

PHOTODYNAMIC THERAPY — AN UPDATE ON CLINICAL APPLICATIONS

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Photodynamic therapy (PDT) has received increased attention since the regulatory approvals of several photosensitizers and light applicators in numerous countries and regions around the world. In recent years, much progress has been seen in basic research as well as clinical application. PDT clinical application has now extended from treating malignant diseases to nonmalignant diseases. This review article will present recent clinical data published in English journals. The data will be organized according to their clinical specialties. The new development and future direction in clinical applications of PDT for the management of both malignant and nonmalignant diseases will be discussed.

Keywords: Photodynamic therapy; clinical application; malignant disease; non-malignant disease.

1. Introduction

Photodynamic therapy (PDT) is a relatively new treatment modality. It involves the local or systemic administration of a photosensitizer followed by illumination of the disease site with non-thermal visible light of specific wavelength(s).

In the presence of the oxygen molecule, the light illumination of the photosensitizer can lead to a series of photochemical reactions and consequently generate a variety of cytotoxic oxygen species (e.g., singlet oxygen). The nature, location, and quantity of PDT-induced cytotoxic species and the

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Table 1. Recent special journal issues dedicated to PDT.

Journal Name	Year; Volume(Issue)	Guest Editor(s)	Focus
<i>Journal of Photochemistry and Photobiology B: Biology</i>	2005; 79(3)	Dominic Robinson	Monitoring PDT
<i>Journal of Environmental Pathology, Toxicology and Oncology</i>	2006; 25(1-2)	Qian Peng	Basic science
<i>Lasers in Surgery and Medicine</i>	2006; 38(5)	Thomas J. Dougherty and Charles J. Gomer	Clinical application and basic science
<i>Dermatologic Clinics</i>	2007; 25(1)	Michael H. Gold	Dermatology
<i>Journal of Photochemistry and Photobiology B: Biology</i>	2007; 86(1)	Klaus D. Winckler	Anti-microbial PDT
<i>Photochemistry and Photobiology</i>	2007; 83(5)	David Kessel and Thomas H. Foster	Clinical application and basic science
<i>Photochemical & Photobiological Sciences</i>	2007; 6(12)*	Qian Peng and Kristian Berg	PDT and photodetection

*Special issue on selected presentations from the 11th World Congress of the International Photodynamic Association (IPA) (Shanghai, China, March 28–31, 2007).

sensitivity of the targeted cells and tissues determine the outcome of PDT treatment.

The phenomena of cell death being induced by the interaction of light and chemicals were recognized and the term of “photodynamic action” was introduced about a hundred years ago. The modern PDT development in oncology dated back to the early 1970s when Thomas Dougherty (Roswell Park Cancer Institute) re-discovered hematoporphyrin derivatives (HpD) and began investigating the mechanism and clinical application of HpD for cancer detection and treatment.

Since the first regulatory approval of Photofrin[®] (a purified form of HpD) was granted for the treatment of bladder cancer in Canada in 1993, the applications of PDT in the treatment of malignant and non-malignant diseases have increased dramatically due to the improvement in photosensitizers and light applicators. Recently, there were several journal issues focused on recent progress in basic science and clinical application (Table 1). In May 2004, a new international journal *Photodiagnosis and Photodynamic Therapy* (Editor-in-Chief: Keyvan Moghissi, <http://www.sciencedirect.com/science/journal/15721000>) was launched with a goal for dissemination of scientific knowledge and clinical developments of photodiagnosis and PDT in all medical specialities.

To promote the appropriate use of new or emerging innovative PDT technologies and applications that have a potential impact on clinical practice, a PubMed (a database provided by U.S. National Library of Medicine, [\[www.ncbi.nlm.nih.gov/sites/entrez?db=pubmed\]\(http://www.ncbi.nlm.nih.gov/sites/entrez?db=pubmed\)\) literature search is performed to identify recent progress. This manuscript will summarize literature search results by revisiting some early pioneer work, reviewing new clinical data published in English journals, and updating our previous review articles on the same topic.^{1,2} Selected original and review articles will be provided in the reference section.](http://</p>
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2. PDT Applications for Treatment of Malignant Diseases

2.1. *Skin premalignant and malignant disease*

The feasibility and efficacy of PDT for skin diseases were among the first to be studied due to the easy accessibility of the skin to the topical application of photosensitizer and light.³ Because of good cosmetic outcome, PDT is particularly suitable for lesions in the face and neck area. In the late 1970s, the combination of xenon arc lamp and systemic application of HpD was used to treat skin cancers. Early studies demonstrated a 20%–80% complete response (CR) for primary skin cancers (e.g., squamous cell carcinomas (SCCs), basal cell carcinomas (BCCs), and malignant melanomas) and secondary cancers originating from breast cancer, colon cancer, and endometrium cancer.^{4,5} The use of Photofrin[®] and its optimal dose and drug-light interval could achieve a higher CR for multiple BCCs.⁶

Since the discovery of endogenous protoporphyrin IX (PpIX) photosensitization induced by exogenous administration of prodrug 5-aminolevulinic acid (ALA), pre-malignant and malignant skin lesions also became a favorite target of ALA-PDT.⁷ Accumulated clinical data show that topical ALA-PDT is effective in the treatment of actinic keratoses (AK), SCC *in situ* (Bowen's disease) and superficial BCC.^{8–12} Early multicenter clinical studies showed that ALA-PDT (e.g., Levulan[®] and Blu-U blue light system) resulted in a high CR and disease-free rate in AK patients. AK became the first approved dermatologic indication of ALA-PDT in the United States in 2000. Recently, methyl aminolevulinate (MLA, Metvix[®] — a methyl ester of ALA) was also approved for AK, Bowen's disease, and BCCs in Europe. Comparison studies show similar effectiveness of ALA and MLA for the treatment of AK and BCC.^{13,14} In general, the treatment of AK using PDT shows the advantages of better cosmesis and higher patient preference than cryotherapy and other options.^{15,16} Several case reports indicate actinic cheilitis (a type of AK occurring on the lips) responds well to ALA-PDT.¹⁷

A noticeable side effect of topical PDT during light irradiation is pain. Using variable pulsed light (VPL) can significantly reduce pain compared to using LED.¹⁸ For a large area and multiple sessions, the local application of Lidocaine cream prior to light irradiation is effective for pain relief.¹¹

Because of the improvement in efficacy, tolerability, cosmetic outcome, and recurrence rate, PDT might be considered for selected cases of nodular BCC although long-term follow-up indicates superior efficacy of surgery to PDT. For nodular and elevated lesions, in addition to interstitial light irradiation, the systemic administration of a photosensitizer following a debulking procedure might be considered.^{19–21}

Organ transplant recipients (OTRs) on long-term immunosuppressive therapy are at a high risk of developing non-melanoma skin lesions. Repeated field PDT can be used to treat post-transplant pre-malignant skin diseases.^{22,23}

Clinical investigations of dermatological PDT have also been extended into many other skin neoplasia, for instance, cutaneous T-cell lymphoma, cutaneous verrucous carcinoma, and extramammary Paget's disease.^{11,24–27} PDT may also be useful for both Mediterranean and HIV-related Kaposi's sarcomas since it can be repeated and will not cause significant immunosuppression.²⁸

While constantly optimizing the current protocols (e.g., drug formulation, drug delivery, drug-light interval, light fractionation, and combination modalities), the usefulness of other photosensitizers (e.g., mTHPC, hypericins) for non-melanoma skin cancers has also been explored around the world.^{29–31}

2.2. Ophthalmic tumor

Although the transcorneal PDT of the surface of pigmented lesions such as choroidal melanoma has little therapeutic effect, in the absence of pigment, PDT is a feasible modality for the treatment of intraocular tumors such as retinoblastoma or amelanotic melanoma of the iris or choroid.³²

Several recent case studies demonstrated that PDT could be used to treat choroidal neovascularization secondary to choroidal osteoma — a rare intraocular tumor composed of mature calcified bone. A recent report shows the regression of extrafoveal choroidal osteoma after a single session of verteporfin-PDT although the authors caution that their results should not be extrapolated to subfoveal choroidal osteoma.^{33–35}

Verteporfin-PDT can be used for the treatment of choroidal metastasis that is unresponsive to chemotherapy and radiation therapy and for such patients who require ocular treatment only.^{36–38}

2.3. Head and neck cancer

Hematoporphyrin derivatives-mediated PDT has been tested for recurrent and metastatic cancers of head and neck regions since the early 1980s.^{39,40} Later clinical data suggested that PDT was particularly suitable for head and neck cancers because it had little effect on underlying functional structures and had an excellent cosmetic outcome with greatly reduced morbidity and disfigurement. PDT has been employed in the treatment of malignancies of the oral mucosa, particularly multifocal squamous cell carcinoma.^{41,42} The treated sites characteristically show erythema and edema, followed by necrosis and frank ulceration. The ulcerated lesions typically take up to 8 weeks to heal fully, and supportive analgesia is required in the first few weeks.

An early Photofrin-PDT study of 107 patients showed that cure for T1 and *in situ* cancer of the vocal cords could be achieved with a single treatment. There was only one recurrence in 25 patients in 79 months of follow-up. All patients responded initially and the cure rate for early oral cavity tumors was 80% after 70 months.⁴³

Our pilot study of Photofrin-PDT versus cisplatin and 5-fluorouracil (DDP/5-FU) remedy suggests that PDT is effective and safe for the treatment of advanced nasopharyngeal cancer and relief of nasal obstruction.⁴⁴

For early carcinomas of the oral cavity and larynx, PDT is an effective primary and alternative treatment modality since it can preserve normal tissue and vital functions of speech and swallowing. A recent study of 276 patients showed that the cure rates with a single treatment using Photofrin-PDT for early laryngeal and oral cancers were 91% and 94%, respectively.^{45,46}

Foscan[®] was approved in Europe in 2001 for the palliative treatment of patients with advanced head and neck cancer who have exhausted other treatment options. Foscan-PDT can have significant clinical benefits and improve quality of life.⁴⁷⁻⁴⁹ Several clinical trials are currently undertaken to evaluate the efficacy of other promising photosensitizers including ALA and Photosens. For patients with advanced disease, the combination of PDT and radiotherapy or surgery could also improve cure rates.

2.4. Brain tumor

The potentials of PDT for the treatment of brain tumor were proposed in the early 1970s.⁵⁰ The first interoperative PDT of human gliomas was reported in 1980 and the first trial in 1981.^{51,52} More advanced cavitory PDT technique was introduced in the 1990s, which utilizes an optical fiber or LED array in a light-diffusing medium to irradiate residual tumors following surgical resection.⁵³⁻⁵⁵

Several multicenter studies have been carried out to evaluate the efficacy of Photofrin, ALA, BPD-MA, and Foscan for the treatment of non-resectable tumors.⁵⁶⁻⁵⁸ A long-term evaluation of Photofrin-PDT has shown a prolongation of survival in patients with malignant gliomas.⁵⁹

Optimizing photosensitizer uptake, elevating light dose, combined with interstitial chemotherapy and fluorescence-guided resection, might further improve the efficacy of intraoperative PDT.⁶⁰

2.5. Pulmonary and pleural mesothelial cancer

Photodynamic therapy has been proposed for the treatment of bronchogenic carcinoma since the 1980s.⁶¹ The worldwide data now show that bronchoscopic PDT appears to be effective as a curative

therapy for superficial and early stage non-small cell lung cancer (NSCLC) and as a palliative therapy for obstructive cancers of the tracheobronchial tree.⁶²⁻⁶⁴

In addition to bronchoscopic Photofrin, Laserphyrin[®] (mono-L-aspartyl chlorin e6, NPe6 or talaporfin sodium) has also become a part of the standard PDT protocol for centrally located early-stage lung cancer in Japan.⁶⁵

Photodynamic therapy can be used also to downstage obstructing endobronchial NSCLC and thereby enabling a complete resection.^{66,67} PDT of symptomatic endobronchial metastatic tumors can effectively decrease the amount of endobronchial obstruction and improve quality of life.^{68,69}

A new protocol using percutaneous insertion and intra-tumor illumination has been developed for the curative treatment of localized peripheral lung cancer (<1 cm) unsuitable for surgery or radiotherapy. Preliminary results have shown a partial response in majority of patients.⁷⁰

Malignant pleural mesothelioma, often related to asbestos exposure, is an aggressive malignancy and responds poorly to conventional therapies. Intraoperative and transthoracic endoscopic PDT were proposed in the early 1990s.⁷¹⁻⁷³ Recently, Photofrin and Foscan PDT were tested as an adjunct intraoperative modality in several countries. Clinical data demonstrate the safety and feasibility of intrapleural PDT which offers good survival results for stage I or II patients. However, for stage III or IV, PDT could not significantly prolong survival or improve local control.^{74,75}

In recent years, the improvement in photosensitizers and PDT techniques has led to a renewed interest in intrapleural PDT as an adjunct to surgery. Refinements of PDT for mesothelioma will depend on a more detailed understanding of the pathways for preferential photosensitizer accumulation within the tumor as well as the synergistic effects between PDT and other modalities. Hyperoxygenation is an effective means to enhance PDT-induced cytotoxicity. Therefore, it is expected that it might further enhance the PDT efficacy to carry out the intrapleural PDT under hyperoxygenation conditions.^{76,77}

2.6. Breast cancer

Locally recurrent breast carcinomas on the chest wall (i.e., cutaneous metastases) occur in 5%-20% of breast cancer patients after failure of salvage

surgery, radiation, and chemohormonal therapy. PDT has been proposed for the treatment of recurrent breast carcinoma since the 1970s.⁵ Several reports suggest that Photofrin or Foscan PDT can offer 14%–73% CR and 14%–45% partial response (PR), but the duration of response can be variable (6 weeks–8 months).^{78,79} It is expected that the photosensitizer acting at longer wavelengths could achieve deeper tissue penetration thereby greatly expanding the patient population for which this modality would become more acceptable and useful.⁸⁰ The intratumoral injection of photosensitizer has been proposed in order to minimize skin photosensitization — a main drawback of photodynamic therapy with systemic administration of photosensitizers.⁸¹

As breast cancer is diagnosed in over millions of patients a year globally, it is a significant health issue. Treatment paradigms have shifted to emphasize breast preservation protocols. PDT may play a role in the treatment of primary localized breast cancer to allow for greater breast conservation based in part on the emerging success of partial breast radiation.⁸²

2.7. Gastroenterological cancer

Endoscopically accessible premalignant or malignant lesions located within the esophagus, the stomach, the bile duct or the colorectum with a high surgical risk are favorable targets of endoscopic PDT.^{83–87} Photofrin-PDT has now been approved for obstructive esophageal cancer, early-stage esophageal cancer, and Barrett's esophagus with high-grade dysplasia in several countries. The usefulness of ALA-PDT for ablation of high-grade dysplasia in Barrett's esophagus is currently under investigation.⁸⁸

A longer diffuser tip and the light centering balloon (e.g., Xcell PDT Balloon) are able to treat a long segment and large area of esophageal mucosa during a single treatment. It is suggested that optimizing light dose and re-treating small areas of residual or untreated Barrett's mucosamay reduce the post-PDT stricture formation and improve the overall efficacy.^{89–91} However, some patients do not respond to PDT or progress to carcinoma despite PDT. To identify the role of biomarkers in predicting response to PDT might help the selection of appropriate therapy for patients and improve treatment outcomes.⁹²

Cholangiocarcinoma is a rare tumor and even after seemingly curative resection, recurrence

frequently occurs. Therefore, it continues to present formidable challenges in diagnosis and treatment. In recent clinical investigational studies of small numbers of patients with unresectable cholangiocarcinoma, PDT induced a decrease in bilirubin levels, improved quality of life for an extended period, and led to a slightly better survival. Endoscopic-guided illumination of the biliary tract is safe and effective for unresectable cholangiocarcinoma. Patients with unresectable cholangiocarcinoma without a visible mass may also benefit from an early treatment with PDT. Limited clinical data support that the combination of palliative PDT and subsequent stenting results in longer survival than stenting alone although these improvements in palliative treatment by PDT will unlikely change the concept of an aggressive resectional approach. For the time being, PDT is recommended for patients with non-resectable disease. The role of PDT before and after surgical resection merits to be assessed.^{93–97}

In patients with malignant biliary obstruction associated with “ingrowth” and/or “overgrowth” of tumor mass over a metal stent, PDT can cause efficient tumor necrosis and recanalization of blocked stent. The PDT light dose might be adjusted to compensate for the reduction of trans-stent light transmittance caused by the stent materials during treatment.^{98,99}

Due to advances in light applicators, the interstitial PDT is now becoming a practical option for solid lesions, including those in parenchymal organs such as the pancreas and liver.¹⁰⁰ The first pilot study of Foscan-PDT on inoperable pancreatic cancer was carried out in the United Kingdom. The percutaneous interstitial protocol, of multiple diffuser fiber illumination, could produce significant necrosis and prolong survival time. In most cases, the necrotic area of the treated tumor healed safely. There was no sign of a pseudocyst, abscess, or pancreatic duct leak.¹⁰¹ These promising results encourage larger scale trials to further assess the feasibility of PDT and implementation of evolving techniques in the treatment of pancreatic cancer (e.g., vascular-targeted approach).

A pilot study of ultrasound-guided percutaneous interstitial PDT for the treatment of advanced liver cancer was reported by Chinese clinicians in 1996.¹⁰² The study included 63 hepatocellular carcinomas, 2 cholangiocarcinoma, 1 hepatoblastoma, 1 tubular adenocarcinoma and 3 poorly differentiated adenocarcinoma. Fifty-six were newly diagnosed and the other 14 patients had either failed chemotherapy or post-resection recurrence.

Thirty-three patients had local or distance metastasis at the time of treatment. Tumor sizes ranged from 5 cm to 15 cm. Thirty patients received one-session treatments and 40 multisession treatments. At one-month post-PDT, the sonographic scan showed a slight signal enhancement in treated areas and tumor boundaries remained visible, and the size became smaller. CT scans confirmed tumor necrosis and a reduction of tumor mass. The histopathologic examination indicated a mix of necrosis, inflammation, and fibrosis in the treated areas. No damage was detected in the surrounding normal tissue. One patient underwent resection one-month post-PDT. Histopathologic examination showed larger areas of tumor necrosis. The preliminary results of this study suggested that PDT was effective and safe for the treatment of inoperable large primary and recurrent liver cancers. Multiple treatments could enhance both short-term and long-term survival.¹⁰³

A recent multicenter trial of using talaporfin sodium and thin catheter-like array of LEDs in 27 patients with refractory liver metastases from colorectal cancer indicated that treatment-related adverse events were minimal and tumor response rate justified further evaluation in a larger trial.¹⁰⁴

2.8. Urological cancer

The feasibility of PDT for ablation of superficial transitional cell carcinoma (TCC) of the bladder was among the first indications to be studied using HpD and white light in the early 1970s.¹⁰⁵ Photofrin obtained its first Canadian regulatory approval for recurrent papillary tumors in 1993. Intravenous Photofrin administration followed by intravesical illumination became an option for patients with refractory tumors. The initial response to a single treatment of the whole bladder tends to be good, but side effects such as bladder contraction and irritation are noticeable and the incidence of relapse within a year is high. Since the side effects are dose-dependent, fractionating drug and light doses in a sequential PDT mode might subside cancerous cells and meanwhile reduce local toxicity.

A long-term follow-up study (mean follow-up length of 52 months on 34 patients, more than half of them were refractory to traditional intravesical therapy) showed that refractory lesions can benefit from a single PDT session involving light irradiation through an intravesical diffusion medium. Although patients with extensive flat papillary lesions did

not respond well, patients who achieve initial CR showed a longer time interval before needing cystectomy for progressive diseases.¹⁰⁶

Bladder cancer tends to be a superficial condition; so a superficial treatment mediated with ALA or its ester derivatives may be a better option. Nonetheless, the intravesical instillation of ALA can eliminate cutaneous phototoxicity associated with systemic administration. Several clinical investigations show that ALA-PDT is an effective treatment option for patients with superficial bladder cancer who have failed transurethral resection and/or intravesical BCG immunotherapy. It has been shown that by repeating PDT treatments, it is possible to further inhibit the progression of bladder cancer.^{107,108}

Hypericin (a substance extracted from *Hypericum perforatum*, commonly known as St. John's Wort) is also a potential PDT agent for the treatment of superficial TCC.¹⁰⁹

Hexvix (hexaminolevulinat, hexyl ester of ALA, hexyl ALA, or HAL) is approved in Europe for photodynamic diagnosis (PDD) of early bladder cancer and visualization of flat lesions. PDD-guided transurethral resection has been reported to enhance tumor detection, reduce recurrences, and prolong tumor-free survival. Because of its superior pharmacokinetics and selectivity, this pro-drug also has potentials for PDT of bladder cancers.¹¹⁰

Prostate cancer is still a significant health problem in the Western world. Recent clinical trials of Foscan-PDT and ALA-PDA on patients who had early cancer or failed radiotherapy showed a post-PDT decrease in prostate specific antigen (PSA) levels.^{111–113} The preliminary results from two ongoing clinical trials of motexafin lutetium-PDT and Tookad-PDT designed to treat primary and recurrent lesions are also encouraging.^{114,115}

The total ablation approach involves the implantation of multiple diffuser fibers into the prostate gland through a transperineal brachytherapy template. It should be fully recognized that characterization of light penetration and distribution in prostate is important due to the significant inter- and intra-prostatic differences in the tissue optical properties. Several recent studies suggest that a real-time drug/light dosimetry measurement and feedback system for monitoring drug concentrations and light fluences during interstitial PDT should be considered.^{116,117} Protection of the pelvic nerve also becomes an inevitable challenge during

total ablation procedures since the light irradiation might reach the pelvic plexus.^{118,119}

The epidemiologic and pathologic features of prostate cancer have given rise to an interest in focal treatment for localized primary cancer of a small size. Focal therapy might offer an effective alternative to a patient faced with a choice between aggressive local intervention (radiation or surgery) and watchful waiting. Pre-clinical studies demonstrate a clear correlation between lesion volume and drug/light dose in vascular-targeted PDT of canine prostate.¹²⁰ The potentials of vascular-targeted PDT in focal therapy of prostate cancer should be further explored.¹²¹

2.9. Gynecological cancer

Prior to and after its regulatory approval in Japan in 1994, Photofrin has been used successfully to treat carcinomas *in situ* and dysplasia of the uterine cervix. Several Japanese studies have shown that colposcopic-assisted cervical canal illumination after intravenous Photofrin administration can achieve a high CR (< 94%) and preserve fertility.

A modified protocol that combined topical administration of photosensitizer and superficial illumination demonstrated that CR was light dose dependent for cervical intraepithelial neoplasia (CIN). Several *in vivo* studies demonstrate a selective absorption of ALA by dysplastic cervical cells. This leads to the presumption that ALA and its ester derivatives therefore represent a promising photosensitizing prodrug for the treatment of CIN.¹²³ However, several randomized, double-blind, placebo-controlled clinical trials showed that ALA-PDT was well tolerated by patients but the general consensus is that ALA-PDT has a minimal effect in the treatment of CIN 2 and CIN 3.¹²⁴

Recent pilot studies of topical application of ALA or its ester derivatives or systemic administration of Foscan and superficial illumination for the treatment of vulvar and vaginal intraepithelial neoplasia (VIN, VAIN) showed that PDT was as effective as conventional treatments though not equally efficacious for all subgroups, but with shorter healing time and excellent cosmetic results. PDT is also an effective alternative in the treatment of penile intraepithelial neoplasia.^{125–127}

Several case reports suggest that palliative PDT using systemic administration of photosensitizer might be considered for recurrences of ovary, vaginal, and vault cervix carcinomas.^{128,130} PDT

has also been employed to treat ovarian cancer and both benign and malignant lesions of the endometrium but no reliable clinical results have yet to be shown in the limited clinical trials.¹²⁹

2.10. Peritoneal carcinomatosis and sarcomatosis

Peritoneal carcinomatosis and sarcomatosis are advanced diseases in which multiple tiny tumors develop in the abdominal cavity and linings. They are impossible to be completely removed by surgery and often recur after chemotherapy. The first attempts of using intraperitoneal PDT to treat disseminated peritoneal tumors were made in the late 1980s.¹³¹ Previous U.S. trials of Photofrin-mediated intraperitoneal PDT established the maximally tolerated dose and showed encouraging efficacy although some patients developed a capillary-leak syndrome.^{132–134}

Clinical data also indicate that peritoneal carcinomatosis and sarcomatosis may exhibit severe tumor hypoxia and intrapatient and interpatient variation of photosensitizer accumulation in tumors. Moreover, although some selectivity is found in Photofrin uptake between tumor and normal tissues of the peritoneal cavity, the absolute differences in drug accumulation between tumors and normal tissues (e.g., intestine) are small. This narrow differential in drug selectivity likely contributes to a narrow window in therapeutic application.¹³⁵

3. PDT Applications for Treatment of Non-Malignant Diseases

3.1. Dermatological disease

Photodynamic inactivation of viruses was a hot topic in the 1970s.¹³⁶ Later, many attempts have been made to treat viral warts using topical PDT.^{137,138} Recently, several Chinese groups also studied the feasibility of ALA-PDT for the treatment of genital warts (condylomata acuminata) associated with human papillomavirus (HPV) infection. Initial results showed a high CR rate and low recurrence rate.^{139,140} A pharmacokinetic study of ALA-induced protoporphyrin IX (PpIX) in lesions of urethral condylomata acuminata demonstrates that PpIX is dominantly distributed in the HPV-infected epidermis.¹⁴¹

The importance of antibiotic resistance in dermatological practice is increasing. An alternative

approach may be to use PDT. One of the unlikely advantages of the broad spectra of antimicrobial PDT is the development of resistance to photodynamically induced direct killing.^{142–145} Although the bactericidal effect of PDT against methicillin-resistant *Staphylococcus aureus* (MRSA) strains using different porphyrin has been demonstrated, somehow routine ALA-PDT does not affect the bacterial flora of the skin in a clinically significant manner.^{146,147}

Leishmaniasis is a widespread arthropod-borne protozoan zoonosis caused by more than 21 *Leishmania* species. Old World cutaneous leishmaniasis is the result of leishmanial infection of dermal macrophages. Several clinical reports have shown promising results from ALA-PDT.^{148,149} The clinical outcome observed with ALA-PDT is likely the result of unspecific tissue destruction accompanied by depopulation of macrophages rather than direct killing of parasites.¹⁵⁰

The benefit of PDT for treatment of a number of inflammatory and immune disorders, such as acne vulgaris, folliculitis, psoriasis, cutaneous sarcoidosis, granuloma annulare, and morphea, is currently under clinical investigation worldwide.^{151–158}

Another potential application is the treatment of port-wine stain birthmarks (PWS), a capillary vascular malformation, with vascular-targeted PDT to induce selective injury of only the abnormal blood vessels in the dermis while sparing the normal overlying epidermis.¹⁵⁹ Several Chinese studies demonstrate that PDT is an effective and safe modality for treating various types of PWS.^{102,160–162} A retrospective analysis of 1358 patients (6 months to 65 years old) treated with domestically made HpD at one hospital in Beijing, China between 1991 and 2003 showed that among 1949 lesions (pink = 110, purple = 1369, thicker or nodular lesion = 470), good-to-excellent responses (i.e., > 75% clearance) were achieved in 45% of patients. Interestingly, pink lesions show a better response than purple lesions. The latter often require multiple treatments.¹⁶³ A recent study compared clinical outcomes of PDT versus conventional pulsed dye laser (PDL) in Chinese patients. Results suggested that PDT was as effective as PDL for pink flat lesions and more effective than PDL for purple flat lesions. The true value of PDT deserves further investigation.¹⁶⁴

There is an increased interest in skin photo-rejuvenation. Topical PDT might improve fine lines,

tactile roughness, and skin tightness in patients with moderate photoaging.^{165,166}

3.2. Ophthalmic diseases

Liposome-encapsulated BPD-MA (benzoporphyrin derivative monoacid ring A) under the generic name of Verteporfin or Visudyne[®] was synthesized in the mid-1980s with an intention for cancer treatment. However, it has been used primarily for ocular PDT. Several well-designed clinical studies in North America and Europe showed that neovascular forms of age-related macular degeneration (AMD) treated with Verteporfin PDT were more likely to experience stabilized vision than a control group. Therefore, Verteporfin-PDT, approved for AMD worldwide since 2000, should be considered as a first-line therapy in those difficult-to-manage conditions such as sub-foveal choroidal neovascularization (CNV) secondary to AMD, pathological myopia, or presumed ocular histoplasmosis syndrome.^{167,168}

Besides those standard applications, there are many extended applications (e.g., CNV secondary to choroiditis and retinochoroiditis, angioid streaks, central serous chorioretinopathy, retinal angiomatic proliferation, parafoveal telangiectasia or CNV associated with macular dystrophy and idiopathic CNV, etc.) which have been summarized in recent reviews.^{169,170}

Eyes with choroidal neovascularization condition often show the histopathologic evidence of inflammation and other immunological changes. Examination of CNV complexes has shown the presence of inflammatory cells. The inflammatory cells may play a role in neovascularization in the sub-retinal space. There is a body of clinical evidences suggesting that the intravitreal injection of steroids may have a beneficial effect on CNV patients.^{171,172} Nonetheless, PDT-induced inflammatory reactions are two sides of the same coin. The acute inflammatory response might cause a transient visual disturbance and the proliferation of vessels might cause treatment failure.¹⁷³ Anti-inflammatory adjuvant therapy might have the potential to counteract some of these adverse effects.^{174,175}

Several case studies have also demonstrated that Verteporfin-PDT could resolve the exudative retinal detachment associated with a diffuse choroidal haemangioma. An investigational study of circumscribed choroidal haemangioma showed evidence of tumor flattening, reducing sub-retinal fluid and choroidal vasculature.¹⁷⁶

3.3. Oral and dental disease

The technical challenges of conventional therapeutic procedures extend from the continued struggle against two of the most common infectious diseases — dental caries and periodontal diseases — to eliminate life-threatening oral and pharyngeal malignancies and other conditions that compromise oral health and the quality of life. The implementation of PDT for the treatment of oral infection and malignancy faces similar or even greater challenges due to the need of delivering sufficient photosensitizer and light to a complex structure.¹⁷⁷

It has been shown that PDT mediated with a topical application of phenothiazinium dyes is effective for killing bacteria in complex biofilms, such as sub-gingival plaque, which are typically resistant to the action of antibiotics. Several clinical trials indicate that in patients with chronic periodontitis, clinical outcomes of conventional sub-gingival debridement can be improved by adjunctive antimicrobial PDT.^{178–180}

Systems using tolouin chloride (toluidine blue O, TBO) and low power 635 nm laser for the treatment of endodontics and caries are now available commercially under the trademark of PAD (photo-activated disinfection). Since 635 nm laser light transmits well across dentine, locally applied TBO can be used effectively in carious lesions. In dental caries, the use of PAD can eliminate residual bacteria in softened dentine and provide an environment which encourages rapid healing. This means that less tissue is removed and thus cavity repair is more conservative. In addition, endodontic PAD might lead to accelerated postoperative bone regrowth. Other possible clinical applications include disinfection of root canals, periodontal pockets, deep carious lesions and sites of peri-implantitis, and prevention of alveolar osteitis and post-extraction pain.¹⁸¹

Due to the highly colored nature of TBO and the potential for staining of teeth, lips, and buccal mucosa when used as a liquid mouthwash, a mucoadhesive patch containing TBO has been tested as a potential delivery system for use in oropharyngeal applications.¹⁸²

3.4. Cardiovascular disease

Pre-clinical studies showed that motexafin lutetium could be taken up by atherosclerotic plaque and concentrated within macrophages and vascular

smooth muscle cells. This led to several Phase I trials in the United States and Japan to develop endovascular photoangioplasty modality for cardiovascular diseases such as intimal hyperplasia, and atherosclerosis or vulnerable plaque, and prevention of restenosis after coronary-stent placement. Preliminary results suggested that PDT might be useful for the treatment of flow-limiting coronary atherosclerosis or vulnerable plaque while sparing normal surrounding vascular tissues.^{183–187}

3.5. Gastroenterological disease

In 1997, a Japanese group reported that the PDT treatment of esophageal cancer could meanwhile eliminate esophageal varices coexisting with esophageal cancer.¹⁸⁸ This group further tested their hypothesis using rabbit auricular veins as model vessels. Their results suggested that vascular-targeted PDT delivered at a short drug-light interval (5 minutes) could induce marked thrombosis.¹⁸⁹

Recently, a Chinese group conducted a small-scale pilot study and demonstrated that endoscopic PDT seemed to be effective in eliminating newly visible esophageal vessels and therefore preventing recurrent bleeding after endoscopic injection sclerotherapy.¹⁹⁰

3.6. Urological disease

Benign prostatic hyperplasia (BPH) is a common condition for aging men. There has been a renewed interest in transurethral PDT in recent years and there are several ongoing preclinical and clinical studies to assess the feasibility of transurethral PDT for the management of BPH with lemuteporfin (also known as QLT0074) and talaporfin sodium. Vascular-targeted transurethral PDT shows minimal effects on the prostatic urethra. The potentials of vascular-targeted PDT in management of BPH should be further investigated.¹¹⁹

4. Extracorporeal PDT

Extracorporeal PDT, also known as extracorporeal photophoresis, is an *ex vivo* approach which involves a short incubation of the whole blood or blood products with a photosensitizer (e.g., Riboflavin[®], TH9402) and *ex vivo* light irradiation at a shorter wavelength (e.g., 285 nm–514 nm). This process may or may not require a photosensitizer extrusion step before and after light

irradiation. Extracorporeal PDT has been used for the pathogen inactivation in blood transfusion and selective cell purging in graft-versus-host disease (GvHD) prevention.^{191–193} Noticeably, cross-linking anti-Fas antibody combined with PDT could have an additive impact against the survival of CD41⁺CD81⁺ thymocytes through proapoptotic pathways.¹⁹⁴ The safety of extracorporeal PDT in the treatment of steroid refractory or intolerant GvHD is currently undergoing investigations.

5. Future Prospects

The number of scientific articles on PDT clinical applications as well as basic science steadily increases in English language and other language literatures. Review articles on past work, new aspects, and future applications have been published on a regular basis while new technology and promising applications continue to be discovered. The literature survey indicates there is still a strong and increasing interest and research effort focused on developing new photosensitizers,¹⁹⁵ exploring PDT mechanisms at molecular and tissue levels,^{100,175,196} enhancing PDT efficacy with combined modality,^{175,197} dosimetry,^{100,198,199} and evaluating potential clinical indications. Some new strategies currently under development might break in some fundamental way from conventional conditions.²⁰⁰

One new strategy is the use of nanoparticles (NPs) in PDT. NPs themselves might be photo-dynamically active or serve as an “energy transducer” to absorb the light and transfer photon energy to a nearby photosensitizer molecule. Some NPs can also act as carriers for photosensitizer delivery.^{201,202} The utility of the target-specific photosensitizers in developing multimodality agents (i.e., tumor-imaging, and therapy) represents another new strategy.²⁰³ Two-photon excitation (TPE) has received increasing attention due to its potential application in high resolution two-photon fluorescent microscopy and imaging. There are several advantages when using femtosecond laser as a light source for two-photon PDT. TPE offers a high peak power with a comparatively low average power. Since the wavelength used for TPE is roughly twice that of one-photon excitation, the influence of tissue absorbing or scattering on the beam intensity can be greatly reduced, therefore two-photon PDT could minimize collateral damage to healthy tissue and deliver light energy more

precisely to the target tissue or cell with a high degree of spatial specificity.²⁰⁴

Although regulatory approvals for the clinical use of PDT photosensitizers and light applicators now exist in many countries, the total number of approved clinical indications is still limited. There is still a need for involvement from pharmaceutical industries and research institutes to continue to launch clinical trials, and evaluate applications of PDT in conjunction with, or as a replacement for, conventional approaches. It is expected that combined modality and individualized treatment planning will become an essential component of clinical PDT in the near future.

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