

# 3D Monte-Carlo model to study the transport of hot electrons in the context of inertial confinement fusion. Part I

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A. Tentori,<sup>a)</sup>  A. Colaïtis,  and D. Batani

## AFFILIATIONS

Centre Lasers Intenses et Applications, CELIA, UMR 5107, Université Bordeaux CEA-CNRS, F-33405 Talence, France

<sup>a)</sup> Author to whom correspondence should be addressed: [alessandro.tentori@u-bordeaux.fr](mailto:alessandro.tentori@u-bordeaux.fr)  
and [alessandro.tentori@mail.polimi.it](mailto:alessandro.tentori@mail.polimi.it)

## ABSTRACT

We describe the development of a 3D Monte-Carlo model to study hot-electron transport in ionized or partially ionized targets, considering regimes typical of inertial confinement fusion. Electron collisions are modeled using a mixed simulation algorithm that considers both soft and hard scattering phenomena. Soft collisions are modeled according to multiple-scattering theories, i.e., considering the global effects of the scattering centers on the primary particle. Hard collisions are simulated by considering a two-body interaction between an electron and a plasma particle. Appropriate differential cross sections are adopted to correctly model scattering in ionized or partially ionized targets. In particular, an analytical form of the differential cross section that describes a collision between an electron and the nucleus of a partially ionized atom in a plasma is proposed. The loss of energy is treated according to the continuous slowing down approximation in a plasma stopping power theory. Validation against Geant4 is presented. The code will be implemented as a module in 3D hydrodynamic codes, providing a basis for the development of robust shock ignition schemes and allowing more precise interpretations of current experiments in planar or spherical geometries.

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## I. INTRODUCTION

In the direct drive<sup>1,2</sup> and shock ignition (SI)<sup>3–5</sup> approaches to inertial confinement fusion (ICF), laser intensities up to  $10^{15}$ – $10^{16}$  W/cm<sup>2</sup> are envisaged to bring the hotspot to the required conditions. In the standard direct drive scheme, the laser intensity rises following an adiabat shaping,<sup>6</sup> whereas in SI, a high-intensity spike launches a strong converging shock after the compression phase. Because of the high intensities, when the laser light couples with the plasma created by the compression beams, several processes responsible for the generation of suprathermal electrons, so-called “hot electrons,” take place. Of particular note among these processes are stimulated Raman scattering (SRS) and two-plasmon decay (TPD), which excite electron plasma waves (EPWs) around  $n_e/4$ .<sup>7–9</sup> Resonant absorption (RAB) of laser light can also excite EPWs around the critical density.

In the last decade, several numerical and experimental investigations have been conducted with the aim of understanding the

influence of hot electrons on implosion performance, considering both the standard direct drive and the SI approaches to ICF.<sup>10–14</sup>

These studies agree in showing that too-energetic electrons can propagate through the capsule and preheat the DT shell, changing the hydrodynamic conditions of the hotspot and jeopardizing ignition. While in the standard direct drive approach, the presence of hot electrons is deleterious, in SI, their generation is driven by the high-intensity laser spike after the compression phase. Hence, if the target areal density is sufficiently high and if hot electrons have low kinetic energy, they are stopped in the outer part of the shell and the preheating effect is limited. It is therefore important to develop numerical tools that enable accurate evaluation of the effects of hot electrons on implosion schemes.

Currently, with the increased computational power that has become available, 3D hydrodynamic codes are being developed and, with them, has arisen the need to include 3D hot electron transport modules. We report here on a 3D Monte-Carlo (MC) method to simulate hot-electron propagation in ionized fusion targets, with the

aim of future implementation in hydrodynamic codes. We consider a “mixed” algorithm<sup>15–17</sup> in which “soft” collisions are described according to multiple-scattering theories, while “hard” collisions are simulated individually, i.e., by considering two-body interaction. These algorithms are implemented in widely used MC codes such as Geant4<sup>18</sup> and Penelope.<sup>17,19,20</sup> Once it has been implemented in hydrodynamic codes, this model will be particularly useful to investigate the role of hot electrons in ICF, allowing the development of robust implosion schemes. Furthermore, it will be used to interpret experiments aimed at investigating the role and characteristics of hot electrons, without relying on “cold” MC codes. It should be noticed that an analysis of recent experiments aimed at characterizing hot electrons was based on cold MC methods (Geant4), which do not account for the plasma state and the hydrodynamic evolution of the target.<sup>21–24</sup>

The work is divided into two papers. In the present paper, we report on the physics and implementation of the plasma MC code, while in Paper II,<sup>25</sup> we present two applications: the study of hot electron propagation in laser-irradiated planar targets and a first numerical investigation of hot-electron-induced preheating considering a typical SI implosion scheme. In particular, in Paper II, we show that a target in the plasma state stops and absorbs electrons more effectively compared with the cold case (i.e., an unablated, nonionized target under standard conditions). We will show that this is related to differences in scattering mechanisms. Notably, not only does the plasma stopping power exhibit greater values compared with the cold case, but also the scattering on plasma nuclei is enhanced because of the larger nuclear screening lengths that characterize the plasma state. Furthermore, in Paper II, we will discuss the dominant role of electron–nuclei collisions in determining the diffusion of the electron beam and the importance of hard collisions, which should not be neglected.

The structure of this first part is as follows. In Sec. II, we give a general picture of MC methods, introducing the physical quantities needed to construct the algorithm. In Sec. III, we give a list of differential cross sections (DCSs) that model the scattering between hot electrons and plasma particles. Since atoms in this regime can be characterized by partial ionization, we propose an analytical form of DCS that models the elastic scattering between an electron and a nucleus screened by its residual electronic structure and by other plasma particles. In Sec. IV, we show how these DCSs are used to simulate hot-electron collisions, and in Sec. V, we describe the plasma stopping power theory. In Sec. VI, we describe the detailed procedure used to implement the MC method. In particular, we present an algorithm to compute the collision distance for materials in which strong density gradients are present (as a laser-irradiated target). Finally, in Sec. VII, we present a benchmark of the code against Geant4.

## II. MONTE-CARLO METHODS: A GENERAL PICTURE

MC simulations are accurate ways to solve the problem of particle transport in matter. In the literature, two possible approaches are suggested: the *detailed* and the *condensed* algorithms.<sup>17,26</sup> In the detailed method, all the collisions experienced by a particle are simulated. The particle history is composed of a succession of connected straight segments of free flight between each collision.

Changes in direction are sampled from scattering cross sections that account for the physical properties of the scattering centers, and the simulated tracks can be considered as the real particle tracks. However, because of the high computational power required, detailed simulations are feasible only for a limited number of collisions, i.e., for low-energy electrons or for thin target geometries. Because of this limitation, condensed MC methods were formulated, allowing a reduction in computational time. Here, a particle moves through distances (steps) greater than its mean free path, and the effects of the scattering centers on the particle direction are computed according to a multiple-scattering theory. The accuracy of these algorithms depends on the approximation and on the hypothesis of the multiple-scattering theory that are used.

Another possibility suggested in the literature is the so-called *mixed* algorithm.<sup>16,17</sup> In this approach, “soft” collisions are described according to multiple-scattering theories, while “hard” collisions are simulated individually, i.e., considering a two-body interaction. Here, we develop a mixed simulation algorithm aimed at simulating hot-electron transport in laser-irradiated targets, considering the regimes of interest for ICF. In particular, the algorithm presented follows closely the implementation of the Penelope MC code,<sup>17,20</sup> although modifications are required because of the different nature of the problem. Penelope simulates the propagation of electrons in standard nonionized materials, whereas here we are considering laser-irradiated targets. Under these conditions, a hot electron propagates in an ionized medium, scattering with other free plasma electrons and with completely or partially ionized atoms. In particular, collisions with other electrons (free or bound) are accompanied by energy transfer, while collisions with nuclei are responsible for changes in direction (because of the difference in the masses of the interacting particles). In this work, the beam diffusion and the electron slowing down are treated separately. As reported in Sec. V, appropriate stopping power formulas are adopted to model the electron energy losses due to collisions with free and bound electrons. Energy losses due to excitation of plasma waves are also taken into account. Considering the typical uncertainties that characterize laser–plasma experiments, the continuous slowing down approximation (CSDA) is considered sufficiently accurate. The changes in direction of the hot electrons direction are simulated taking account of diffusion on plasma nuclei and on free electrons, according to appropriate DCSs (see Sec. III). Changes in direction due to collisions with bound electrons are not simulated. As shown in Paper II,<sup>25</sup> beam diffusion is governed mainly by scattering on plasma nuclei, while scattering with other electrons plays a minor role. As a consequence, the fact that we do not simulate the changes in direction due to scattering with bound electrons does not introduce a significant error in the model.

A final important point is that a laser-irradiated target exhibits strong density gradients, which means that the algorithms used in cold MC methods to compute the collision distances are not adequate. For this purpose, we have developed an algorithm to calculate the collision distances in materials characterized by a nonconstant density.

In the code, we also implemented a “cold module.” When the material temperature goes to zero, electrons collide with nonionized atoms. Appropriate DCSs and stopping power formulas are implemented to describe this condition. This will make it easy for us to benchmark the code against cold MC codes like Geant4.

### III. CROSS SECTIONS

In this section, we give a list of DCSs that model the scattering undergone by an electron propagating in a plasma. In particular, elastic scattering with atomic nuclei or with other free electrons and inelastic scattering with the electronic structure of an atom are the processes responsible for the modification of electron direction and energy loss. As mentioned in Sec. II, changes in electron direction are simulated taking account of collisions on plasma nuclei and free electrons, while collisions with bound electrons are neglected. The DCSs considered in our model are the Møller DCS to model collisions between a hot electron and a free plasma electron, the Dalitz DCS to model elastic collisions between a hot electron and a nucleus screened by plasma particles, and a DCS is proposed to model the elastic collision between the hot electron and a nucleus screened by its residual electronic structure and by other plasma particles. The Wentzel DCS is used to model the interaction between an electron and a nucleus screened by its complete electronic structure.

#### A. Electron–electron scattering

The scattering between a hot electron and plasma electrons can be modeled considering the Møller cross-section.<sup>27,28</sup> This formula takes account the quantum nature of the interacting particles, but it neglects the motion of the target electron. In the center-of-mass reference frame, the cross section is written as

$$\frac{d\sigma}{d\Omega^*_{ee}} = \left(\frac{r_0}{\gamma\beta^2}\right)^2 2(\gamma+1) \left[ \frac{4}{\sin^4\theta^*} - \frac{3}{\sin^2\theta^*} + \frac{(\gamma-1)^2}{4\gamma^2} \left(1 + \frac{4}{\sin^2\theta^*}\right) \right]. \quad (1)$$

Here,  $r_0 = e^2/m_0c^2$  is the classical electron radius,  $\theta^*$  is the polar scattering angle in the center-of-mass frame,  $\beta = v/c$  is the electron velocity normalized to the speed of light, and  $\gamma = (1 - \beta^2)^{-1/2}$  is the relativistic gamma factor. The DCS in the laboratory frame is written as<sup>29</sup>

$$\frac{d\sigma}{d\Omega_{ee}} = 4 \left(\frac{r_0}{\gamma\beta^2}\right)^2 \cos\theta \left\{ \frac{1}{\sin^4\theta} + \frac{(\gamma+1)^2}{4\cos^4\theta} + \frac{[(\gamma^2-1)/\gamma]^2}{[(\gamma-1)\sin^2\theta+2]^2} - \frac{(2\gamma-1)(\gamma+1)}{2\gamma^2\sin^2\theta\cos^2\theta} \right\}, \quad (2)$$

where  $\theta$  is the polar scattering angle in the laboratory reference frame.

#### B. Electron–nucleus elastic scattering

In this subsection, we present the analytical forms of the DCS describing the elastic interaction between a hot electron and a nucleus. We consider, in particular, three different cases. The first is the collision between the electron and a nucleus that is screened by its complete electronic structure. We are thus considering a cold nonionized material. In the second case, we consider partial ionization, i.e., an elastic collision between the electron and a nucleus screened by its residual electronic structure and by other plasma

particles. In the third case we present the DCS describing the collision between the electron and a nucleus screened by other plasma particles. We are thus considering atoms that are completely ionized.

#### 1. Nonionized case

As mentioned in Sec. II, in the code, we take into account the possibility for the electron to propagate in “cold” materials, i.e., non-ionized materials. Notably, this happens when  $T \rightarrow 0$  and the degree of ionization drops to zero. The ability to simulate the propagation of hot electrons in cold materials will make it easy to benchmark the code against existing and widely used MC codes like Geant4 and Penelope. The DCS describing the collision between an electron and a nucleus screened by its electronic structure is the Wentzel cross section:<sup>30</sup>

$$\frac{d\sigma}{d\Omega} = \frac{e^4}{4p^2v^2} \frac{Z^2}{[\sin^2(\theta/2) + B]^2}. \quad (3)$$

This formula is commonly used in cold MC methods.<sup>17,31</sup> The screening factor due to the electronic structure is modeled by the factor  $B$ . This factor is given by

$$B = \frac{1}{4} \left(\frac{\hbar}{p}\right)^2 R^{-2}. \quad (4)$$

Here,  $R$  is the characteristic length of screening, which is derived considering the atomic structure described within the framework of Thomas–Fermi (TF) theory:<sup>32,33</sup>

$$R = 0.885Z^{-1/3}a_0, \quad (5)$$

where  $Z$  is the atomic number and  $a_0$  is the Bohr radius:

$$a_0 = \frac{\hbar}{m_e c \alpha} = 5.29 \times 10^{-9} \text{ cm}, \quad \alpha = \frac{1}{137}. \quad (6)$$

#### 2. Partial ionization

In the ICF regime, the atoms of which the targets are composed can be characterized as being partial ionized. Thus, hot electrons scatter with nuclei that are screened by other plasma particles and by their residual electronic structure. This condition has been addressed in some unpublished theses,<sup>34–36</sup> in which the authors have proposed an analytical form for the screening distance as a function of the ionization state of the element. Here, we adopt another approach, deriving an analytical DCS that describes this phenomenon.

To model the interaction between an electron and the nucleus of a partially ionized atom, we consider a potential  $V(r)$  of the form<sup>37</sup>

$$V(r) = \frac{e^2}{r} (Z_b e^{-r/R} + Z^* e^{-r/D}), \quad (7)$$

where  $Z_b$  is the number of bound electrons and  $Z^*$  is the number of free electrons per atom. If the atom has atomic number  $Z$ , then  $Z^* = Z - Z_b$ . In Eq. (7),  $R$  and  $D$  represent the characteristic

distances at which the screenings due to bound electrons and plasma particles are effective, respectively. In particular,  $R$  is given by Eq. (5) with  $Z$  replaced by  $Z_b$ , while the screening due to plasma charges is modeled considering the maximum of the Debye length and the ion sphere radius, i.e.,  $D = \max\{\lambda_D, r_i\}$ . This choice is driven by the fact that the plasma generated by the laser interaction in the solid target is nonideal, being characterized by high density, partial ionization, and strong Coulomb interactions. Under these conditions, the Debye sphere contains, on average, less than one ion, and the statistical treatment of the equations on which the Debye theory is based cannot be justified. In this case, the screening effect is due to a few neighboring ions that place themselves around a given charge, and the literature suggests that the screening length be taken as equal to the “ion sphere radius” (i.e., the average distance between ions)<sup>38–42</sup>

$$r_i = \left(\frac{4}{3}\pi n_i\right)^{-1/3}, \quad (8)$$

where  $n_i$  is the ion density.

To better understand the model, we study the behavior of the potential (7) as a function of  $r$ :

$$\begin{cases} V(r) \simeq \frac{Ze^2}{r}, & r \rightarrow 0, \\ V(r) \simeq \frac{e^2}{r} (Z_b e^{-r/R} + Z^*), & 0 < r < R, \\ V(r) \simeq \frac{Z^* e^2}{r} e^{-r/D}, & R \ll r \leq D, \\ V(r) \simeq 0, & r \rightarrow \infty. \end{cases} \quad (9)$$

For  $r \rightarrow 0$ , i.e., within the closest range of the nucleus, the potential assumes the form of a Coulomb potential for a charge  $Z$  in vacuum. This is as expected, since in the proximity of the nucleus, plasma particles or bound electrons do not screen the nuclear charge. For  $0 < r < R$ , the potential is given by the sum of the potential of a  $Z^*$  charge plus the potential of a screened  $Z_b$  charge. Here, the screening effect is due only to bound electrons. The approximation introduced by the model is mainly contained in this region, since the electron should feel a potential generated by a charge  $Z$  screened by bound electrons. For  $R \ll r \leq D$ , the electron moves outside the screening sphere formed by bound electrons, and it actually feels the potential of a “macroparticle” of charge  $Z^*$  (the positively charged nucleus minus the charge of bound electrons). This macroparticle is screened by the presence of the plasma, and this is modeled by the exponential  $e^{-r/D}$ . For  $r \rightarrow \infty$ , the plasma charges screen the ion potential completely, and the value of  $V(r)$  approaches 0. From Eq. (7), according to perturbation theory in the first Born approximation,<sup>33</sup> it is possible to derive the following DCS:

$$\frac{d\sigma}{d\Omega} = \frac{e^4}{4p^2 v^2} \left\{ \frac{Z^{*2}}{[\sin^2(\theta/2) + F]^2} + \frac{Z_b^2}{[\sin^2(\theta/2) + B]^2} + \frac{2Z_b Z^*}{[\sin^2(\theta/2) + F][\sin^2(\theta/2) + B]} \right\}. \quad (10)$$

Here,  $p$  and  $v$  are the momentum and velocity of the incident electron and  $\theta$  is the polar scattering angle in the laboratory reference

frame.  $F$  and  $B$  are two coefficients describing the screening effects due to plasma particles and bound electrons, respectively.  $B$  is given by Eq. (4), while  $F$  is given by

$$F = \frac{1}{4} \left(\frac{\hbar}{p}\right)^2 (\max\{\lambda_D, r_i\})^{-2}. \quad (11)$$

In the case in which  $\theta \rightarrow 0$ , i.e., for collisions at large impact parameter, Eq. (10) can be written in a more compact form, as presented in Ref. 43 for the case of nonionized material:

$$\frac{d\sigma}{d\Omega} = \frac{4e^4}{p^2 v^2} \left[ \frac{Z_b^2}{(\theta^2 + \theta_b^2)^2} + \frac{Z^{*2}}{(\theta^2 + \theta_f^2)^2} + \frac{2Z_b Z^*}{(\theta^2 + \theta_b^2)(\theta^2 + \theta_f^2)} \right], \quad (12)$$

where  $\theta_b^2 = 4B$  and  $\theta_f^2 = 4F$ . From this equation, it is possible to understand the importance of the screening terms ( $\theta_{b,f}$ ) in collisions at large impact parameters.

In the case of a large scattering angle, the screening terms play a minor role. Since  $B \ll 1$  and  $F \ll 1$ , in this case, the cross section (10) reduces to the Rutherford cross section:

$$\frac{d\sigma}{d\Omega} = \frac{e^4}{p^2 v^2} \frac{Z^2}{4 \sin^4 \theta}. \quad (13)$$

Equation (10) represents the DCS describing the elastic collision between an electron and a partially ionized atom in a plasma. Regarding its regime of validity, this equation is derived under the nonrelativistic assumption on the basis of the first Born approximation

$$\frac{Z}{137\beta} \ll 1. \quad (14)$$

Considering the fact that hot electrons in ICF have mean kinetic energies of the order of tens of keV,<sup>44–47</sup> and targets are usually composed by low- $Z$  materials (CH ablaters and DT shells), the DCS (10) is adequate for our purposes.

### 3. Complete ionization

As already mentioned, in ICF and SI, the generation of hot electrons happens after the compression laser pulses. These pulses have duration and intensity sufficient to completely ionize part of the atoms in the capsule. Thus, hot electrons will interact with completely ionized atoms screened by plasma charges. This condition has been already considered in the literature, in particular to model the propagation of electrons in the fast ignition regime (i.e., electrons with energy of the order of MeV).<sup>29</sup> A suitable DCS that describes the electron–nucleus interaction considering the screening effects is the Dalitz DCS:<sup>48–50</sup>

$$\frac{d\sigma}{d\Omega} = \left(\frac{Zr_0}{\gamma\beta^2}\right)^2 \frac{1}{4 \sin^4(\theta/2)} \left(1 - \beta^2 \sin^2 \frac{\theta}{2}\right) \left[\frac{\Lambda^2 \sin^2(\theta/2)}{1 + \Lambda^2 \sin^2(\theta/2)}\right]^2. \quad (15)$$

Here,  $Z$  is the atomic number of the scattering center,  $\gamma$  and  $\beta$  are the electron relativistic kinematic quantities, and  $\theta$  is the scattering

angle in the laboratory reference frame. In this formula, it is possible to recognize a “Mott” part multiplied by the screening term

$$\left[ \frac{\Lambda^2 \sin^2(\theta/2)}{1 + \Lambda^2 \sin^2(\theta/2)} \right]^2, \quad \text{where } \Lambda = 2 \frac{p}{\hbar} \max\{\lambda_D, r_i\}. \quad (16)$$

The nonrelativistic limit of the formula (15) corresponds to Eq. (10) in which  $Z_b \rightarrow 0$ . Similarly to what was shown for Eq. (10), the screening term plays an important role for small-angle collisions (high impact parameters), while in the case of hard collisions, the cross section reduces to the Rutherford form.

This DCS is derived according to the second Born approximation ( $Z/137\beta)^2 \ll 1$  and it is valid in the relativistic regime.

#### IV. SCATTERING THEORIES: SCATTERING POLAR ANGLE DISTRIBUTION FOR SOFT AND HARD COLLISIONS

The algorithm proposed here follows closely the method used in the Penelope MC code,<sup>17,20</sup> even if some modifications are required because of the different nature of the problem. As in Penelope, we have implemented a mixed algorithm in which soft and hard collisions are simulated separately. We identify as soft collisions those scattering events for which the polar scattering angle is less than a predetermined value  $\theta_s$ , which is around  $10^\circ$ <sup>17</sup> (the exact value of  $\theta_s$  does not have a large impact on the final results of the simulation). Evidently, hard collisions are such events with a scattering angle greater than  $\theta_s$ . Soft scattering events are modeled according to the Goudsmit and Saunderson theory,<sup>51–53</sup> while hard collisions are simulated considering a two-body interaction according to the DCSs listed in Sec. III.

##### A. Multiple scattering theory

A multiple-scattering theory evaluates the global effect of the collisions that occur in a track segment of a given length traveled by the electron. An accurate simulation procedure is based on the Goudsmit–Saunderson multiple-scattering theory.<sup>51,52</sup> The most important result obtained from this theory is the fact that in the soft scattering angle approximation, the soft collision polar angle can be sampled from the distribution  $F_{GS}(\theta, \Delta s)$ , which does not differ significantly from the Gaussian distribution with variance  $\Delta s/\lambda_1^{(s)}$ :<sup>17</sup>

$$F_{GS}(\theta, \Delta s) = \frac{1}{2\pi} \frac{\lambda_1^{(s)}}{\Delta s} \exp\left(\frac{\Delta s}{8\lambda_1^{(s)}}\right) \exp\left(-\frac{\lambda_1^{(s)}}{2\Delta s} \theta^2\right), \quad (17)$$

where  $\lambda_1^{(s)}$  is the *small-angle first transport mean free path* and  $\Delta s$  is the path length traveled by the particle. The small-angle first transport mean free path reads

$$\lambda_1^{(s)-1} = 2\pi N \int_{\theta_{\min}}^{\theta_s} \frac{d\sigma(\theta)}{d\Omega} (1 - \cos \theta) \sin \theta d\theta, \quad (18)$$

where  $N$  is the density of the scattering centers characterized by the DCS  $d\sigma(\theta)/d\Omega$  (for simplicity, in this equation, we are considering

a single-species medium). In the case of a multispecies medium like a plasma, the additivity rule should be applied to compute the mean free path:

$$\frac{1}{\lambda} = \sum_i \frac{1}{\lambda_i}. \quad (19)$$

In this equation, the sum is over all the species of which the plasma is composed. Let us remark that  $\lambda_1^{(s)}$  should be computed considering the small scattering approximation. Thus, the upper bound in the integral (18) is set to  $\theta_s \simeq 10^\circ$ . The lower bound  $\theta_{\min}$  is equal to 0 in the case of collision with ions, or equal to  $\theta_{\min} = \hbar/p$  in the case of electron–electron collisions.<sup>43,54</sup>

This analytical distribution provides a simple tool to generate the angular deflection caused by soft collisions experienced by the hot electrons.

##### B. Hard collisions

Hard collisions, i.e., collisions that cause the primary particle to deviate with a polar scattering angle greater than  $\theta_s$ , are simulated considering a two-body interaction. In particular, the primary electron can scatter with other free plasma electrons or nuclei. The probability distribution for the polar scattering angle reads<sup>17,20</sup>

$$p^{(h)}(\theta_h) = c_n \frac{d\sigma(\theta)}{d\Omega} \sin \theta H(\theta - \theta_s), \quad (20)$$

where  $c_n$  is a normalization coefficient and  $H(\theta - \theta_s)$  is the Heaviside function, since we want to exclude small scattering, already simulated according to the Goudsmit–Saunderson theory. Here,  $d\sigma(\theta)/d\Omega$  is the DCS related to the scattering center (free plasma electrons or nucleus; see Sec. III).

#### V. STOPPING POWER

In recent decades, with the increasing interest in nuclear fusion, particular attention has been devoted to study of the energy loss of electrons in plasmas.<sup>54–57</sup> As already mentioned in the preceding sections, a hot electron that propagates in a plasma undergoes several collisions with other electrons (free or bound) or with nuclei. Collisions with free plasma electrons or with the remaining electronic structure of an atom are accompanied by energy transfer. Collisions with nuclei cause mostly changes in electron direction, while the energy transfer is limited because of the greater mass of the nucleus. Another source of energy loss is the excitation of plasma waves. In this case, the electric field generated by a hot electron makes the plasma electrons oscillate, leading to energy transfer between the hot electron and the medium.

In this section, we describe the different sources of energy loss for a hot electron propagating in a plasma, together with the equations governing the process.

##### A. Energy loss in electron–electron collisions

While propagating in a plasma, a hot electron collides with other free plasma electrons. Since the two colliding particles have the same mass, the primary particle may transfer part of its energy to the plasma electron. This phenomenon is treated in Ref. 54 and

the linear energy loss due to binary electron–electron collisions is given by the following formula:

$$\frac{dE}{dS_{ee}} = \frac{2\pi r_0^2 m c^2 n_e}{\beta^2} \left[ 2 \ln \Lambda + \ln \frac{1}{4} + 1 + \frac{1}{8} \left( \frac{\gamma - 1}{\gamma} \right)^2 - \frac{2\gamma - 1}{\gamma^2} \ln 2 \right], \quad (21)$$

where  $r_0$  is the classical electron radius,  $m$  is the electron mass,  $c$  is the speed of light,  $n_e$  is the free electron density of the plasma, and  $\beta$  and  $\gamma$  are the relativistic kinematic quantities of the incident electron.  $\ln \Lambda$  is the so-called Coulomb logarithm:

$$\ln \Lambda = \ln \left( \frac{\max\{\lambda_D, r_i\}}{\lambda_{ee}^*} \right), \quad (22)$$

where  $\lambda_{ee}^*$  is the de Broglie wavelength in the center of mass, which is given by

$$\lambda_{ee}^* = \frac{\hbar}{2p^*} = \frac{\hbar}{mc\sqrt{2(\gamma - 1)}}. \quad (23)$$

If the de Broglie length is smaller than the Landau impact parameter  $b_0$ , then quantum effects can be neglected and the plasma becomes classical.<sup>58</sup> The literature then suggests that the following expression should be used for the Coulomb logarithm:<sup>39</sup>

$$\ln \Lambda = \ln \left( \frac{\max\{\lambda_D, r_i\}}{\max\{\lambda_{ee}^*, b_0\}} \right). \quad (24)$$

## B. Energy loss in electron–atom inelastic collisions

An energetic electron that propagates in a medium experiences collisions with atoms. In particular, the electron may undergo an inelastic collision and transfer energy to the electronic structure of an atom. As remarked in Sec. II, these collisions do not influence strongly the direction of the primary particle, but, conversely, they play an important role in the electron energy loss. This energy transfer is modeled considering the mean excitation potential  $I$ . In the case of an ionized medium, this can be computed as a function of the degree of ionization of the atom:<sup>40,59</sup>

$$I = aZ \frac{\exp\left[1.294(Z^*/Z)^{0.72-0.18Z^*/Z}\right]}{\sqrt{1-Z^*/Z}}. \quad (25)$$

The constant  $a$  is set to  $\sim 10$  eV to fit the numerical calculations of  $I$  computed using TF theory.<sup>60,61</sup> In the case of a carbon ion, for  $Z^* = 1$ ,  $I \simeq 95$  eV, a value slightly greater than the value of 78 eV for the nonionized atom.<sup>62</sup> This is consistent with the fact that the values of  $I$  for ionized elements are in general greater than those in the nonionized case. Qualitatively, this happens because the primary particle interacts with inner atomic electrons, which feel a greater nuclear Coulomb potential. In the case of cold material (i.e., a nonionized material at  $T = 0$ ), the values of  $I$  tabulated in the ICRU report for all elements are implemented in the code.<sup>62,63</sup>

To calculate the electron stopping power,  $I$  is used in the Bethe–Bloch formula:<sup>64</sup>

$$\frac{dE}{dS_{ei}} = \frac{2\pi r_0^2 m c^2 Z_b n_i}{\beta^2} \left\{ \ln \left[ \left( \frac{E}{I} \right)^2 \frac{\gamma + 1}{2} \right] + \frac{1}{\gamma^2} + \frac{1}{8} \left( \frac{\gamma - 1}{\gamma} \right)^2 - \frac{2\gamma - 1}{\gamma^2} \ln 2 \right\}, \quad (26)$$

where  $E$  is the electron kinetic energy and  $n_i$  is the atomic density of species  $i$ .

In the case of mixed species, it is assumed that the stopping power additivity rule is a good approximation. For a medium containing  $N$  species, this rule reads

$$\frac{dE}{dS_{ej}} = \sum_j \left( \frac{dE}{dS_{ei}} \right)_j, \quad (27)$$

where the sum is over all the species. This can be considered correct in a plasma, since ions are free and molecular binding is absent. Some error is, however, introduced in the case of cold materials, because the molecular bonds should be considered: the interaction of the energetic electron is no longer with atoms seen as a single entity, but rather with the entire molecule. Despite this, we consider the additivity rule sufficiently accurate for our purposes.

## C. Energy loss due to plasmon excitation

The formulas given in Secs. V A and V B describe the electron energy loss due to binary collisions with other free plasma electrons or with partially ionized atoms (or with nonionized atoms in the cold case). These interactions are relevant, and the corresponding formulas are correct, if the electron passes at distances from the target particle less than the Debye length (or ion sphere radius). For greater interaction distances, the plasma acts as a continuous medium in which the charged particles participate in collective behavior, responding to the electric field generated by the hot electron.<sup>65</sup> In particular, the hot electron loses energy by exciting plasma oscillations. A nonrelativistic treatment of the phenomenon is given in Ref. 43, and the stopping power formula that describes the loss of energy due to plasma wave excitation is

$$\frac{dE}{dS_{ep}} = \frac{2\pi r_0^2 m c^2 n_e}{\beta^2} \ln \left( 1.123 \frac{\beta c}{\omega_p \max\{\lambda_D, r_i\}} \right)^2. \quad (28)$$

## D. Bremsstrahlung emission and Fermi effect

We have not so far mentioned the energy losses due to bremsstrahlung generation. This effect is important for electron kinetic energies greater than  $\sim$ MeV, and so it can be neglected in our regime. In particular, the ratio between the collisional and bremsstrahlung energy losses in cold material is<sup>66</sup>

$$\frac{(dE/dS)_b}{(dE/dS)_c} \sim \frac{TZ}{700}, \quad (29)$$

where  $T$  is the electron energy in MeV. Thus, for an electron with an average kinetic energy of  $\sim 30$  keV in CH ( $Z = 3.5$ ), the collisional

stopping power is four orders of magnitude greater than the bremsstrahlung stopping power.

Let us also mention that in the cold case, the Fermi density effect is neglected. This effect reduces the value of the stopping power because of the atom polarization in the medium<sup>43</sup> and it becomes important for highly energetic electrons ( $\sim$ MeV).

## VI. PROPAGATION ALGORITHM

As mentioned in Sec. I, a mixed simulation algorithm was chosen to simulate the hot electron propagation. In particular, the beam diffusion is modeled considering soft and hard collisions with nuclei and free plasma electrons, while the electron slowing down is simulated using the formulas presented in Sec. V. The algorithm is implemented following closely the method used in the Penelope MC code,<sup>17,20</sup> although with some modifications that are required because of the different nature of the problem. Notably, in addition to the modifications to the stopping powers and scattering cross sections, our numerical method differs from Penelope in the following ways:

- It uses an unstructured tetrahedral grid framework, with spatial gradients and automatic updating of the stopping power value  $S_e(E)$ .
- Free path probabilities are modified, taking the inhomogeneity of the medium into account.

The steps of the algorithm are as follows:

1. Set the initial position and momentum direction  $\mathbf{r}$  and  $\mathbf{p}$  of the electron.
2. Sample the length of the step up to the next hard collision using the formula

$$\Delta s = -\lambda^{(h)} \ln \xi, \quad (30)$$

where  $\xi$  is a random number between 0 and 1.  $\lambda^{(h)}$  is the mean free path between hard collisions, and it is computed according to the following prescription:<sup>17</sup>

$$\lambda^{(h)} = \max\{\lambda, C_s \lambda_1\}, \quad (31)$$

where  $\lambda$  is the mean free path, given by

$$\lambda^{-1} = 2\pi N \int_{\theta_{\min}}^{\theta_{\max}} \frac{d\sigma(\theta)}{d\Omega} \sin \theta d\theta, \quad (32)$$

and  $\lambda_1$  is the first transport path (18). It should be noted here that the upper integration limit in the integral (18) is no longer  $\theta_s$  (as it was in the soft scattering approximation), but has been extended up to  $\theta_{\max}$ , i.e., all the possible polar directions are considered, depending to the scattering center (electron or nucleus). In the case of a multispecies medium, the two quantities are computed according to the additivity rule (19).  $C_s$  is a preselected small constant chosen by the user to control the computer time needed to simulate the electron history in a mixed algorithm. Its value should be small, so as to not impact the simulation results. In Penelope, simulation results are generally stable under variations of  $C_s$  within the interval (0, 0.1). As is done in the literature, we set  $C_s = 0.05$ . This prescription amounts to saying that the average angular deflection due to all elastic collisions (soft

and hard) occurring along the step equals  $C_s$ .<sup>53</sup> Detailed information on this can be found in Refs. 17, 20, 67, and 68.

If  $\lambda > C_s \lambda_1$ , then the simulation is detailed and the scattering phenomena are simulated individually (i.e., a two-body interaction is simulated). Conversely, if  $\lambda < C_s \lambda_1$ , the simulation enters the *mixed mode*, and soft and hard scattering phenomena are simulated separately. In our case, the simulation runs for the most of the time in mixed mode. Only when the electron has energy around  $\sim 10$ – $20$  keV does the detailed algorithm come into play, but, at this point, the particle will experience only a few collisions before being completely stopped. The two algorithms proceed as follows.

### A. Detailed simulation

- 3a. If  $\lambda > C_s \lambda_1$ , then the simulation becomes a detailed simulation.<sup>17</sup> The particle is propagated for a step  $\Delta s$ , and the collision is simulated. In case of a multispecies material, the probability of interacting with the  $i$ th element is calculated according to the equation

$$P(i) = \frac{\sigma_i}{\sigma_{\text{tot}}} = \frac{\lambda_i^{-1}}{\sum_j \lambda_j^{-1}}, \quad (33)$$

where the sum is over all the elements  $j$  and  $\lambda_j$  are the mean free paths taking account of the collisions with the  $j$ th element. The probability for the electron to find the  $i$ th species is sampled according to Eq. (33) using a random number  $\xi \in (0, 1]$ :

$$\sum_{j=0}^{i-1} P(j) \leq \xi \leq \sum_{j=0}^i P(j). \quad (34)$$

The collision with the  $i$ th component is simulated by sampling the scattering polar angle from the DCS of the  $i$ th element:

$$p(\theta) = c_n \frac{d\sigma_i(\theta)}{d\Omega} \sin \theta, \quad (35)$$

where  $c_n$  is a normalization coefficient. The azimuthal angle  $\varphi$  is sampled from a uniform distribution from 0 to  $2\pi$ . It should be noted that since the algorithm is running in “detailed mode,” the separation between hard and soft collisions is not effective. The sampling of the polar angle is conducted from 0 to  $\pi$  in the case of collisions with nuclei, or from  $\theta_{\min}$  (see Sec. IV A) to  $\theta_{\max} = \arcsin \sqrt{2/(\gamma+3)}$  in the case of collisions with electrons.  $\theta_{\max}$  is the deflection corresponding to maximum energy loss, i.e., when the primary electron gives half of its kinetic energy to a plasma electron.<sup>29</sup> In contrast to the formula (20), Eq. (35) is not multiplied by the Heaviside function.

### B. Mixed simulation

- 3b. If  $\lambda < C_s \lambda_1$ , then the simulation uses the mixed algorithm.<sup>17</sup> Soft collisions are treated using the Goudsmit–Saunderson theory (see Sec. IV A), and hard collisions are simulated individually. In particular, the electron first moves for a distance  $\tau = \Delta s \xi$ , where  $\xi$  is a random number between 0 and 1.

Here, it experiences an artificial soft collision, and the polar angle  $\theta$  that defines the new direction is sampled from the Goudsmit–Saunderson distribution function  $F_{GS}(\theta, \tau)$  (17). The azimuthal angle  $\varphi$  is sampled from a uniform distribution between 0 and  $2\pi$ .

Second, the electron moves for a distance  $\Delta s - \tau$ , where it will experience a single hard collision with a scattering center. As before, in the case of a multispecies medium, the probability for the electron to collide with the  $i$ th element is sampled according to Eq. (34). After that, the scattering polar angle  $\theta$  of the new direction is sampled from the DCS of the  $i$ th scattering center, according to the distribution function (20). The azimuthal angle  $\varphi$  is sampled from a uniform distribution between 0 and  $2\pi$ . Figure 1 shows a schematic representation the two algorithms: detailed and mixed.

- The slowing down along the track is calculated considering the CSDA. Denoting by  $S_e(E)$  the stopping power, with

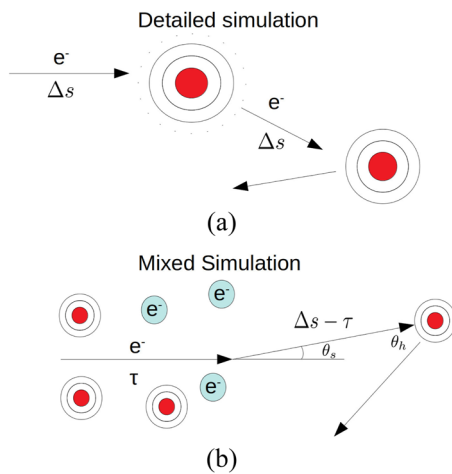
$$S_e(E) = \left( \frac{dE}{ds} \right)_{ee} + \left( \frac{dE}{ds} \right)_{ei} + \left( \frac{dE}{ds} \right)_{ep}, \quad (36)$$

the energy loss along the path  $\Delta r$  is simply  $E_{\text{loss}} = S_e(E)\Delta r$ , provided that  $S_e(E)$  is constant along  $\Delta r$ . As mentioned in Sec. I, this MC code will be implemented as a module of hydrodynamic codes, and electrons move and deposit energy in a 3D grid. If an electron enters a tetrahedron at the point  $\mathbf{r}_0$  and propagates along a path with direction  $\mathbf{p}_0$  for time  $\tau$ , its position will be

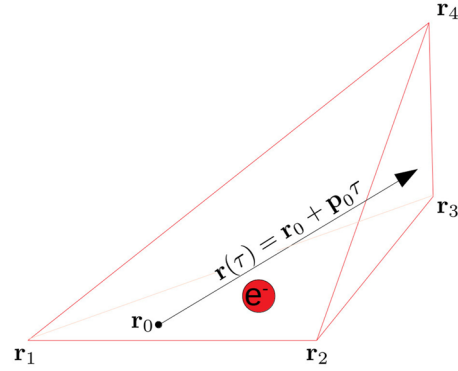
$$\mathbf{r}(\tau) = \mathbf{r}_0 + \mathbf{p}_0\tau, \quad (37)$$

as shown in Fig. 2. The energy loss is computed by integrating the stopping power  $S_e$  along the path for time  $\tau$ :

$$\int_0^\tau dt S_e(t) = \int_0^\tau [S_e(\mathbf{r}_1) + (\mathbf{r}(t) - \mathbf{r}_1) \cdot \nabla S_e] dt, \quad (38)$$



**FIG. 1.** Schematic representation of the two algorithms: (a) detailed simulation algorithm; (b) mixed simulation algorithm. In a detailed simulation, each scattering event is simulated individually in a two-body interaction. In the mixed algorithm, soft and hard collisions are simulated separately.



**FIG. 2.** Grid element of the mesh in which the electron propagates. The position of each node is indicated by the vector  $\mathbf{r}_i$ .  $\mathbf{r}_0$  indicates the position at which the particle enters the tetrahedron, while  $\mathbf{r}(\tau)$  is the path traveled by the particle in the tetrahedron.

where  $\mathbf{r}_1$  is the position of the first node. The integral is analytically solvable, and it yields

$$E_{\text{loss}} = S_e(\mathbf{r}_1)\tau + \left[ (\mathbf{r}_0 - \mathbf{r}_1)\tau + \frac{\mathbf{p}_0\tau^2}{2} \right] \cdot \nabla S_e. \quad (39)$$

We are assuming here that the gradient is constant in each tetrahedron.<sup>69</sup> It is clear that large grid elements will cause greater error, while smaller grid elements will allow for a more precise modeling of the electron transport at the expense of computational time. In this regard, simulations are generally stable for tetrahedron lateral dimensions of the order of micrometers.

- The process is repeated until the electron kinetic energy becomes lower than the electronic plasma temperature (i.e., the electron is thermalized) or until the electron exits the computational domain.

Let us mention that according to this algorithm, the history of each electron is followed individually, and the electrons scatter and lose energy according to the physical phenomena described in the preceding sections. It is well known, however, that the propagation of electrons emitted by laser–plasma interaction can be affected by the presence of collective effects and self-consistent electric and magnetic fields. These effects were neglected in previous studies of hot-electron transport in the fast ignition (FI) context.<sup>29,70,71</sup> Furthermore, in Ref. 72, the authors evaluated the importance of the collective losses on the propagation of hot electrons in the context of SI, asserting that these are negligible because of the low intensities of the electron beam. The evaluation of the collective effects and the impact of the self-generated electromagnetic fields on hot-electron propagation is an open topic, and it can provide motivation for future work.

Another aspect that is not simulated by our model is the generation of secondary electrons. These electrons are generated by the hard collision of the primary particle with plasma or bound electrons, which become suprathermal. As noted in Paper II,<sup>25</sup> hard collisions should not be neglected, since they strongly modify the directions of the hot electrons. While the simulation of secondary



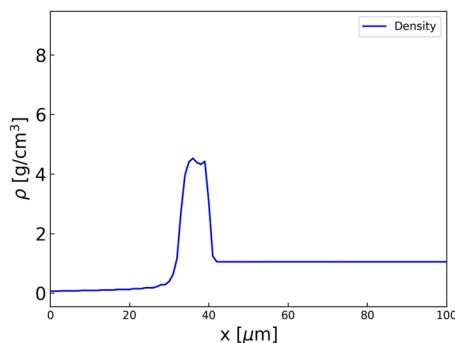
electrons is important in fields in which a high degree of precision is required (e.g., radiotherapy), given the typical uncertainties in laser–plasma experiments, their absence should not cause any great problems. However, the need to understand and evaluate the effect of secondary electrons again provides motivation for future investigations.

It is worth mentioning that it is important to be aware of numerical errors in the code, which need to be minimized. In particular, the absolute number of particles launched in the simulation should be sufficiently high to minimize the statistical fluctuations of the physical quantities considered. Another source of numerical error is related to the grid element dimension. In fact, large tetrahedron dimensions will cause erroneous computation of the electron stopping power. It is therefore necessary to set a sufficiently fine mesh and a sufficiently high number of particles to obtain accurate results, even if this causes a degradation in the performance of the simulation.

### C. Calculation of mean free path in an inhomogeneous medium

This subsection describes an algorithm suitable for calculating the collision distance  $\Delta s$  of an electron propagating inside an inhomogeneous medium. In cold MC methods, the collision distance is sampled from an exponential distribution at the beginning of the electron history [see Eq. (30)]. However, this procedure is not adequate for our purposes. In fact, in the case of laser-irradiated targets in the ICF context, the material density can vary by orders of magnitude in several tens or hundreds of micrometers. As an example, Fig. 3 shows the density profile of a planar CH target irradiated with a laser of intensity  $10^{16}$  W/cm<sup>2</sup>, after 500 ps of irradiation. The density goes from 0.05 g/cm<sup>3</sup> at  $x = 0$  (coronal plasma) to 4 g/cm<sup>3</sup> (shocked region) after 30  $\mu$ m. An electron initialized at  $x = 0$  will be characterized by a large mean free path  $\lambda(E, \rho)$ , since the material density is very low. Because of this, the electron propagates for the first 20–30  $\mu$ m without experiencing any collisions, but at this point the material density is much higher. The value of  $\lambda(E, \rho)$  computed at the beginning of the electron history is no longer valid in this new condition.

An approximate method to compute the collision distance in a nonhomogeneous medium is presented in Ref. 74. This method



**FIG. 3.** Density profile of a CH planar target irradiated by a laser at an intensity of  $10^{16}$  W/cm<sup>2</sup>. The density profile is extracted from a hydrodynamic simulation using the CHIC<sup>73</sup> code, after 500 ps of irradiation. The laser is coming from the left.

was originally developed for neutral particles, but it is adequate for our purposes. Let us consider a neutral particle that propagates in a heterogeneous medium. In our case, this medium is composed of small volumes, the grid elements, within each of which the material is homogeneous (see Fig. 4). The collision distance should be recomputed at each cell interface, taking into account the path already traveled and the density variations. If the particle crosses cells 1 and 2, traveling distances  $l_1$  and  $l_2$ , the collision distance in cell 3 can be sampled according to the following formula:

$$\Delta s_3 = -\lambda_3^{(h)} \ln \xi - \frac{l_1}{\lambda_1^{(h)}} \lambda_3^{(h)} - \frac{l_2}{\lambda_2^{(h)}} \lambda_3^{(h)}, \quad (40)$$

where  $\xi$  is a random number  $\in (0, 1]$  and  $\lambda_i$  are the mean free paths computed in each cell.

If  $\Delta s_3 < l_3$ , the particle is transported by  $x = l_2 + \Delta s_3$  and the collision is simulated. Conversely, if  $\Delta s_3 > l_3$ , the particle is propagated up to the boundary of cell 3 and  $\Delta s_4$  is computed by adding the term  $-(l_3/\lambda_3^{(h)})\lambda_4^{(h)}$  to the right-hand side of Eq. (40) and replacing  $\lambda_3^{(h)}$  by  $\lambda_4^{(h)}$ .

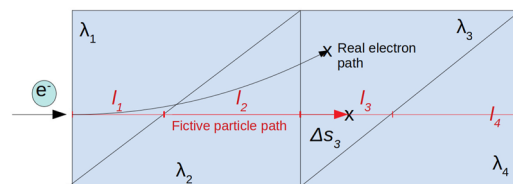
From a practical point of view, the collision distance  $\Delta s$  is calculated by launching a “fictitious” particle before the real electron track. These fictitious particles have the same kinetic energy as the electron and they propagate following straight lines in the grid, as shown in Fig. 4. Referring to the figure, the particle starts in tetrahedron 1, where the collision path is computed according to Eq. (30):

$$\Delta s_1 = -\lambda_1^{(h)} \ln \xi. \quad (41)$$

If  $\Delta s_1 < l_1$ , the real electron is launched and propagated up to  $x = \Delta s_1$ , where it experiences a collision. Conversely, if  $\Delta s_1 > l_1$ , then the fictitious particle arrives at the boundary between tetrahedra 1 and 2, and  $\Delta s_i$  is recalculated using Eq. (40). This process is repeated  $i$  times until  $\Delta s_i < l_i$ . At this point, the collision path is given by

$$\Delta s = \sum_{i=0}^{i-1} l_i + \Delta s_i, \quad (42)$$

and the real electron track starts. The main approximation introduced using this method is the fact that the fictitious particle does



**FIG. 4.** Calculation of the collision distance for an electron moving in a computational domain subdivided into triangular cells. In each cell  $k$ , the medium density is constant and the electron will be characterized by a mean free path  $\lambda_k$ . The path traveled by the electron before reaching the boundary of the  $k$ th cell is  $l_k$ . The paths of the fictitious particle and of the electron are indicated by the red and black arrows, respectively. The collision point of the two particles is denoted by  $x$ .

not experience soft scattering events. As a consequence of soft scattering events, the electron does not follow exactly the straight lines traveled by the fictitious particle. However, since soft scattering events do not dramatically change the direction of the electron, the cells that it crosses are close to those crossed by the fictitious particle. Thus, the material densities seen by the electron are similar to those seen by the fictitious particle. To speed up the simulation and to reduce the complexity of the code, one can also neglect the loss of energy of the fictitious particle while it propagates in the grid. This will have a second-order effect in the computation of the collision path.

## VII. BENCHMARK AGAINST GEANT4

In this section, we describe a benchmark of our 3D MC code against Geant4 used with the library Penelope. This was done by performing simulations in which the same target geometry and electron beam characteristics were adopted and then comparing the results from the two codes. In these simulations, we made use of the “cold model,” i.e., we considered electrons propagating in nonionized materials. We recall that under these conditions, atoms are not ionized and electrons scatter with nuclei screened by their complete electronic structure. In this case, the scattering cross sections were modeled according to the Wentzel model (3), and the NIST stopping power formulas were used to compute the slowing down. It should be noted here that Geant4 implements more complex models to simulate the diffusion and the slowing down of the beam. Moreover, it simulates the emission of secondary electrons, an aspect completely neglected in our model. Nevertheless, a comparison between the two codes is useful to estimate the margin of error introduced by our model and its reliability.

A first benchmark was conducted by comparing the energy deposition of electrons in matter. This quantity plays a primary role in the calculation of shell preheating in the SI scheme, and it is therefore necessary to assess the reliability of our numerical method. For this purpose, monochromatic electron beams were injected in a  $200\ \mu\text{m}$  thick  $0.4 \times 0.4\ \text{mm}^2$  slab and the total energy deposit was computed. Electrons were initialized considering a  $100\ \mu\text{m}$  diameter spot with an initial beam divergence of  $22^\circ$ . The initial beam energy ranged from 20 to 800 keV, and each run consisted of 10 000 particles. To evaluate the reliability of the model for different atomic numbers, several materials were considered: beryllium, aluminum, titanium, copper, tungsten, and gold. We also considered CH, since this material is relevant to ICF. As an example, the results for the cases beryllium and gold are shown in Fig. 5.

Overall, for low- $Z$  materials (Be and Al), our model reproduces the Geant4 energy deposition with an error that is smaller than 10%. In the cases of Ti and Cu, the discrepancy between the two codes remains below 20%, while for the highest values of  $Z$  (W and Au), the error does not exceed 50%. The agreement between the two codes is particularly good for electron energies in the range 40–180 keV, especially for low- $Z$  materials, where the error is around 1%. The discrepancies between the two codes at lower energies, especially for high- $Z$  materials, may be due to the fact that in this regime, the first Born approximation no longer holds, and therefore the stopping power formulas and the Wentzel DCS used in our model are not accurate. Moreover, for higher electron energies ( $\geq 600$  keV),

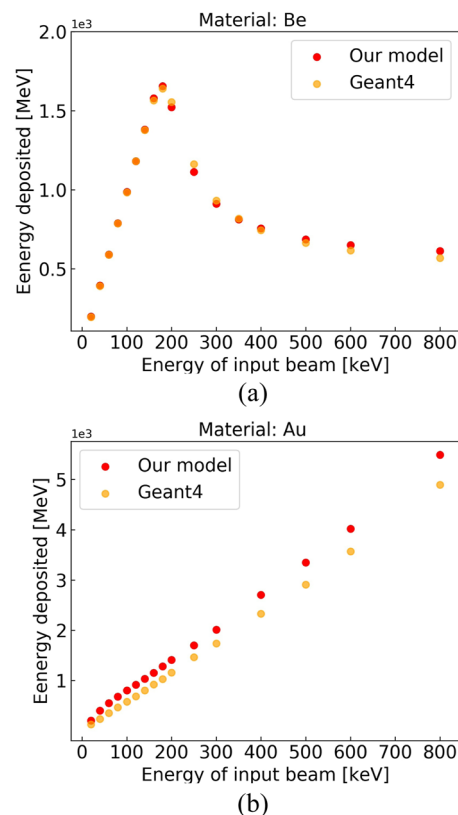
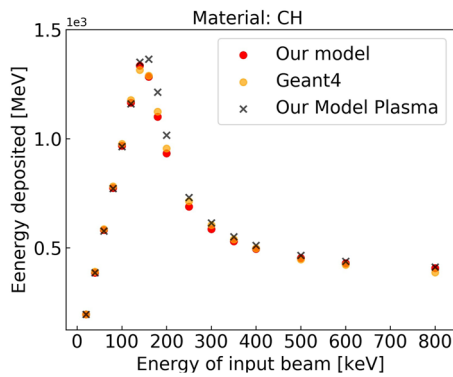


FIG. 5. Energy deposition in a  $0.4 \times 0.4\ \text{mm}^2$ ,  $200\ \mu\text{m}$  thick slab as a function of the initial monochromatic electron beam energy, computed by our model (red dots) and by Geant4 (orange dots). The simulated slab was composed of (a) beryllium and (b) gold.

the disagreement between the codes may be due to the fact that our code does not take account of the bremsstrahlung energy losses, the Fermi density effect, and the secondary electron emission. These discrepancies, however, should not represent a significant issue. First of all, in direct-drive ICF, hot electrons propagate in low- $Z$  materials (the plastic ablator and DT cryogenic shell). Furthermore, low-energy electrons ( $\sim 10$ – $20$  keV) will be suddenly stopped in the compressed shell and do not represent a preheating concern. Conversely, electrons with energy greater than 40 keV can penetrate deeper in the cryogenic shell, preheating the fuel. The fact that our model reproduces the Geant4 results in this energy range with an error around 1% is an important achievement that gives us more confidence in the reliability of the code.

Special attention was devoted to the benchmark of the code for CH, because of its relevance to ICF. Figure 6 represents the energy deposited by monochromatic electron beams in a  $0.4 \times 0.4\ \text{mm}^2$ ,  $200\ \mu\text{m}$  thick CH slab, comparing our model with Geant4. As can be seen, our code reproduces the Geant4 results with an error that is smaller than 5%, establishing the accuracy of the additivity rule in the calculation of the stopping power (27). Furthermore, Fig. 6 shows the energy deposition in the case of CH characterized by a

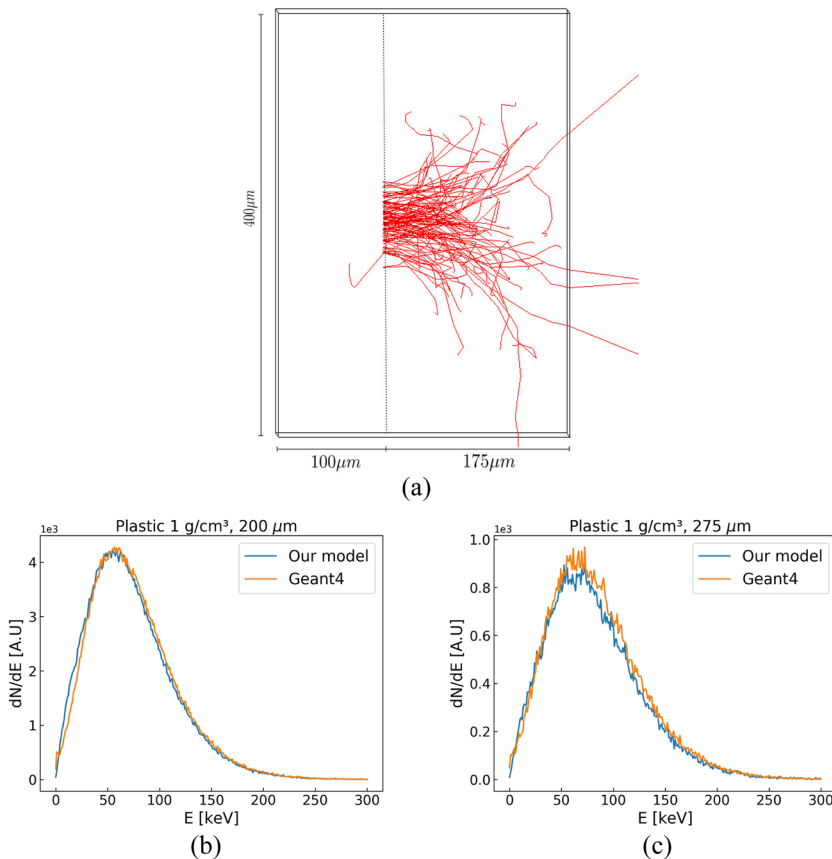


**FIG. 6.** Energy deposition in a  $0.4 \times 0.4 \text{ mm}^2$ ,  $200 \mu\text{m}$  thick CH slab as a function of the initial monochromatic electron beam energy, computed by our model (red dots) and by Geant4 (orange dots). The black crosses indicate the deposited energy computed by our model, assuming a low degree of ionization of the material ( $T = 1 \text{ eV}$ ).

small degree of ionization (black crosses). In particular, we set the material temperature at  $1 \text{ eV}$ , a condition under which each carbon atom releases one electron (on average). It can be seen that the values of energy deposition in the ionized case are slightly greater than

in the cold case. This happens, in particular, for the energy range  $160\text{--}250 \text{ keV}$ , in which the discrepancy with the cold case is around  $7\text{--}8\%$ . In particular, electrons with energy greater than  $150 \text{ keV}$  reach the rear side of the target and escape, whereas in the plasma case, they are more strongly absorbed. This will be carefully demonstrated in Paper II.<sup>25</sup> However, it can be seen from Fig. 6 that for a small degree of ionization, the code converges to the cold limit. A second benchmark was conducted comparing the electron transport in CH targets. We considered in particular  $0.4 \times 0.4 \text{ mm}^2$  plastic slabs with two different thicknesses:  $200$  and  $275 \mu\text{m}$ . Electrons were launched inside the target, with a 2D Maxwellian distribution function with temperature  $26 \text{ keV}$ . This value was chosen on the basis of recent experimental findings on hot-electron characterization in the context of SI.<sup>24</sup>

The particles were initialized in a  $100 \mu\text{m}$  diameter spot with an initial beam divergence of  $\pm 22^\circ$ . Electrons were launched at a distance of  $100 \mu\text{m}$  from the left side of the target, as shown in Fig. 7(a). As a figure of merit for the benchmark, we compared the electron energy spectra at the exit from the target. As can be seen from Figs. 7(b) and 7(c), there is a good agreement between the two codes in the prediction of the electron spectrum at the exit from the target. This is a further important validation of our model with regard to its reliability for the energy range considered. Other benchmarks are proposed in Paper II,<sup>25</sup> in which a more realistic scenario based on recent experimental results is considered, as well



**FIG. 7.** (a) Schematic representation of simulations performed to benchmark our MC model against Geant4. Electrons were injected into a  $0.4 \times 0.4 \text{ mm}^2$  CH slab at  $100 \mu\text{m}$  from the left side. In this case, the simulated slab was  $275 \mu\text{m}$  thick. (b) and (c) Electron energy spectra at the exit from the target for initial target thicknesses of  $200$  and  $275 \mu\text{m}$ , respectively, as predicted by Geant4 (orange curves) and by our model (blue curves). The input electron distribution function was a 2D Maxwellian with a temperature of  $26 \text{ keV}$ .

a the limit of small density gradients, to evaluate the reliability of the algorithm presented in Sec. VI A.

## VIII. CONCLUSION

This paper has described the physical basis and the implementation of a 3D Monte-Carlo code to model hot-electron propagation in fusion targets, with the aim of future implementation in hydrodynamic codes. In contrast to the usual MC methods (e.g., Geant4), this model simulates hot-electron propagation in ionized or partially ionized targets, taking into account the presence of the plasma state. Under these conditions, electrons scatter with other electrons (free or bound) and with nuclei screened by their residual electronic structure and by other plasma particles. The energy losses occur in collisions with other electrons, while collisions with plasma nuclei govern the beam diffusion. These phenomena are modeled using appropriate DCSs and stopping power formulas. In particular, an analytical DCS is proposed to model the elastic scattering between a hot electron and a nucleus screened by its residual electronic structure and by other plasma charges.

The MC method is developed following the so-called mixed algorithm approach. Here, electrons propagate for distances greater than their mean free paths, and the new directions are evaluated according to multiple-scattering theories. In particular, soft collisions are modeled according to Goudsmit–Saunderson theory, while hard collisions are simulated on the basis of a two-body interaction. The electron slowing down and the energy deposition are computed in the continuous slowing down approximation. An important difference with the cold MC methods is that electrons propagate in targets in which strong density gradients are present, and, notably, ablation and compression waves are generated by the laser interaction. Given these considerations, a new algorithm to evaluate the electron collision distance in inhomogeneous materials is developed.

The propagation of electrons in cold materials (i.e., nonionized materials under standard conditions) can also be simulated thanks to a cold module that uses appropriate stopping power formulas and cross sections. This module is used to benchmark the algorithm against Geant4, considering different figures of merit in the comparison. Overall, our model exhibits very good agreement with Geant4 in the description of hot-electron transport and energy deposition in targets.

Compared with previous plasma MC methods,<sup>29</sup> the MC code presented here enables simulation of the propagation of hot electrons in partially ionized materials. This is particularly useful in the analysis of laser–plasma experiments in which the interaction conditions do not allow complete target ionization to be achieved. Furthermore, an important improvement is the simulation of hard collisions.

In Paper II,<sup>25</sup> we report two numerical investigations performed using the plasma MC developed here, studying how the presence of plasma affects hot-electron propagation and performing an initial analysis of the hot-electron-induced preheating in a typical implosion scheme. In particular, a detailed study is conducted with the aim of determining the main features that influence hot-electron propagation in ionized targets (i.e., laser-irradiated targets) and comparing the results with those in the cold case (i.e., nonionized

materials under standard conditions). Overall, targets in the plasma state are more effective than cold targets in stopping and absorbing hot electrons. This is due in part to the fact that the plasma stopping power is greater than the cold stopping power, and in part to enhanced beam diffusion on plasma nuclei. In fact, in this regime, the screening distances in a plasma (the parameter  $D$  in Sec. III) are greater than those in cold materials (the parameter  $R$  in Sec. III), and the range of nuclear potentials is greater in a plasma. It is also shown in Paper II that the dominant contribution to beam diffusion comes from the nuclei rather than the free plasma electrons, justifying our decision to neglect the changes in direction due to collisions with bound electrons. Furthermore, in Paper II, some simulations are conducted to understand the importance of hard collisions, which should not be neglected.

Further investigations will be required to understand the importance of collective effects and the generation of secondary electrons. However, the code is ready for implementation in hydrodynamic codes, providing a basis for the development of robust SI implosion schemes. Finally, if bremsstrahlung and  $K_\alpha$  generation can be added, it will then be possible to interpret laser–plasma experiments without relying on cold MC methods. Further benchmarks and information on the code can be found in Ref. 75.

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## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

## Author Contributions

**A. Tentori:** Conceptualization (lead); Data curation (lead); Formal analysis (lead); Investigation (lead); Methodology (lead); Software (lead); Validation (lead); Writing – original draft (lead). **A. Colaitis:** Project administration (lead); Software (lead); Supervision (lead); Writing – review & editing (lead). **D. Batani:** Funding acquisition (lead); Project administration (lead); Resources (lead); Supervision (lead); Writing – review & editing (lead).

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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