et al. investigated the contribution of defects in the chemical reaction between a Pb-containing solution and an oxide surface, using as-grown NiO on Ni(110) as the sample with defect, and the MBE-grown NiO on MgO(001) substrate as the defect-free one^[58]. Deng et al. utilized STM and AFM to investigate the growth of singlelayer FeTe films on NbSe₂ single crystals, discovering that the Moiré superlattice modulation undergoes structural relaxation at larger orientation deviation angles^[59].

2.1.4. Low-energy electron microscopy (LEEM)

Compared with other characterization techniques, lowenergy electron microscopy (LEEM) allows for real-time observation of sample changes in vacuum or with exposure to gases or vapors in variable temperature environments, even at ultra-high temperatures^[60]. As an example shown in Fig. 6, LEEM can be utilized in a wide range of temperatures in MBE. from 135 to 316 °C. LEEM is mainly used in surface studies of materials. For instance, Fortin et al. used LEEM patterns to monitor the substrate passivation process, the formation of a chemically stable layer on material surface to protect it from the influence of impurity ions or elements, demonstrating that Ge passivation prior to epitaxy allows tuning the electronic properties of antimonene (2D-Sb)^[61]. Kanjanachuchai et al. studied morphological changes in Ⅲ-V heteroepitaxial thin films and used LEEM to observe the nucleation and kinetics of III droplets^[62]. Mandziak *et al.* optimized the growth parameters and Fe doping for NiO thin films deposited on Ru(0001) using LEED^[63]. Croes et al. used LEEM to explore the volume fraction of ferroelectric domains and domain sizes across a wide range of film thicknesses, demonstrating that interfacial misfit dislocations formed during growth play a vital role in the stability of ferroelectric nanodomains^[64].

2.2. Surface properties analysis

Surface properties and microscopic morphology analysis are two distinct categories of characterization techniques that are commonly used to study the properties and behaviors of materials. One of the apparent differences between them is the time interval between each observation. On the



Fig. 7. (Color online) RHEED patterns taken after the growth of (a) $CaTi_5O_{11}$ and (b) TiO_2 -B films on (001) $SrTiO_3$ substrates. Reproduced with permission. Ref. [66].

one hand, microscopic morphology analysis primarily gathers data via point-by-point scanning and assembles it into a complete data map, which is a time-consuming process to fine-tune the device parameters in order to obtain high-quality data. On the other hand, surface property analysis focuses on utilizing electron beams or rays to acquire information about the material, typically acquiring data with a receiving device such as a fluorescent screen or a display. Hence, one of the critical benefits of surface property analysis is the capacity to swiftly and constantly obtain data, such as reciprocal lattice. It prevents missing the necessary phenomenon owing to parameter change and enables a deeper understanding of material surface structure and properties.

2.2.1. Reflection high energy electron diffraction (RHEED)

RHEED is a powerful in situ characterization technique that provides valuable insights into the surface properties of thin films through the analysis of reciprocal lattice rods^[65]. This technique is widely employed in the semiconductor industry to monitor surface cleanliness, analyze reconstruction, evaluate surface flatness or roughness, determine crystalline quality, and measure lattice constant evolution and stress-strain changes^[66–71]. For example, the presence of a suitable buffer layer and the absence of stress-strain changes can be determined by analyzing RHEED patterns, as shown in Fig. 7^[66]. The presence of bright specular stripes at $1.5 \times$ indicates a flat and phase-pure CaTi₅O₁₁ surface, while the TiO₂-B membrane also exhibits distinct and unadulterated $1.5 \times$ stripes. Additionally, recording and analyzing RHEED diffraction patterns enables the optimization of growth conditions of different materials and the realization of high-quality epitaxy^[67, 72]. As shown in Fig. 8, the surface morphology of GaN films with a thickness of about 10 nm was obtained from STM, along with the corresponding final RHEED patterns. In the case of ion to atom ratio (I/A) = 3.1, the surface exhibits islands and the corresponding RHEED pattern consists of point reflections, which indicates a three-dimensional growth. In contrast, GaN films deposited with I/A = 1.6 show a relatively flat surface with wide terraces, and the RHEED pattern consists of narrow striped reflections, representing a typical two-dimensional growth mode. Moreover, Li et al. monitored the surface structure evolution of the of ZnO films during the growth process and found that all the grown films exhibited good crystallinity properties in the wurtzite phase with RHEED^[73].

Moreover, an RHEED pattern is a powerful tool for understanding the growth mechanism of thin films. For example, Li *et al.* demonstrated that Zn-polar ZnO films grow through the standard Stranski-Krastanov mode, while O-polar ZnO films grow through a layer-by-layer mechanism^[74]. In addition, with RHEED analysis, Dau et al. reported a fascinating discovery regarding the growth of Ge nanowires on Si(111) surfaces^[75]. Through careful investigation, the researchers identified an Au-wetting layer located between the Ge nanowires and the substrate. This layer acts as a surfactant, facilitating the growth of the Ge layer between the nanowires. In addition, RHEED is also essential for understanding the growth characteristics of superlattices, particularly the mismatch of lattice and strain driving forces^[76]. By controlling growth parameters such as temperatures of substrate and source via RHEED, researchers like Mietze et al. have achieved perfect superlattice growth of metastable cubic GaN/AIN layers^[77]. When the thickness ratio of the compressive and tensile layers is 3/4, Yang et al. optimized the superlattice structure to achieve complete strain relaxation with RHEED and XRD analyses^[78].

Additionally, the real-time analytical capabilities of RHEED are widely regarded as its most valuable feature. By providing a wealth of information about the growth window of parameters and slight deviations caused by non-stoichiometry, RHEED offers unparalleled insights into the dynamics of thin-film growth^[79, 80]. For instance, McClure et al. utilized in situ RHEED to study the crystal structure transition of α -Fe phase to Γ phase in Fe_{1-x}Zn_x alloys, discovering that the transition mainly occurred at 40% Zn concentration, as shown in Fig. 9^[81]. Halder et al. used in situ RHEED to precisely determine the timing of transitions from two-dimensional to three-dimensional growth of InAlGaAs quantum dot^[82]. Zhao et al. successfully observed the transition from threedimensional to two-dimensional growth process on the surface of the ZnTe epitaxial layer through RHEED, which lasted about one minute^[83]. Additionally, Hu et al. employed in situ RHEED to study the growth modes of ZnTe layers and determined the relationship between the VI/II ratio and the growth modes transition between two-dimensional and three-dimensional^[84].

Real-time analysis is also beneficial for quantifying surface reconstruction and determining the optimal growth conditions for thin films. Studies have shown that monitoring the oscillation of RHEED intensity can accurately determine the number of monolayers in a film, as demonstrated by Encomendero et al^[85]. Dursap et al. used RHEED to track the growth of wurtzite-phase GaAs nanowires in real time, achieving controlled growth of these critical materials for electronic and optoelectronic applications^[86]. Li et al. revealed that the film structure varied with growth temperature and Mg/B ratio during the epitaxy of MgB₂ thin films, using RHEED^[87]. With the assistance of RHEED in the growth of GaN thin films on (0001)-oriented 6H-SiC substrates via nitrogen ion beam assisted MBE, Rauschenbach et al. found that the RHEED patterns changed along with different ion to atom (I/A) ratios and they successfully controlled the growth of island-like and flat-structured GaN, as shown in Fig 8^[54]. Therefore, the *in situ* RHEED system enables real-time growth monitoring, making it a powerful tool for optimizing growth parameters to achieve specific film growth and better understanding of the surface growth mechanism.

RHEED also offers high detection sensitivity and compatibility in addition to its real-time analysis capabilities. Its ability to detect slight changes in composition, such as the



Fig. 8. (Color online) RHEED patterns, corresponding STM images, and modeled patterns of GaN films deposited with different I/A ratios. Reproduced with permission. Ref. [54].



Fig. 9. (Color online) RHEED patterns of $Fe_{1-x}Zn_x$ films deposited on MgO (001) substrates: (a) and (b) pure Fe, (c) and (d) low Zn concentration, (e) and (f) moderate Zn concentration with α to Γ phase transition and (g) and (h) $Fe_{0.2}9Zn_{0.71}$ with Γ phase. Reproduced with permission. Ref. [81] Copyright 2011, AIP Publishing.



Fig. 10. (Color online) (a) RHEED images of the $SrTiO_3(001)$ substrate. RHHED images of the $SrCoO_{2.5}$ grown on substrate with different Co/Sr ratios: (b) Co/Sr = 1.00, (c) Co/Sr = 1.30, and (d) Co/Sr = 0.90. Reproduced with permission. Ref. [88].

excess or deficiency of cobalt (Co) in strontium cobaltite (SCO) thin films, as demonstrated by Schöffmann *et al.*, allows for high-precision growth and rapid adjustment of growth parameters^[88]. They found that the RHEED pattern is sensitive to the slight change of the Co amount, while XRD failed to reveal this difference. As shown in Fig. 10, two additional sets of spots appear in the RHEED diffraction pattern

with Co/Sr = 1.30, indicating well-crystallized three-dimensional structures. Conversely, when the Co/Sr ratio is low, the RHEED pattern only shows very weak Bragg spots and rough Laue rings with Co/Sr = 0.90, indicating a decrease in crystallinity. Furthermore, RHEED can be combined with other characterization techniques, such as XRD, laser reflection, and glancing-angle reflectance electron energy loss spectroscopy, to provide a more comprehensive understanding of the material under study^[89–91]. Taking advantages of the high sensitivity of laser reflection signals to species and surface morphology, and corresponding the significant decrease during In deposition and the increase during N irradiation in RHEED intensity with the opposite variation trend of laser reflection intensity, Wang et al. have demonstrated that the laser reflection signal can serve as a valuable complement to RHEED^[57]. In addition, Strawbridge et al. also achieved in situ monitoring of the growth of III-nitride thin films by combining RHEED, AFM with glancing-angle reflectance electron energy loss spectroscopy (REELS)^[70]. As shown in Fig. 11, the RHEED patterns and AFM images are utilized to discern the surface roughness of films, thereby correlating smooth surfaces with a plasmon peak at 16.9 eV and rough surfaces with a peak at 21.4 eV in the REELS spectrum. Jakob et al. compared the potential applications of RHEED with XRD in MBE and high-



Fig. 11. REELS spectra, RHEED patterns, and AFM images of AIN: (a) a smooth surface, (b) a rougher surface. Reproduced with permission. Ref. [70] Copyright 2011, AIP Publishing.

lighted the potential of combining these two techniques to translate time-resolved information into height-resolved information analysis, without the need for prior assumptions about growth modes, which are, in contrast, typically required by traditional methods^[92]. Although the definitive study of RHEED focuses on the analysis of diffraction patterns, Sen et al. have shown that the use of diffuse scattering in RHEED can also qualitatively trace the variation of thin metallic layer thickness^[93]. Besides monitoring, RHEED can also be used as a source of high-energy electrons to adjust surface status, or generate X-ray fluorescence for composition analysis. May et al. successfully obtained a GaAs seed layer on the surface of single crystals by utilizing a combination of careful RHEED beam exposure and methods to increase adatom mobility^[94]. They demonstrated the effectiveness of this method in promoting GaAs nucleation on NaCl substrates. With the using of X-ray fluorescence generated from the RHEED measurements, Keenan et al. achieved in situ determination of the relative compositions of Y and Mn during YMnO₃ growth on GaN template/sapphire substrate^[95].

Recent advances in machine learning techniques have further enhanced the capabilities of RHEED by automating the classification and analysis of diffraction patterns. By using principal component analysis and k-means clustering, Provence et al. showed that RHEED analysis with the assist of machine learning can help understand surface evolution during growth and provide more insight into the growth process of perovskite oxides^[96]. Kwoen et al. identified feature points from the atlas input database and then used the trained model to classify the experimental data through machine learning, with which they achieved automatic classification and high classification accuracy of RHEED images of GaAs reconstructions^[79]. With great significance in accomplishing high reproducibility for epitaxial growth, this technique sees a wide range of potential applications in the field of high-quality III - V growth. Recently, his team also realized the identification of RHEED patterns through unsupervised learning mechanisms^[97]. Therefore, machine learning has proven to be a valuable tool in RHEED analysis, providing researchers with more efficient and accurate results.

2.2.2. Low-energy electron diffraction (LEED)

LEED is another of the most widely applied techniques for surface structure analysis, which differs with RHEED in the mode of electron incidence and path for scattered electrons. More specifically, RHEED uses grazing incidence and forward scattered electrons, while LEED employs normal incidence and backscattered electrons. By directing lower energy electrons onto a surface and measuring their diffraction pattern on a fluorescent screen, LEED can determine the surface structure of the sample and characterize crystallinity, surface relaxation, and the reconstruction of adsorbate-covered surfaces^[84, 98–101]. Researchers, such as Zhang et al., have combined LEED patterns with density functional theory calculation to study the relationship between structural transitions and substrate temperature for depositing coronene monolayers on Cu(110) surfaces^[102]. By calculating the temperature dependence of free energy, they explained the irreversible transition and the stable state determined by the LEED patterns shown in Fig. 12. Ruwisch et al. and Navío et al. achieved quality control of individual films and determined the chemical composition of the near-surface region and the surface structure of each layer by in situ XPS and LEED^[103, 104].

To solve the issue of realizing Xene (X = Si, Ge, Sn, etc.) heterostructures, Dhungana *et al.* introduced a concept based on epitaxy of silicene and stanene on Ag(111) and used LEED to monitor and identify the surface structure, as shown in Fig. $13^{[105]}$. The study demonstrated a feasible single Xene layer enabling another type of Xene layer with distinct properties produced heterogeneously subsequently. However, the use of electron guns and detectors in LEED requires them to be positioned near the substrate. As a result, surface probing during the deposition process is not supported^[106].

2.2.3. Other surface properties analysis techniques

Additionally, several *in situ* surface characterization techniques have also been integrated into MBE, such as grazingincidence fast atomic diffraction (GIFAD), nuclear norward scattering (NFS), and reflectance anisotropy spectroscopy (RAS). GIFAD, as a technique suitable for *in situ* measurement of complex surface reconstruction, has been rapidly developed. GIFAD obtains surface information by controlling factors such as the incidence angle and scattering angle of heavy ion beams introduced into the material surface. Its exceptional sensitivity to subtle surface changes makes it an ideal tool for high-resolution, real-time monitoring^[107]. Researchers such as Debiossac *et al.* have applied it to study the β_2 (2 × 4) reconstruction of GaAs(001) surfaces under As₄ overpressure and at elevated temperatures^[87, 108]. With its growing popularity in the MBE community, GIFAD is expected to revolutionize the



Fig. 12. Evolution of LEED patterns of coronene monolayers on Cu(110) surface during (A–G) the heating and (H) cooling process. Reproduced with permission. Ref. [102] Copyright 2010, American Chemical Society.



Fig. 13. (Color online) Typical LEED patterns of the silicene-stanene on Ag(111) during hetero-epitaxy. (a) Post preparation at incident energy. (b) After Si deposition. (c) After Sn deposition. (d) After non-reactive Al₂O₃ encapsulation. Reproduced with permission. Ref. [105].

study of intricate surface structures^[107]. NFS is a nondestructive analytical technique that utilizes synchrotron radiation and excitation of nuclear resonances. It represents a temporal simulation of the classical Mössbauer effect, providing a unique and valuable tool for investigating local structures, oxidation states and magnetism using localized probes^[109-111]. Merkel et al. utilized NFS to study the magnetic evolution of iron grown on silica nanospheres^[109]. Through in situ NFS measurements, they tracked the magnetic moment and observed the size of the silica nanospheres that formed the template layer, providing valuable insight into how these factors affect the magnetic evolution in the thin film. This study highlighted the capabilities of NFS in providing a detailed understanding of the complex interactions that occur at the interfaces of thin films. In addition, in situ diffuse reflectance spectroscopy was utilized to accurately determine and monitor the actual temperature and thickness of CdTe films grown on GaAs substrates by MBE^[112]. Fleischer *et al.* studied the RAS of Fe₃O₄(110) film with different thicknesses, suggesting that the clear correlation of the RAS minima position with thickness can be employed to establish RAS as an in situ nondestructive optical method for monitoring thin-film growth^[113]. To explore more potential applications of this characterization technique, Ortega et al. conducted a detailed analysis of its oscillation mechanism by RAS^[114, 115].

2.3. Phase analysis

Analyses of microscopic morphologies and surface properties primarily focus on changes at film surface. Meanwhile, phase analysis enables researchers not only to further understand the surface layer changes but also to identify the elements and bond types in the thin films.

2.3.1. X-ray scattering (XRS)

In situ X-ray scattering (XRS) characterization techniques, such as grazing-incidence small-angle X-ray scattering (GISAXS), are widely used in the semiconductor industry to monitor surface properties^[116]. Thin-film synthesis can be probed at the atomic scale with in situ XRS, as demonstrated by Li et al., showing their insight into creating interfaces during epitaxial growth on mixed-terminated surfaces^[117]. As shown in Fig. 14, by comparing the dynamic calculation results with the measurement results, it can be observed that the spacing between the topmost layers may relax relative to the bulk $La_{0.18}Sr_{0.82}AI_{0.59}Ta_{0.41}O_3$ (LSAT) lattice parameter due to strain. The light-green curve represents the La_{0.18}Sr_{0.82}O terminated surface, with θ = 0.6 and Δz = 6%, indicating an outward relaxation of the plane by 6% relative to the interplanar spacing of the bulk crystal. This technique is crucial for advancing our understanding of mixed-terminated LSAT surfaces and post-deposition structures of LaO or NiO₂ monolayers and is expected to play a critical role in developing advanced semiconductor materials.

Furthermore, *in situ* characterization techniques utilizing X-rays have gained significant attention in the field of surface property monitoring. Utilized in combination, these methods offer a powerful toolset for understanding material surface properties with high accuracy and precision^[116, 118]. The combination of *in situ* XRS and absorption spectroscopy has been used by Andersen *et al.* to observe atomic-scale processes and the evolution of oxygen defects and the defect



Fig. 14. (Color online) Specular rod for LSAT(001) measured with X-rays and calculated profiles. (a) Calculated rod for AO-terminated LSAT Substrates with varying La_{0.18}Sr_{0.82}O surface coverage. (b) Calculated rod with varying topmost plane displacement relative to the bulk LSAT lattice parameter at growth temperature. (c) Calculated rod accounting for both surface coverage and surface relaxation. Reproduced with permission. Ref. [117].



Fig. 15. (Color online) Selected XRD spectra of the sub-free SDD-GaSe film during pressurization. Reproduced with permission. Ref. [127].

ordering within the growing films during reactive deposition^[119]. Cantelli *et al.* used GISAXS and grazing-incidence X-ray diffraction (GIXD) to investigate the formation and evolution of nanostructures^[116]. Furthermore, Li *et al.* employed off-resonant and resonant anomalous *in situ* synchrotron surface XRS in MBE to achieve reproducible heterostructures, regardless of the mixture degree of surface termination and layer–by–layer deposition sequences^[120].

2.3.2. X-ray diffraction (XRD)

X-rays have also been applied as widely as electron beams for nondestructive testing, capable of monitoring crystal structure and growth kinetics^[121, 122]. In reciprocal space geometry, when X-rays interact with the atoms in the crystal, diffraction occur, generating diffraction peaks, which corresponds to Bragg diffraction from specific crystal planes within the material, and the corresponding crystal truncation rods in reciprocal space^[123]. The positions and intensities of these diffraction peaks depend on the arrangement of atoms in the crystalline lattices. Lee et al. have demonstrated the possibility of in situ probing of the film with XRD in oxide MBE growth^[124]. Hong et al. fabricated ultrathin LaTiO₃/SrTiO₃ heterostructures via oxide MBE monitored with in situ XRD in real time, allowing the accurate determination of the thicknesses required to accomplish well-defined interfaces and the designed heterostructures^[125]. Additionally, XRD also plays a crucial role in monitoring the dynamic evolution of material growth. Researchers such as Chakraborty et al. have leveraged the nondestructive nature of XRD to study phase transitions at various temperatures during annealing processes^[126]. This transition happened at longer annealing times with the formation of a two-phase structure at temperatures above 400 °C. Additionally, Quynh et al. have used in situ angle-dispersion synchrotron XRD and Raman spectroscopy (RS) to investigate the pressure-induced structural phase transition of self-supporting screw dislocation-driven GaSe thin-film layers grown by MBE^[127]. As illustrated in Fig. 15, the XRD diffraction peaks consistently shifted toward higher 2θ -angles with increasing compression, providing insight into the thin film's bulk modulus by further calculation.

Additionally, Sasaki et al. identified dominant growth in nanowires or two-dimensional layers by changing intensities of specific Bragg peaks^[128]. They used in situ XRD to analyze the effect of In supply on the kinetics of Au-catalyzed InGaAs nanowire growth. The technique was also applied by Takahasi to evaluate the structure of three-dimensional quantum dots^[129]. Besides the strain distribution inside the quantum dots, the lateral and vertical dimensions of the quantum dots were also determined as a function of growth time. Kashani et al. achieved long-term monitoring of growing single nanowires and demonstrated that micro XRD with high angles and sufficient time resolution could observe the vibrational bending of nanowires, as shown in Fig. 16^[130]. They investigated the evolution of the XRD intensity distribution of nanowires (NWs) during annealing at 610 °C. Between 57 and 91 min, the NW signal slightly broadened, and it shifted along the Debye-Scherrer ring, indicating a change of 0.26° in the projected tilt angle β within the detector plane. After ultra-high-pressure annealing for 91 min, the variation in β accelerated. With increasing annealing time, the signal from "NW1" significantly broadened along the Debye-Scherrer ring until it completely disappeared, indicating a further



Fig. 16. (Color online) Diffraction intensity as a function of time, in nanowires annealed at 610 °C. Reproduced with permission. Ref. [130] Copyright 2019, American Chemical Society.



Fig. 17. (Color online) Results of the *in situ* XANES measurements. (a) The single-phase brownmillerite-structured SrCoO_{2.5}. (b) Two-phase brownmillerite-structured SrCoO_{2.5} and Sr₃Co₂O_{6+δ} films. Reproduced with permission. Ref. [119] Copyright 2018, American Chemical Society.

increase in tilting. They investigated and gained an insight into the interplay between XRD analysis results and surface morphology, demonstrating the capability of XRD in obtaining a deeper understanding of the dynamics for material growth.

2.3.3. Other phase analysis techniques

Moreover, for comprehensive investigations of distinct phases within materials, techniques such as X-ray reciprocal space mapping (RSM), X-ray absorption near-edge structure (XANES), and Raman spectroscopy (RS) have been incorporated into MBE. To facilitate the investigation of the correlation between strain relaxation and lattice tilt, researchers have employed RSM in MBE, allowing for direct measurements of nanoscale film thickness^[131]. In a recent study, Sasaki *et al.* utilized *in situ* three-dimensional RSM to examine an InGaAs multilayer structure on GaAs(001) and successfully probed the relaxation process of each layer^[132]. The technique effectively revealed the interaction between the first and second InGaAs layers, providing valuable insight into the evolving of strain relaxation and lattice tilting in real time, highlighting the potential of RSM in multilayer structural material studies, and opening new avenues for research. Furthermore, the team investigated the strain, indium composition, and crystal guality changes in InGaAs thin films during growth by RSM, further demonstrating the versatility and power of this technique^[133]. XANES has also gained attention in MBE research. By utilizing multiple scattering of photoelectrons from surrounding atoms, XANES can identify short- and longrange atomic order within materials, providing unique absorption characteristics^[119, 134]. Additionally, XANES can be effectively combined with other characterization techniques to understand phase distribution within the material comprehensively^[119]. As shown in Fig. 17, the brownmillerite-structured $SrCoO_{25}$ film maintains a 3+ oxidation state from the very beginning, even after the growth of only four bilayers (BLs), indicating that the oxygen vacancy concentration is established at four BLs. Additionally, the initial layer of cobalt oxide appears to be CoO, potentially originating from a tetra-



Fig. 18. (Color online) Layer-dependent STS reveals the transition from semiconductor to semimetal as the layer number increases from one to six in PtSe₂ on highly oriented pyrolytic graphite (HOPG). Reproduced with permission. Ref. [147] Copyright 2021, Wiley-VCH GmbH.

hedrally coordinated layer. In addition, RS, as a well-established technique, has been used to study various materials' composition, microstructure, and internal motion states in MBE^[135]. This technique is useful for detecting thermal expansion and non-harmonic decay, enabling the determination of the absolute temperature of substrates and epitaxial layers^[136]. However, the application of RS is limited by the instability of experimental conditions. To overcome this, researchers have leveraged the tip enhancement effect by utilizing sharp tips with smooth surfaces, via a high-vacuum tipenhanced Raman spectroscopy (HV-TERS) system, which has shown promising results in improving the accuracy and stability of RS measurements^[137].

2.4. Composition analysis

The composition analysis mainly refers to obtaining information of the composition and content of materials through analyzing a spectrum, an energy spectrum, or mass spectrometry signals. With the essential capabilities of *in situ* real-time growth monitoring, these analysis methods provide improved understanding and practical approaches for *in situ* control of the material growth process.

2.4.1. Spectroscopic ellipsometry (SE)

Spectroscopic ellipsometry (SE) and scanning tunneling spectroscopy (STS) have mainly been used for composition analysis in MBE. SE measures the reflected light from a material at different angles to calculate its complex refractive index and extinction coefficient. Based on the acquired data, further processing and modeling are performed to extract information on the composition distribution and thickness of the measured thin film. Obtaining SE data before removing samples from the MBE chamber prevents sample oxidation, which is highly desired for achieving precise measurement^[138]. Johs et al. designed and tested the SE settings that compensate for substrate wobble in the return path configuration, demonstrating great accuracy of the acquired SE data with this setting^[139]. SE has been applied to trace in real time the growth front in the dynamic atomic layer epitaxy (D-ALEp), a proposed and developed epitaxial process to grow coherent monolayer-InN on/in GaN-matrix^[140]. During predeposition heat treatment of the CdTe for the growth of CdHgTe/CdTe/ZnTe/Si (310), Marin et al. proposed an effective approach via SE for calibrating growth temperatures by establishing a linear dependence of several critical points of the measured spectra for the optical constants with temperature^[18]. Hilse et al. demonstrated that SE can determine the dielectric functions of both the substrate and the growing film without being disrupted by surface or interface reactions. Together with the growth temperature, absolute film thickness, and growth rate determined by SE in real time, they investigated the temperature dependence of the dielectric function of Bi₂Se₃, showcasing the exceptional capability and potential of SE in optimizing film quality and improving reproducibility^[19]. SE can also facilitate the measurement of the composition distribution over epitaxial film thickness^[141, 142]. Shvets et al. adopted SE to study cadmium-mercury-tellurium compounds' thickness dependence of the composition, highlighting its potential in accurate reproduction of the composition profile for the heterostructures^[143].

2.4.2. Scanning tunneling microscopy/spectroscopy (STM/STS)

STM/STS is a scanning probe technique that is based on the quantum tunneling effect, which involves cooperatively probing the sample through a small gap under atmospheric pressure. With a three-dimensional scanning system, the probe moves horizontally and vertically across the sample surface. The tunneling current intensity is recorded at each position, allowing the generation of atomic-level topographic images of the sample surface and atomic-level structures and features. In STS mode, by varying the applied voltage and measuring the corresponding tunneling current, density of electron states at the particular location of the sample surface can be obtained. This technique finds wide application in studying the properties of materials at the nanoscale and surface science, providing crucial information for the design of nanodevices and materials^[144–147]. As depicted in Fig. 18, the transition of the material from semiconductor to metal is observable as the number of layers increases. Researchers such as Xue et al. have employed in situ STS to investigate the surface morphology and electronic properties of PdTe₂/ Bi₂Te₃ heterostructures, providing valuable insight into the superconducting properties of topological insulators (TIs)^[148].

2.4.3. Quadrupole mass spectrometers (QMS) and desorption mass spectrometers (DMS)

In the field of MBE, advanced mass spectrometric techniques such as quadrupole mass spectrometers (QMS) and desorption mass spectrometers (DMS) are frequently employed to gain insight into the composition and chemical reactions occurring during the growth process. In situ quadrupole mass spectrometry (QMS) involves accelerating molecules to induce electron ionization, followed by separation using a quadrupole filter based on their mass-to-charge ratio. The resulting data is obtained through measurements using a detector that determines specific ion concentrations^[149, 150]. Wölz et al. employed in situ QMS to study the growth of $In_xGa_{1-x}N$ nanowires^[151]. As shown in Fig. 19, a calibration of the In partial pressure was performed using the same nanowire geometry and background pressure, allowing for the quantification of In partial pressure and the conversion of relative desorbed In flux values into absolute fluxes, expressed in terms of equivalent growth rates. This calibra-



Fig. 19. (Color online) Desorption during the growth of $\ln_x Ga_{1-x}N/GaN$ nanowires by MBE. (a) Calibration of full desorption and background pressure at 800 °C, with the growth of a segment at 604 °C. (b) Comparison of $\ln_x Ga_{1-x}N$ quantum well (QW) (blue line) and extended NW segment (red line) growth at the same temperature. (c) In desorption during $\ln_x Ga_{1-x}N$ QW growth at different substrate temperatures. Reproduced with permission. Ref. [151] Copyright 2012, American Chemical Society.



Fig. 20. (Color online) (a) Valence band maximum measured by UPS for Cu₂O with nominal thickness of 42 nm. (b) Core-level energy spectrum measured by XPS for Cu₂O with nominal thickness of 42 nm. Reproduced with permission. Ref. [162].

tion process lays the foundation for constructing a model to investigate the variation of composition with temperature. Similarly, Katmis et al. used in situ QMS to determine the substrate temperature window for the growth of Ge₂Sb₂Te₅ epitaxial layers and observed that polycrystalline layers were obtained at temperatures slightly below 180 °C^[152]. In comparison, thermal decomposition occurred at temperatures above 220 °C. QMS is also a powerful tool for understanding and investigating the limiting factors of material growth, the growth rate, and even the thermal decomposition rates^[153]. Furthermore, DMS employs laser absorption, thermal ablation, or chemical reactions to treat the sample surface and generate gas molecules, which are subsequently introduced into the mass spectrometer for analysis. McCoy et al. utilized DMS to precisely adjust the Sb/Ga flux ratio in GaSb_{1-v}Bi_v in order to determine the sensitivity of Bi doping to this ratio. They observed that the influence of Bi doping on GaSb_{1-x}Bi_x was the greatest when the Sb/Ga flux ratio approached unity, confirming the capability of DMS to rapidly identify the optimal growth parameter window for this alloy^[154]. Kaspi et al. used DMS to achieve rapid converge of a set of the growth parameters without the need for ex situ calibration^[155].

2.4.4. X-ray photoelectron spectroscopy (XPS) and ultraviolet photoemission spectroscopy (UPS)

X-ray photoelectron spectroscopy (XPS) is an analytical technique that is used to study the elemental composition and chemical states of materials. With X-ray irradiation, the electrons of samples are emitted from the sample's surface. By analyzing the kinetic energy of these emitted electrons, XPS provides information about the material's electronic structure and chemical bonding. XPS is valuable for identifying elements, determining oxidation states, and detecting surface contaminants. Through XPS analysis after annealing for 2 h

after deposition at 600 °C, Ngo et al. found that the Si-O bonding at the interface between SrTiO₃ (STO) and Si was minimal^[156]. They also found that the crystalline lanthanum aluminate (LaAlO₃, or LAO) films can remain amorphous after annealing at 550 °C for 2 h. The research results help in further defining the optimal annealing temperature during the process. With XPS, Lin et al. also investigated the chemical bonding at the Y₂O₃/GaSb interface grown by MBE and atomic layer deposition (ALD)^[157]. Compared to surface property analysis, XPS is based on in-depth research, being able to serve as a reference for process optimization and study of the device operation mechanisms^[158, 159]. Maiti et al. gained an understanding of the chemical composition of the grown molybdenum oxide (β -MoO₃) films by XPS, revealing a shift of the Mo 3d peaks towards lower binding energies, and a shift of the O 1s core level from lattice oxygen (OL) peak to oxygen vacancies (O_V) peak, with increasing temperatures, indicating the reduction in Mo oxidation states^[158]. Ultraviolet photoemission spectroscopy (UPS) is mainly used to characterize the electronic band structure, Fermi energy level position, electronic density of states, and other information of materials, with high sensitivity and resolution for the analysis of surface electronic properties. With in situ UPS, Meng et al. evaluated the valence band offset of $Ni_xMg_{1-x}O/MgO$ and the work function of the $Ni_xMg_{1-x}O$ films, evolving from 1.47 to 1.50 eV, and from 4.33 to 4.64 eV, respectively, with decreasing Ni content from 0.39 to 0.35, providing information about the bonding state and the growth mechanism^[160].

The main difference between XPS and UPS lies in the different energies of the photon sources that excite electrons. XPS uses X-rays to excite electrons, while UPS uses ultraviolet light. Combining the powerful capabilities of UPS and XPS allows for a comprehensive study of the charge transfer mechanisms at material surfaces and interfaces^[161]. By studying the



Fig. 21. (Color online) A series of ARPES spectra of YbAl₃ was collected along the (0, 0) to (0, π) direction at $k_z \approx \Gamma$, spanning temperatures from 255 down to 21 K. Reproduced with permission. Ref. [168].

Cu₂O/MgO heterointerface, Wang et al. obtained core energy level information from XPS and investigated the composition and structure of Cu₂O thin films using in situ UPS and XPS, as shown in Fig. 20^[162]. With this method, a detailed band alignment diagram and a precise understanding of the valence band structure of the Cu₂O/MgO heterojunction were obtained. Additionally, UPS can also be used to gauge the degree of air exposure experienced by a material by monitoring shifts in the energy spectrum. Han et al. performed in situ UPS/XPS measurements on MoO₃ capping ZnO thin films to get an in-deep insight into the interfacial interaction between ZnO and MoO₃ capping layers^[161]. They obtained the evolution of UPS spectra at the low kinetic energy and valence band regions concerning MoO₃ thickness. Thus, the vacuum level of ZnO with MoO₃ capping can be calculated by linear extrapolation of the low kinetic energy onset presented in those UPS spectra. Seo et al. combined in situ UPS/XPS with DFT and investigated the evolution of the electronic structure of Si(001) during the deposition of semi-monolayer Sr, obtaining the surface core-level shifts of Si(001) and 1/2 ML Sr/Si(001)^[163].

2.4.5. Angle-resolved photoemission spectroscopy (ARPES)

Angle-resolved photoemission spectroscopy (ARPES) is also among the most used spectral analysis methods. It uses a monochromatic photon beam to irradiate the surface of a material, exciting the electrons into the conduction band, and subsequently driving the excited electron out of the material surface, generating a photoelectron. ARPES directly records the information of the energy, momentum, and angular information of these photoelectrons, revealing the energy and momentum of electrons within the material^[164]. Chatterjee et al. utilized ARPES to reveal the final state of Yb valence in the intermetallic compound YbAl₃, confirming it to be a mixed-valence compound and further highlighting the potential of in situ ARPES in the field of MBE^[165]. Zhu et al. demonstrated that ARPES can be utilized to dynamically monitor film growth, explore the evolution of material electronic band structure, and understand growth kinetics, leading to the discovery of growth conditions for high-quality films^[16]. Since the formation of topological insulators (TIs) involves the transition of energy bands, ARPES has become a crucial tool for dynamically monitoring the Dirac surface states in TIs^[166, 167]. Chatterjee et al. also used in situ ARPES to analyze mixed-valence static lattice systems and found that valence fluctuations can lead to dramatic changes in Fermi surface topology and even Lifshitz transitions^[168]. As depicted in Fig. 21, with decreasing temperature, the electron pocket undergoes a rise in energy and reaches a state of complete

unoccupancy around 21 K. Given that the pocket is centered at Γ , this elevation above E_F aligns with a Lifshitz transition. Gong *et al.* systematically investigated topological crystalline insulator SnTe(111) thin films with different thicknesses and substrate conditions using ARPES^[169]. Xiang *et al.* used ARPES to uncover the microscopic mechanism of bcc-structured Nb superconductivity, and the low-level electronic structure shows a kink-like structure in the band dispersion^[170]. ARPES can also be used to discover new Tls. Zhou *et al.* demonstrated that Mg₃Bi₂ is a semimetal with topological surface states using ARPES^[171]. Additionally, ARPES can be employed to study quasiparticle dispersion, magnetism, volume gap, spin polarization, and other aspects of materials^[169, 172, 173].

2.4.6. Other spectroscopic techniques

In situ conversion electron Mössbauer spectroscopy (CEMS), magneto-optic Kerr effect (MOKE), X-ray absorption spectroscopy (XAS), X-ray photoelectron diffraction (XPD) and angle-integrated photoemission spectra (AIPES) are precious tools for gaining insight into the properties and behavior of thin films grown by MBE. For example, the composition of the Fe_xO_{1-x} oxide film was determined in situ by XPS and CEMS in MBE growth with high-purity ⁵⁷Fe and O₂ by Abreu et al, obtaining ultrathin films containing a significant amount of the FeO(100) phase by systematically varying the atomic flux, oxygen partial pressure, and annealing temperature^[174]. Soria et al. grew CoFe₂O₄ thin films on Pt(111) by oxygen-assisted MBE equipped with in situ CEMS to identify the environment of iron cations and investigate the effect of annealing under different conditions on the cation distribution in the material, revealing that thinner films exhibited higher magnetic ordering compared to thicker samples^[175]. Meanwhile, MOKE can be used to measure the magnetism and spin polarization of thin films, as demonstrated by Khalid et al., revealing that iron films with a thickness of 1 nm, deposited on MgO/GaN/sapphire, exhibited in-plane ferromagnetism at room temperature^[176]. Furthermore, by combining XAS with photoelectron spectroscopy (PES), Li et al. investigated conduction, valence, and core-level structures at the interface^[177]. XAS, when combined with XANES, can also be used to probe oxidation states, measure oxygen vacancy concentration, and characterize nanostructures^[178]. In addition, XPD can provide valuable information on the surface atomic arrangement, adsorbed molecule orientation, symmetry and distances of bonds, as demonstrated by Kilian et al. in the study of atomic structure of $Cr_2O_3/Ag(111)$ and $Pd/Cr_2O_3/$ Ag(111) surfaces^[179].

Although the main focuses of spectroscopic researches are those employing XPS, UPS and ARPES, there are also reports on Auger electron spectroscopy (AES) and electron



Fig. 22. (Color online) (a) Angle-integrated photoemission spectroscopy (PES) of various SIO–STO SLs and the SIO-214 film. The dotted black line represents the Fermi energy (E_F), while the blue (orange) shaded region corresponds to the peak position of the $J_{eff} = 1/2$ ($J_{eff} = 3/2$) bands. (b) Schematic model illustrating the bandwidth-control Mott transition in SIO–STO SLs and the SIO-214 film. Reproduced with permission. Ref. [184].

energy loss spectroscopy (EELS) in MBE^[23, 70]. Mudiyanselage et al. utilized in situ AES to quantitatively monitor elemental flux ratios during growth, providing valuable insight into the growth process^[23]. Madisetti et al. employed AES to monitor the removing traces of carbon and oxides on the surface by heating the samples to a high temperature of 850 °C for 1 h^[180]. Zhang et al. used AES to investigate the effect of different surface cleanliness on GaAs growth^[181]. Although EELS has been used less frequently in MBE, Galkin et al. emphasized the importance of combining EELS with other characterization techniques to comprehensively understand film properties during deposition^[182]. Additionally, angle-integrated photoemission spectra (AIPES) can also extract the strength of the electron-phonon coupling from the spectral linewidth to understand superconductivity, interfacial doping, and oxygen vacancies in thin films^[183]. Fan et al. first provided a direct and detailed description of the complete process of electronic structure evolution in the insulator-to-metal transition (IMT) of [(SrIrO₃)_m/(SrTiO₃)] superlattices (SIO–STO SLs) with AIPES^[184]. As shown in Fig. 22, The evolution of the lowenergy electronic structure in SIO-STO SLs is examined and compared with the electronic structure of Sr₂IrO₄ (SIO-214) using in situ PES. For an infinite number of layers $(m = \infty)$, two peaks are observed. As m decreases to 1, the narrow $J_{\rm eff}$ = 1/2 band gradually develops a complete bandgap. Simultaneously, the spectral weight near the Fermi energy (E_F) shifts and merges into the higher binding energy $J_{eff} = 3/2$ state. In the case of m = 3, it can be confirmed that the Mott gap remains unopened, and the guasiparticle peak near $E_{\rm F}$ does not shift significantly to higher binding energies. Moreover, the bandwidth (W) of the material can be easily tuned by varying the value of *m*. When $m \ge 3$, the $J_{\text{eff}} = 1/2$ state intersects with $E_{\rm F}$, resulting in a semi-metallic state in these SLs. Conversely, for $m \leq 2$ or in the case of SIO-214, the effective U parameter causes the narrow $J_{eff} = 1/2$ band to split into the lower and upper Hubbard bands, leading to the opening of the Mott gap.

2.5. Common analysis

In addition to the in situ characterization techniques mentioned above, there are also several techniques, which we refer to as "common analysis" here, that directly obtain information including the curvature, surface carrier transport, temperature, growth rates, and roughness of the material surface. In situ curvature measurement (CM), which guantifies localized area curvature changes on a material surface, is a powerful tool for analyzing material relaxation properties. CM traditionally involves elastic modules, such as an elastic membrane or rods, to deform according to the thin-film surface. Techniques based on the mechanical or the piezo-electric properties of the elastic modules, and now more sensitive optical trace interferometry are used to measure the deformation of the elastic body, from which the curvature change, the residual stress, and other information in the thin film can be evaluated, providing direct information on the structural quality and stress evolution of the film surface^[185]. Aidan et al. utilized in situ curvature to investigate the strain dependence of buffer layer thickness during growth, while Levillayer et al. monitored and managed to maintain constant nitrogen (N) incorporation during InGaAsN growth using this technique^[186, 187]. Furthermore, the result of *in situ* curvature can also be combined with RHEED to estimate the influence of surface doping and surface reconstruction^[187, 188]. To probe stress evolution in the material, a multibeam optical system (MOS) can be employed^[90, 189]. With the rise of research on topological insulators (TIs), there is a growing need for the accurate characterization of surface carrier transport in these materials. Hoefer et al. have effectively achieved this through in situ four-point conductance measurement (4PC), demonstrating the high mobility of TI surfaces^[190]. Taking advantage of the temperature dependence of the optical absorption edge of semiconductor substrates, the accurate surface temperatures can be estimated through the development of band-edge monitoring (BEM)^[191]. Additionally, Lo et al. used in situ reflectance interfer-



Fig. 23. (Color online) (a) *In situ* reflectivity profiles of samples B to E, exhibiting significant variations in GR and N/In ratio. (b) The extracted 1 st reflectivity profiles of samples B to E during (1120) *a*-plane InN growth. Reproduced with permission. Ref. [192] Copyright 2015, Elsevier B.V.

ometry to monitor growth rates and surface roughness^[192]. The reflectivity distribution can be considered as the combination of the first-order reflection intensity and the interference intensity caused by the first-order reflection, multiplied by the absorption attenuation term. By eliminating the interference term, the first-order reflection intensity and attenuation term can be extracted. As shown in Fig. 23, the reflectivity changes over time in these samples, suggesting that their surface morphology differs. As the growth rate (GR) increases, the first-order reflection intensity abnormally rises, indicating the accumulation of indium metal on the a-plane surface of InN and subsequently reducing surface roughness. However, when the nitrogen flux is excessively high, samples with a high nitrogen content show a gradual decline in reflectivity from initially high to lower values. This observation indicates that increasing the nitrogen flux does not address the problem of indium accumulation.

2.6. Potential analysis

The potential of several characterization techniques to effectively incorporated into in situ applications is be explored, with two approaches of selecting such techniques provided. First, if a technique is readily combined with those suitable for in situ characterization, then this technique holds great potential to be equipped in situ. For example, recently, X-ray photoemission electron microscopy (XPEEM) has been used in conjunction with LEEM, which is typically employed for in situ characterization of materials, indicating the potential application of XPEEM in the field of in situ characterization, as demonstrated by Mandziak et al^[193]. XPEEM, similar to other electron microscopy methods, uses X-rays to irradiate the surface of a sample, releasing photoelectrons that carry important information about the sample's surface chemistry, structure, and physical properties including their energy, momentum, and directional distribution, with a spatial resolution better than 30 nm^[26]. Additionally, X-ray magnetic circular dichroism (XMCD) has also been used for direct monitoring of magnetization components in MBE, facilitating the measurement of the magnetic moment and magnetic order of ferromagnets^[194]. Mandziak et al. also acquired quantification information of composition, element-specific cationic valences, and magnetic moments by XMCD and XAS, for the oxygen-assisted MBE growth of mixed iron-nickel oxides on Ru(0001)^[195]. It is worth to note that while XMCD and XAS are

both discussed and currently implemented as *ex situ* characterization techniques in Ref. [195], there have been reports of XAS being utilized for *in situ* characterization, indicating the great potential of XMCD in the field of *in situ* characterization^[196].

Second, it is an excellent approach to adopt conventional ex situ characterization techniques for in situ scenarios, thereby providing richer and more accurate information for research. For instance, Gruenewald et al. showed that in situ differential reflectance spectroscopy (DRS), which is usually used ex situ, is sensitive to distinguish chemisorption from physisorption^[197]. They found that molecular films as thin as 0.1 ML, corresponding to a nominal thickness of only 0.03 nm, can be analyzed spectroscopically, demonstrating the capability of DRS to analyze thicknesses ranging from sub-monolayer to several monolayers. Being sensitive to the optical properties of the substrate used, DRS also enables gualitative classification for different physisorption adsorption schemes, making it feasible and worthwhile to directly monitor film growth in real time^[198]. Moreover, cathodoluminescence (CL), which is also typically used ex situ, have also been equipped in situ to characterize the AlGaN/GaN samples with different heterostructures, clearly displaying the peak information in the CL spectra influenced by the different aluminum (AI) molar fractions of the AlGaN layers and the presence of an AIN spacer layer^[199]. The research findings presented above are highly insightful in demonstrating a shift trend from ex situ to in situ for characterization applications. By adopting more in situ techniques, researchers are expected to be able to obtain real-time material data with improved accuracy, greatly facilitating in-depth various material studies.

3. Challenges and potential solutions

To gain a comprehensive understanding of material morphology changes and provide valuable information for simulation research and process optimization, researchers need access to material components, crystal structure, and other related information in addition to surface morphology. Consequently, an increasing number of researchers are adopting multiple characterization techniques to achieve a higher level of material analysis. While impressive results have been achieved using various *in situ* characterization techniques in the same growth chamber, the available space of a single MBE chamber remains constrained that can only be equip-



Fig. 24. (Color online) Statistics of in situ characterization techniques involved in publications in recent years.

ped with a limited number of characterization techniques. To cope with this challenge, combining multiple chambers together in an MBE system is considered as a feasible solution. However, it is time-consuming to transfer the sample from one *in situ* characterization environments to another. Meanwhile, utilizing a larger chamber is obviously another option for real-world production applications, which will, however, inevitably increase the cost for research and manufacture. Therefore, carefully selecting several techniques with complementary functions is a more practical approach. For example, the SEM and XPS can be integrated into a single equipment, enabling in situ composition analysis and morphology characterization through shared sample platform and control system. AFM and RS can share the laser system and optical lens, allowing simultaneous surface manipulation and chemical composition analysis. Combining XRD and CEMS detectors and control circuits enables simultaneous measurement of material composition and surface magnetism.

Although the *in situ* characterization techniques reviewed above have provided researchers numerous powerful tools for material studies, there are several limitations for many of these techniques. For example, AFM and SEM require the transfer of samples to other chambers for *in situ* monitoring, which interrupt the growth process during characterization^[29, 30, 33]. In addition, characterization techniques such as scanning probe microscopy and surface plasmon resonance spectroscopy, necessitate close proximity or direct contact with the film surface, which can result in surface damage, such as scratches, and interruption of other in situ characterization and the growth process^[200]. Although such growth interruption is acceptable in the research phase, it may lower the manufacturing yield and restrict the development of its applications in high-volume production. In contrast, without requiring interference with the ongoing material growth process, RHEED stands out as the most widely employed method, as evidenced by its significantly greater number of reports compared to other techniques shown in Fig. 24. The data presented in the figure were obtained by the author's team through quick reading and filtering of article data from the past 30 years. The primary reference databases used were Web of Science and Science Direct. In addition, the results obtained from RHEED analysis by MBE can now be correlated with other relevant characterization information, thanks to the recent advancement in machine learning techniques employed in material growth^[201]. Since conventional data analysis techniques rely on a comprehensive physical model to explain material growth phenomena, their ability is often inadequate for interpreting the research phenomena with unestablished or incomplete physical model. In contrast, machine learning offers a novel approach to establish a correlation

Table 1.	A summar	y of the advantages,	, disadvantages,	and functions of	^c haracterization	technologies.

Technique	Advantages	Disadvantages	Functions
STM	High spatial resolution; morphology and	Limited to conductive samples, requires	Surface morphology, electronic
	electronic structure analysis	ultra-high vacuum	structure analysis
SEM	High depth of field, for conductive and non-conductive samples	Lower resolution than STM, sample preparation challenges	Morphology analysis of various samples
AFM	High resolution in both lateral and vertical directions	Relatively slow imaging, tip wear and contamination effects	Surface morphology analysis, material property studies
LEEM	High spatial resolution, real-time imaging of surface dynamics	Limited to conductive samples, complex instrumentation	Surface morphology analysis, real-time imaging
RHEED	Real-time monitoring, provides crystal structure information	Limited to conducting samples, surface sensitivity variability	Thin-film growth monitoring, surface structure determination
LEED	High sensitivity for surface structure determination	Requires ultra-high vacuum, limited to ordered surfaces	Crystallography studies, surface structure analysis
GIFAD	Provides structural information for surfaces	Limited to specific incident angles, complex instrumentation	Surface structure analysis, studies of ordered surfaces
NFS	Nondestructive, element-specific, sensitive to vibrations	For certain isotopes, relatively low scattering cross section	Study of vibrational dynamics, element- specific analysis
RAS	Real-time monitoring, sensitive to surface changes	Requires careful data analysis, limited to specific materials	Monitoring of surface processes, surface structure analysis
XRS	Provides atomic arrangement information in crystals	Requires a crystalline sample, limited to periodic structures	Crystallography studies
XRD	High precision in determining crystal structures	For crystalline samples, bulk analysis lack surface specificity	Crystallography studies, analysis of crystalline materials
RSM	Detailed information on crystal lattice parameters	Requires crystalline samples, complex instrumentation	Strain analysis, determination of crystal lattice parameters
XANES	Provides local electronic structure information	For elements with absorption edges in the X-ray range	Study of local electronic structure in various materials
RS	Nondestructive, applicable to a wide range of materials	Low spatial resolution, susceptibility to fluorescence interference	molecular structures analysis
SE	High sensitivity, nondestructive	Requires accurate modeling, limited to certain sample types	Film thickness and optical constant determination
STM/STS	Provides electronic structure, density of states information	Limited to conductive samples, sample preparation challenges	Surface electronic structure, density of states analysis
QMS	High sensitivity to mass changes, real- time analysis	Limited to gas-phase analysis, may require sample ionization	Gas composition analysis
DMS	Provides real-time information on desorbed species analysis	Limited to studying desorption phenomena, sample-dependent	Surface desorption analysis, study of desorption processes
PES	High surface sensitivity, elemental composition analysis	Requires ultra-high vacuum, limited to surface analysis	Surface composition analysis, chemical state determination
XPS	Surface-sensitive for elemental	Limited depth of analysis, sample	Surface composition analysis, chemical
UPS	Provides information about valence	Requires ultra-high vacuum, limited to	Valence band electronic structure
ARPES	Provides detailed information about	Requires ultra-high vacuum, limited to	Electronic band structure analysis,
CEMS	Provides information on chemical	Limited to specific isotopes, requires	Study of chemical environments,
MOKE	Sensitive to magnetic properties and domain structures	Limited to magnetic materials, complex instrumentation	Mosspace-active nuclei analysis Magnetic domain structure, magnetic properties analysis
XAS	Provides information on local electronic and geometric structure	Requires synchrotron radiation, complex data analysis	Local electronic and geometric structure analysis
XPD	Provides structural information at the atomic level, surface-sensitive	Requires ultra-high vacuum, limited to surface analysis	Surface structural analysis, study of atomic arrangement
AES	Elemental and chemical state analysis of surfaces, high sensitivity	Limited depth of analysis, surface sensitivity	Surface composition analysis, chemical state determination
EELS	Provides information on electronic excitations and bonding, high spatial resolution	Requires sophisticated instrumentation, complex data analysis	Electronic structure analysis, study of electronic excitations
AIPES	Provides angle-integrated information on electronic structure	Limited to angle-integrated data, lack angular information	Electronic structure analysis, study of electronic states
СМ	Measures surface curvature, provides strain information	Limited to surface properties	Strain analysis, characterization of curved surfaces
MOS	Simultaneous measurement of multiple parameters	System complexity may require careful calibration	Multifaceted parameter monitoring, simultaneous data acquisition
4PC	Accurate measurement of electrical conductivity	Requires precise sample preparation	Electrical conductivity analysis, study of conductive materials
BEM	Monitors changes in band structure during processes	Specific to semiconductor materials, requires precise control of growth conditions	Real-time monitoring of band structure changes during thin-film growth

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Technique	Advantages	Disadvantages	Functions
XPEEM	Combines high-resolution imaging with surface-sensitive spectroscopy	Requires ultra-high vacuum conditions, limited to surface analysis	Surface chemical composition analysis, electronic structure imaging
CL	Probes luminescent properties of materials under electron beam excitation	Limited to materials with luminescent properties, resolution may be limited	Study of bandgap, defects, and luminescent properties of materials
XMCD	Sensitive to magnetic properties and magnetic moments of elements	Requires synchrotron radiation for high- quality data, limited to magnetic materials	Study of magnetic properties, magnetic moment determination
DRS	Sensitive to changes in the optical properties of materials	Data analysis may require careful consideration of multiple factors	Monitoring changes in optical properties, surface and interface analysis

between known and unknown phenomena, overcome the challenges in the interpretability of physical models, and advance the study and development of related materials, provided that sufficiently complex models are available. In a ground-breaking study, Liang *et al.* successfully bridged the relevance between RHEED analysis results and the structural phase diagram using the U-net model^[202]. Their RHEED analysis results were qualitatively linked to the processed X-ray diffraction analysis data, providing valuable insight for further research. Therefore, an optional approach is combining several *in situ* characterization techniques, with the information gained from a single representation method able to be linked to that from other methods.

4. Summary and perspectives

Table 1. (Continued)

In this review, we investigated the diverse in situ characterization techniques employed in MBE, which is a crucial technique for precise epitaxial film growth in the semiconductor industry. Among them, a number of techniques, such as SEM and AFM, frequently require multiple chambers to achieve in situ functionality, providing researchers with a wealth of information on surface morphology changes, and the capability to visually observe material growth and changes with greater accuracy. In contrast, some other techniques, such as RHEED and LEED, offer the advantage of conducting growth and characterization within a single chamber, thereby avoiding the time-consuming process of transferring materials between multiple chambers. Moreover, this article provides a comprehensive analysis of the integration of spectroscopic techniques in MBE, with a specific emphasis on their utility in phase and composition analysis. The advantages, disadvantages, and functions of each technology are succinctly summarized in Table 1. The incorporation of these techniques is pivotal in dissecting the intricate microscopic alterations and unraveling the energy band information of materials.

This review categorizes these established *in situ* characterization techniques based on their application fields, enumerates their advantages and limitations in detail, and primarily focuses on the most used technique. In addition, we present an overview of several *in situ* characterization techniques with great potential to be integrated deeply with MBE in the future or already put into use, pointing out the development for novel *in situ* characterization for MBE growth. By combining various *in situ* techniques, researchers can obtain complementary characterization information, enabling a more comprehensive understanding of the surface and internal information of material.

Among all of the *in situ* characterization techniques, the most attention has been paid to RHEED, not only because

there were more articles published involving RHEED but also because RHEED did not affect the material growth process during the characterization process, without requiring sample movement or pausing the growth process. This key feature makes RHEED an essential tool for in situ process research and dynamic optimization of the MBE growth process. However, it is worth noting that there is still vast room for further development in in situ characterization techniques, particularly in the integration of machine learning. There have been several reports that combine RHEED analysis with machine learning to classify RHEED patterns and realize automatic recognition of material surface states, showing great potential in the future. One of the most exciting developments is the recently reported correlation between RHEED and XRD results, providing a new approach for the development of in situ characterization techniques; namely, by correlating the in situ characterization results with more material information through machine learning techniques. The ability to predict and classify material growth states during the dynamic process using Al-based algorithms can provide valuable insight into the growth mechanism and tremendous aid in actively monitoring the MBE process.

In conclusion, *in situ* characterization is an essential component of MBE research, revealing valuable information about the growth process and the properties of the material. The integration of multiple characterization techniques offers a more comprehensive and refined analysis of the material growth process, thereby providing a detailed theoretical basis for the in-depth investigation of material growth mechanisms. The development and integration of AI-based algorithms for *in situ* characterization pave the way for further enhancing the understanding of growth mechanism and enabling dynamic optimization of the MBE processes.

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