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Space-charge effect on photogenerated-current and -voltage in III-nitride optoelectronic semiconductors

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In this study, we attempted to elucidate the carrier dynamics behind the abnormal characteristics of photogenerated current and voltage $(I_{Ph} \text{ and } V_{Ph})$ at cryogenic temperature in III-nitride optoelectronic semiconductors by employing space-charge theory. To this end, we carefully investigated and analyzed excitation-powerdependent I-V (PDIV) curves operated by quasiresonant excitation of an AlGaInN-based p-i-n junction semiconductor at 300 K and 15 K. At 300 K, the curves exhibited typical characteristics and were well described by the conventional theory. However, the PDIV curves at 15 K could no longer be described by the conventional theory. To elucidate the mechanism behind this phenomenon, we proposed a model in which the space-charge effect (SCE) plays a key role. Based on this model, we proposed the modified Shockley diode equation, which can explain the PDIV characteristic at 15 K, including the SCE. We also discussed the SCE on the efficiency of devices. © 2021 Chinese Laser Press

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1. INTRODUCTION

Energy conversion is one of the primary functions of semiconductor devices. For example, light-emitting diodes (LEDs) and solar cells (SCs) are optoelectronic devices that functionally aim to convert energy from electricity to radiation and vice versa [1,2]. In principle, photons are generated via a bimolecular radiative recombination (R_R) process of injected charge carriers when an external bias with energy greater than the bandgap is applied to the semiconductor. In this case, the generated photon energy typically corresponds to the bandgap energy [3,4]. Inversely, charge carriers are generated when photons with energy greater than the bandgap are incident in a semiconductor device. With generated charge carriers, a photogenerated current (I_{Ph}) and photogenerated voltage (V_{Ph}) can be induced, thereby supplying electricity in an external circuit [5,6].

In energy conversion semiconductors, the identification of the conduction mechanism is of primary importance, as it plays a key role in determining a performance of the device. In other words, carrier recombination/generation processes are physically closely related to carrier conduction/transport processes [7,8]. Particularly, a discrepancy between the rate of carrier recombination and injection during electrical operation can introduce uncompensated space charges, causing that the concomitant push-back electrostatic field gives rise to space-charge-limited current (SCLC). In this situation, electrical driving currents are expected to exhibit a quadratic dependence on the voltage in defect-free semiconductors, which was first theoretically predicted by Mott and Gurney [9,10]. By developing the Mott-Gurney theory, Goodman and Rose theoretically predicted the occurrence of fundamental push-back electrostatic-limited conduction even during optical operation due to the unbalanced transport and buildup of charge carriers, which gives rise to space-charge-limited photocurrent in defect-free semiconductors [11,12]. To date, theories of the space-charge effect (SCE) are frequently adopted in an attempt to elucidate the conduction mechanism in organic optoelectronic semiconductors. In short, the physical mechanisms of the super-/sublinear dependence on the applied voltage (V) of the net current (I) in organic LEDs and SCs were elucidated by employing the abovementioned theories in several research papers [13-17]. However, only several studies have examined the SCE on the conduction of inorganic optoelectronic semiconductors.

Modern optoelectronic devices require high reliability, a long lifetime, and high mechanical strength. For this reason, inorganic semiconductors are currently attracting great attention. The most representative inorganic semiconductor is the III-nitride semiconductor, which is widely used in optoelectronics in the visible and ultraviolet (UV) spectral range owing to its inherent feature of a wide bandgap [18]. III-nitride semiconductors are already commercially available thanks to the first development of a high-quality p-type GaN by Akasaki *et al.* [19,20]. Only several studies have attempted to elucidate the conduction mechanism during electrical operation in AlGaInN-based semiconductors using space-charge theory, for example, the SCLC from the active to p-type cladding layer causing the droop phenomenon at room temperature (RT) and low temperature (LT) [21,22], unipolar SCLC involving electron hopping through the GaN band tail in the active region at LT [23], the square root current dependence on the applied voltage in deep-UV LEDs in a low-current regime at RT [24], the trap-mediated SCLC in coaxial nanowires at high forward bias at RT [25], and the space-charge-limited leakage current in a high reverse bias regime at RT and high temperature [26].

A heterojunction, the most widely adopted multiplequantum-well (MQW) structure, is typically used as an active layer in inorganic optoelectronic semiconductors, which was first demonstrated by Alferov et al., instead of a homojunction to improve efficiency through the effective confinement of charge carriers [27]. However, the probability of R_R in the MQWs of AlGaInN-based semiconductors suffers from reduction due to the following factors: i) the mechanical-stressinduced separation of electron and hole wave functions, which is called the quantum-confined Stark effect (QCSE) [28,29], and ii) electrons in the conduction band and holes in the valence band are distributed differently in the k-space, which is called phase-space filling (PSF) [30-32]. Concerning the QCSE and PSF, in AlGaInN-based semiconductors, uncompensated space charges are expected to give rise to the pushback electrostatic field across the MQWs because the QCSE and PSF cause the carrier injection/generation rate to exceed the recombination rate, generating the buildup of charge carriers in the MQWs. However, to the best of our knowledge, the SCE in AlGaInN-based optoelectronic semiconductors induced by above effects has not yet been thoroughly studied despite the high probability that it may occur.

In this study, we attempted to elucidate the SCE on $I_{\rm Ph}$ and V_{Ph} in AlGaInN-based optoelectronic semiconductors. To this end, we carefully investigated and analyzed photoexcited I-Vcurves depending on the external quasiresonant optical excitation power at RT and cryogenic temperature (CT). It should be noted that Shockley-Read-Hall recombination (R_{SRH}) via defect levels in the MQWs is the main nonradiative process, which is inactive at CT due to the nature of freezing out [33,34]. That is, a further decrease in the total recombination rate in MQWs is expected, which accelerates the discrepancy [22]. Thus, investigation at CT is believed to be useful for this study because we expect the accelerated buildup of charge carriers in an artificially created defect-free device; that is, SCEdominated conduction is predicted at CT. On the basis of the experimental results and analysis, we attempted to experimentally exhibit the SCE on $I_{\rm Ph}$ and $V_{\rm Ph}$ and to unveil the mechanism behind the phenomenon. We also discussed the SCE on the energy conversion efficiency of devices in detail.

2. EXPERIMENTAL DETAILS

For the experiments, an AlGaInN-based p-i-n junction semiconductor device was prepared, and its structure was conventional. The sample was grown on a *c*-plane patterned sapphire substrate by metalorganic vapor-phase epitaxy (Taiyo Nippon Sanso Co., EMC) equipped with 2-inch $(\sim 5.1 \text{ cm})$ susceptors. From the sapphire substrate, the structure consisted of a 30 nm thick LT-GaN buffer layer grown at 480°C, a 3 μm thick undoped GaN layer grown at 1070°C as a template layer, and a 2.2 μ m thick Si-doped Al_{0.03}Ga_{0.97}N layer grown at 1070°C as an n-type layer. Twelve pairs of GaIn_{0.03}N (2 nm)/GaN (2 nm) superlattice layers and a 10 nm thick undoped GaN spacer layer (grown at 800°C) were sequentially grown on the n-type layer as a stress relief and defect capture layer [35,36]. As an active layer, five pairs of Ga_{0.75}In_{0.15}N (3 nm)/GaN (10 nm) MQWs (grown at 780°C) were grown on the spacer layer. The bandgap energy of the MQWs was ~2.75 eV (~450 nm) at 300 K. Note that the MQWs were intentionally undoped (i.e., an intrinsic layer). Following this, a Mg-doped p-type GaN layer (150 nm) and a heavily Mgdoped p⁺-GaN contact layer (20 nm) were sequentially grown on the MQWs. A 60 nm thick indium-tin-oxide film was deposited by a radio frequency (RF) sputter system (Shibaura Mechatronics Co., CFS-4EP) on the p⁺-GaN contact layer. The sample was fabricated on chips with lateral electrodes (chip size: $300 \,\mu\text{m} \times 300 \,\mu\text{m}$) and packaged as surface mount devices. The I-V curves were measured using a Keithley 2602 SourceMeter. A 405 nm continuous-wave laser (Coherent Inc., CUBE 405-100C) was adopted as the external optical pumping source for quasiresonant optical excitation. We controlled the spot size of the incident light to meet the sample size using a focusing lens. The electroluminescence (EL) and photoluminescence (PL) spectra were collected using a spectrometer (Avantes Co., AvaSpec-ULS2048). All measurements were performed in a closed-cycle cryostat (Advanced Research System Co., DMX-1AL) to maintain and control the operating temperature.

3. EXPERIMENTAL RESULTS, ANALYSIS, AND DISCUSSION

Figure 1(a) depicts the I-V curves with quasiresonant optical excitation depending on the optical excitation power (0 to 100 mW) at RT, that is, excitation-power-dependent I-V (PDIV) curves at 300 K. Notably, $I_{\rm Ph}$ at 0 V (i.e., in the short-circuit condition) is defined as the short-circuit current ($I_{\rm SC}$), which is denoted as squares herein. Figure 1(b) presents the magnified graph of Fig. 1(a), in which the open-circuit voltage ($V_{\rm OC}$) is denoted as circles, which is defined by $V_{\rm Ph}$ at 0 A (i.e., in the open-circuit condition). It appears that both $I_{\rm SC}$ and $V_{\rm OC}$ increase with the increase in excitation power, indicating that the output electricity (i.e., $I_{\rm Ph} \times V_{\rm Ph}$) increases with the increase in input optical power. These are typical characteristic of the PDIV in p-i-n junction optoelectronic semiconductors, such as LEDs and SCs, in that the output power increases [37,38].

 $I_{\rm SC}$ and $V_{\rm OC}$ can be plotted as functions of optical excitation power using the experimental results in Figs. 1(a) and 1(b), which are depicted in Fig. 2(a). We can observe different excitation-power-dependent behavior between them; that is, $I_{\rm SC}$ increases almost linearly, whereas $V_{\rm OC}$ increases rapidly in a low-excitation regime and then slowly increases.



Fig. 1. (a) Excitation-power-dependent I-V (PDIV) curves at 300 K and (b) magnified graph. Squares and circles denote I_{SC} and V_{OC} , respectively.



Fig. 2. (a) $V_{\rm OC}$ and $I_{\rm SC}$ at 300 K plotted as functions of optical excitation power, and (b) $I_{\rm SC}-V_{\rm OC}$ and I-V curves at 300 K plotted on linear and semi-log scales.

Typically, the PDIV curve can be described in terms of the Shockley diode equation and I_{Ph} as shown below [5,6,37]:

$$I = I_0 \left\{ \exp\left[\frac{q(V - \Delta V)}{nk_B T}\right] - 1 \right\} - I_{\rm Ph},$$
 (1)

where k_B , q, and T are constants representing the Boltzmann constant, elementary charge, and absolute temperature, respectively. I_0 , n, and ΔV are parameters representing the reverse saturation current, the ideality factor, and the electrical potential drop, respectively. According to Eq. (1), the net current I is composed of three components: a diffusion current, an intrinsic drift current, and a photocurrent. Note that I_{Ph} is the drift current component (thus the minus sign), and the intrinsic drift current ($-I_0$) is negligibly small in AlGaInN-based semiconductors [3,21]. In the open-circuit condition (i.e., at I = 0 mA), I_{Ph} should be equal to the diffusion component, and ΔV ($\sim IR$, R is series resistance) is zero. Assuming that I_{Ph} is independent of V, the $I_{SC}-V_{OC}$ curve can be expressed as follows [7,39]:

$$I_{\rm SC} = I_0 \, \exp\left(\frac{qV_{\rm OC}}{nk_BT}\right). \tag{2}$$

The I_{SC} - V_{OC} curve is plotted in Fig. 2(b) on a semi-log and linear scale using the data in Fig. 2(a). Here, the I-V curve at $I_{\rm Ph} = 0$ [i.e., the curve denoted "0 mW (Dark)" in Fig. 1(a)] is included for comparison. According to previous papers [3,21,40,41], the parameters in the Shockley diode equation represent the intrinsic features of the p-i-n junction semiconductor as follows: i) the reverse saturation current is limited by the bandgap energy and doping level, ii) the ideality factor is limited by the recombination process, and iii) the potential drop is limited by the transport process. Concerning the I-V and $I_{SC}-V_{OC}$ curves in Fig. 2(b) (i.e., two curves that almost perfectly overlap in a low-current regime), one can see that the discrepancy between the two curves in a high-current regime is caused by ΔV . In other words, the $I_{\rm SC}-V_{\rm OC}$ curve with resonant optical excitation describes the intrinsic features of the p-i-n junction, excluding the transport issue [42]. This is because the photon energy of the external optical source is between the bandgap energy of the well and that of the barrier, which makes it possible to selectively excite the carriers only in the wells [42,43]. Consequently, the experimental results presented in Figs. 1 and 2 can be adequately explained by the Shockley diode equation; particularly, $I_{\rm Ph}$ and I_0 are apparently independent of V.

Next, we repeated the measurement of the PDIV curves in the same manner as in Fig. 1(a) at CT. Figure 3(a) presents the PDIV curves at 15 K (0 to 25 mW), in which I_{SC} is denoted as squares. Likewise, I_{SC} increases with increasing optical excitation power, while its absolute values are small compared to those at 300 K. Figure 3(b) presents the magnified graph of Fig. 3(a), in which V_{OC} is denoted as circles. Perhaps somewhat surprisingly, I_{Ph} appears to no longer be independent of V, and V_{OC} appears to decrease with increasing optical excitation power. Obviously, this PDIV behavior is abnormal because the output photogenerated electrical potential energy decreases, although the input incident power increases. The PDIV curves and its magnified graph at 15 K plotted for the same optical excitation power in Fig. 1 (0 to 100 mW)



Fig. 3. (a) PDIV curves at 15 K and (b) magnified graph. Squares and circles denote I_{SC} and V_{OC} , respectively.

are displayed in Appendix A. Apparently, this phenomenon has a negative effect on device performance, especially in terms of energy conversion efficiency. Therefore, clarifying the mechanisms behind this phenomenon should be of primary importance in improving device performance.

To examine this phenomenon more closely, $I_{\rm SC}$ and $V_{\rm OC}$ at 15 K are plotted as functions of excitation power in Fig. 4(a). Figure 4(a) indicates that as the optical excitation power increases, $I_{\rm SC}$ monotonically increases, while $V_{\rm OC}$ rapidly decreases and then saturates. The $I_{\rm SC}$ - $V_{\rm OC}$ curve is plotted in Fig. 4(b) on a semi-log scale using the data in Fig. 4(a), and the I-V curve with 0 mW at 15 K is included. The two curves have completely different shapes, and no point of contact or intersection is found. This result indicates that the PDIV curves at 15 K can no longer be described by the conventional Shockley diode equation in Eq. (1), and more complex phenomena seem to be involved.

To investigate and clarify the mechanism behind the abnormal behavior of PDIV at 15 K, we compared the EL and PL spectra at RT and CT. The analysis of emission spectra is useful, as it makes it possible to examine the energy distribution of charge carriers in MQWs; that is, the energy distribution of emitted photons corresponds to the carrier distribution in the allowed band of the MQWs [4,7,38]. Figure 5(a) displays the experimental results of the normalized PL spectrum at 80 mW in the open-circuit condition, the normalized EL spectrum at $I = 10.1 \text{ mA} (V \sim 2.78 \text{ V})$, and the normalized EL spectrum at $V = 2.63 \text{ V} (I \sim 1.9 \text{ mA})$ at 300 K. Note that I_{SC} and V_{OC} at an excitation power of 80 mW are 10.1 mA and 2.63 V, respectively, at 300 K [refer to Fig. 2(a)].



Fig. 4. (a) $V_{\rm OC}$ and $I_{\rm SC}$ at 15 K plotted as functions of optical excitation power, and (b) $I_{\rm SC}-V_{\rm OC}$ and I-V curves at 15 K plotted on a semi-log scale.

We can observe an almost perfectly matched shape between PL and EL spectra when I_{SC} equals I. However, they exhibit significantly different shapes when V_{OC} is equal to V with I_{SC} not equal to I. The result in Fig. 5(a) implies that the charge carrier density and the distribution of the charge carriers in the MQWs are almost the same when I_{SC} equals I at 300 K; namely, an almost identical state of the allowed energy band and carrier distribution is expected when the carrier density in the MQWs is same. Again, the I-V curve suffers from ΔV [see Eq. (2)]; thus, the state of the allowed band and carrier distribution is different when $V_{\rm OC}$ equals V. In the same manner as Fig. 5(a), we measured the EL and PL spectra at CT. Figure 5(b) displays the experimental results of the normalized PL spectrum at 80 mW, the normalized EL spectrum at $I = 50 \text{ mA} (V \sim 4.23 \text{ V})$, and the normalized EL spectrum at I = 1.9 mA (V = 3.89 V) at 15 K. Note that I_{SC} and $V_{\rm OC}$ at an excitation power of 80 mW are 1.9 mA and 2.55 V, respectively, at 15 K [refer to Fig. 4(a)]. Unlike the results at 300 K in Fig. 5(a), the spectra exhibit significantly different shapes even when I_{SC} equals I. Note that the EL spectrum has a longer wavelength than the PL spectrum, which implies that the charge carriers distribute a higher energy state in the open-circuit condition at 80 mW than at I = 1.9 mA. More detailed experimental results of EL and PL spectra are shown in Appendix B.

In AlGaInN-based semiconductors, the EL and PL spectra typically exhibit blueshift characteristics with the increase in carrier density in MQWs. In other words, the charge carriers distribute a higher energy state in the allowed band of MQWs as the carrier density increases due to the simultaneous effect of the QCSE and PSF [44,45]. Concerning such blueshift



Fig. 5. Normalized photoluminescence (PL) and electroluminescence (EL) spectra measured at (a) 80 mW, 10.1 mA (2.78 V), and 2.63 V (1.9 mA) at an operating temperature of 300 K and (b) 80 mW, 50 mA (4.23 V), and 1.9 mA (3.89 V) at 15 K, respectively.

characteristics, the carrier density in the MQW in the opencircuit condition at an excitation power of 80 mW should be greater than that at I = 1.9 mA (i.e., $I = I_{SC}$). To identify the same state of the allowed band with PL at 80 mW, we increased the driving current further. As depicted in Fig. 5(b), we were able to identify the EL spectrum with the same peak wavelength at a much higher driving current (50 mA) than I_{SC} . However, the shapes of the spectra were very different despite the same peak wavelengths, implying that the state of the allowed band in MQWs is completely different for the two operating conditions. Overall, we can infer that, unlike at 300 K, the carrier density in MQWs at 15 K is effectively higher than the prediction, which is believed to have a significant impact on the PDIV characteristics at 15 K.

In an attempt to elucidate the mechanism of the unexpected PDIV and emission spectra characteristics at 15 K, we proposed the carrier dynamics model, including the transport, accumulation, recombination, and generation process, which is schematically illustrated in Figs. 6(a) and (b). Note that only electrons are considered in this model, as the majority of $I_{\rm Ph}$ in AlGaInN-based semiconductors is the electron-drift current due to the higher mobility and concentration than that of holes [18,20,46]. For the case at 300 K [Fig. 6(a)], the carriers in the MQWs are initially photogenerated via a generation process (*G*) when the external optical source is incident on the sample. The photocarrier density in the MQWs is expected to be almost proportional to the optical excitation power [39].



Fig. 6. Schematic illustration of the band diagram including the proposed carrier transport and accumulation mechanisms at (a) 300 K and (b) 15 K.

Then the photocarriers are mainly consumed in two ways, namely, the recombination process (R_R and R_{SRH}) and flowing/escaping out to the cathode along the electric field (i.e., I_{Ph}). The photocarriers generate the V_{Ph} , which gives rise to the diffusion current (I_{Diff}). In this situation, the sum of the carrier recombination and escape rates is believed to be sufficiently large compared to the carrier generation rate. Thus, all charge carriers can be considered active carriers since they all contribute to either the recombination or drift process. Consequently, the PDIV curve at RT meets Eqs. (1) and (2).

For the case at 15 K [Fig. 6(b)], the G in MQWs is believed to be similar to that at 300 K when the same excitation power is incident on the sample. However, the mobility of electrons is lower than that at RT due to the dislocation and/or the impurity scattering (i.e., $I_{\rm Ph}$ at 300 K > $I_{\rm Ph}$ at 15 K), the more severe PSF at CT than at RT is expected at the high carrier density, and R_{SRH} is almost zero [47–50], causing the carrier generation rate to exceed the sum of the carrier recombination and escape rates. This induces rapid carrier accumulation in the MQWs, and the accumulated carriers can be considered inactive carriers since they do not contribute to either the recombination or drift process [51]. In short, not all carriers supplied to the MQWs via the diffusion and generation processes are consumed, and the inactive carriers are most likely the electrons since the concentration is much higher than that of the holes (i.e., I_{Diff} of electron is higher than I_{Diff} of hole). The accumulated carriers serve as settled charge carriers in the MQWs, which is believed to result in the greater energy and the narrower full width at half-maximum of the PL spectrum than that of the EL spectrum in Fig. 5(b) due to such a fact that the settled charge carriers in the MQWs screen the QCSE [44,52]. Unlike at RT, the quasi-Fermi level of electrons $(E_{\rm Fn})$ is induced by the accumulated carriers in the intrinsic layer due to the effective doping effect of inactive accumulated carriers (i.e., the property of the i-type layer turns into the n⁻-type layer effectively). It indicates that the PDIV curve at CT no longer meets Eqs. (1) and (2).

In the depletion approximation, the free carrier density is assumed to be zero in the depletion region; thus, the MQW layer holds the charge neutrality. However, when the accumulated carrier (inactive charge carrier) is larger than its equilibrium, charge neutrality is no longer satisfied across the MQW layer; thus, the SCE occurs. In this case, the space-charge can control the profile of the electric field across the MQW layer. This uncompensated space charge leads the concomitant pushback electrostatic field to cause SCE-dominated conduction [53,54]. Considering the inactive charge carriers in Fig. 6(b), the SCE is believed to occur at 15 K; that is, $I_{\rm Ph}$ is limited by the space charge. Consequently, $I_{\rm Ph}$ is no longer independent of V and is expected to exhibit a quadratic dependence on V.

To confirm our hypothesis of the SCE, investigating the characteristics of I versus V^2 is useful [22]. Figure 7(a) presents I as a function of the square of the effective voltage across the MQW layer (V_{eff}) depending on the excitation power at 15 K. Note that $V_{\text{eff}}^2 = (V_B - V)^2$, where V_B is the built-in voltage, is assumed to be the maximum value of V_{OC} (~2.7 V) at 15 K in this study [17]. In fact, the experimental data of I are well described by the linear relation with V_{eff}^2 , which ascertains that the main conduction mechanism of I_{Ph} at 15 K is SCE-dominated conduction. The discrepancy with the linear fitting



Fig. 7. (a) *I* versus V_{eff}^2 curves depending on excitation power at 15 K, where the data are fitted with a linear curve; and (b) α and I_0 as functions of optical excitation power.

curve near zero of V_{eff}^2 is believed to be due to such a fact that the I_{Diff} arises from the forward applied voltage. Concerning the results in Fig. 7(a), we can express I_{Ph} at 15 K as $\sim \alpha V_{\text{eff}}^2$, where α is the slope of the linear fitting curve, and the SCEdominated I_{Ph} is believed to be negligibly small at $V > V_{\text{eff}}$ due to such a fact that the electric field generated by the space charge is compensated by the applied voltage. On the other hand, the diffusion component is also impacted by the space charges, namely, the built-in potential is reduced by E_{Fn} introduced by accumulated charges in the intrinsic layer, which thus enhances the I_{Diff} . According to the Shockley theory, this impact can be explained in terms of I_0 in Eq. (3) [21,54]; that is, I_0 increases by increasing E_{Fn} . Therefore, Eq. (1) can be rewritten as follows, including the SCE:

$$I = I_0 \left[\exp\left(\frac{qV}{nk_BT}\right) - 1 \right] - \alpha V_{\text{eff}}^2.$$
(3)

Note that, unlike Eq. (1), I_0 and α in Eq. (3) are dependent on the excitation power. Since α , corresponding to the excitation power, can be obtained from linear fitting [Fig. 7(a)], one can obtain I_0 and α for each excitation power. The obtained α and I_0 are plotted as functions of excitation power in Fig. 7(b). As expected, they exhibit excitation-power-dependent characteristics. Both increase with increased optical excitation power, implying that a strong SCE exists. It is noteworthy that the stronger SCE causes higher resistance to $I_{\rm Ph}$ (i.e., α increases as the excitation power and the SCE increase) and a lower injection barrier to the diffusion current (i.e., I_0 increases as the excitation power and the SCE increase), simultaneously. Notably, we believe that the increase in I_0 is mainly due to the increase in the inactive carrier in Fig. 6(b), since it introduces the effective doping effect in the MQWs (recall that I_0 physically represents the doping level in the Shockley theory). In short, the SCE, which plays a part in the resistance to both the $I_{\rm Ph}$ and the $V_{\rm Ph}$, becomes stronger as the excitation power increases, resulting in the decrease in the electricity generation of a semiconductor device. Overall, we can conclude that the abnormal behavior of the PDIV curve at CT is physically caused by a combination of the two abovementioned factors, which have an obvious negative impact on $I_{\rm Ph}$ and $V_{\rm Ph}$.

Last, to investigate the SCE on device performance, we measured the efficiencies, including the optical-to-electrical energy conversion efficiency (η_{o-e}) and the optical-to-optical energy conversion efficiency (η_{o-o}). Here, we define η_{o-e} and η_{o-o} simply as $(I_{SC} \times V_{OC})$ /excitation power and integrated PL intensity/excitation power, respectively. In the case of no SCE [at 300 K, Fig. 8(a)], as the excitation power increases, both efficiencies appear to increase initially and then saturate, which is a typical characteristic of device performance. However, in the case under the SCE [i.e., at 15 K, Fig. 8(b)], as the excitation power increases, the droop phenomenon is observed for both efficiencies. That is, η_{o-e} and η_{o-o} decrease when the excitation power increases (i.e., the SCE apparently has a negative impact on efficiencies). The droop in η_{o-o} is believed to originate from the accelerated PSF by the SCE (i.e., the accumulated charge carrier causes the active carriers to distribute in a high-energy state of the allowed band, resulting in the reduction of R_R) [21,32,55]. Within the same context, the droop in η_{o-e} is also believed to originate from the accelerated



Fig. 8. η_{o-e} and η_{o-o} as functions of optical excitation power at (a) 300 K and (b) 15 K.

SCE-dominated conduction (i.e., the resistance to $I_{\rm Ph}$ arises from the SCE).

4. SUMMARY

In summary, in this study, we attempted to elucidate the carrier dynamics underlying the abnormal characteristics of the PDIV curve at CT in III-nitride optoelectronic semiconductors using the space-charge theory. The PDIV curves at 300 K exhibit typical characteristics and are well described by the Shockley diode equation (i.e., the experimental results match the conventional theoretical prediction). It also shows that the $I_{SC}-V_{OC}$ curve and emission spectra are in good agreement with theoretical expectations. However, the PDIV curves at 15 K can no longer be described by the Shockley diode equation, and the $I_{SC}-V_{OC}$ curve exhibits abnormal characteristics. Particularly, $I_{\rm Ph}$ at 300 K is independent of V, whereas $I_{\rm Ph}$ at 15 K is dependent on V. To elucidate the mechanism behind this phenomenon, we proposed the carrier dynamics model, where charge carrier accumulation, which plays a key role here, is noted. Specifically, these uncompensated space charges cause the concomitant push-back electrostatic field to give rise to the SCE, which is ascertained by the linear relationship between $I_{\rm Ph}$ and $V_{\rm eff}^2$. On the basis of the model and analysis, we proposed the modified Shockley diode equation, which can explain the PDIV characteristics at CT, including the SCE. To investigate the SCE on device performance, η_{0-e} and η_{0-0} , depending on excitation power, were measured, and the droop phenomenon could be observed at 15 K for both. The physical origins of the droop in both efficiencies are attributed to SCE-originated mechanisms. We firmly believe that the analysis and consideration given in this study can provide a very new perspective on the carrier conduction and transport in AlGaInN-based optoelectronic semiconductors.

APPENDIX A

Figures 9(a) and 9(b) display the PDIV curves at 15 K and its magnified graph, respectively. Note that the graphs are plotted for the same optical excitation power in Fig. 1 for comparison (0 to 100 mW). Likewise, $I_{\rm SC}$ increases with the increasing optical excitation power up to 100 mW. Meanwhile, it seems that the $V_{\rm OC}$ is reduced initially and then saturated at high optical excitation power, and the slope of the PDIV curves increases with the increasing optical excitation power up to 100 mW.

APPENDIX B

Figures 10(a) and 10(b) display the experimental results of normalized PL spectrum measured at 80 mW and EL spectra depending on the injected current at 300 K and 15 K, respectively. The blueshift of EL spectra with the increasing current is observed regardless of temperature, which is mainly due to a combination of the screening of QCSE and the PSF. At 300 K, on the blueshift of the EL spectrum, the shape and the peak wavelength between the PL and EL spectra are almost perfectly matched when I_{SC} equals *I*. Conversely, the EL spectrum, which almost exactly matched PL spectrum at 80 mW, was not observed at any current injection at 15 K. It is noteworthy that the shoulder peaks at the low-energy side



Fig. 9. (a) PDIV curves at 15 K and (b) its magnified graph. The graphs are plotted for the same optical excitation power in Fig. 1 (0 to 100 mW, step: 20 mW).



Fig. 10. Normalized PL spectrum measured at 80 mW and EL spectra depending on the injected current (a) at 300 K and (b) 15 K, respectively.

of the EL and PL spectra at 15 K are caused by phonon satellites, more precisely longitudinal optical phonon replicas [42,56].

Figure 11 displays the experimental results of normalized EL spectrum measured at 10.1 mA and PL spectra depending on the optical excitation power at 300 K. The excitation-power-dependent PL characteristic seems to be similar to the EL characteristics, i.e., the blueshift of the PL spectra with the increasing excitation power. Thus, the main mechanism behind the blueshift of the PL spectra is believed to be the same as that of the EL spectra. Notably, the shapes of the PL and EL spectra are most matched when ISC equals *I* (PL at 80 mW and EL at 10.1 mA).



Fig. 11. Normalized EL spectrum measured at 10.1 mA and PL spectra depending on the optical excitation power at 300 K.

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