

# PHOTONICS Research

## Free-spectral-range-free filters with ultrawide tunability across the S + C + L band

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Received 15 January 2021; revised 21 March 2021; accepted 24 March 2021; posted 25 March 2021 (Doc. ID 420005); published 24 May 2021

Optical filters are essential parts of advanced optical communication and sensing systems. Among them, the ones with an ultrawide free spectral range (FSR) are especially critical. They are promising to provide access to numerous wavelength channels highly desired for large-capacity optical transmission and multipoint multiparameter sensing. Present schemes for wide-FSR filters either suffer from limited cavity length or poor fabrication tolerance or impose an additional active-tuning control requirement. We theoretically and experimentally demonstrate a filter that features FSR-free operation capability, subnanometer optical bandwidth, and acceptable fabrication tolerance. Only one single deep dip within a record-large waveband (S + C + L band) is observed by appropriately designing a side-coupled Bragg-grating-assisted Fabry–Perot filter, which has been applied as the basic sensing unit for both the refractive index and temperature measurement. Five such basic units are also cascaded in series to demonstrate a multichannel filter. This work provides a new insight to design FSR-free filters and opens up a possibility of flexible large-capacity integration using more wavelength channels, which will greatly advance integrated photonics in optical communication and sensing. © 2021 Chinese Laser Press

<https://doi.org/10.1364/PRJ.420005>

### 1. INTRODUCTION

Integrated optical filters used for spectrum manipulation are among the most significant components in photonic systems on chip, enabling many advanced applications in optical communication and computing, spectroscopy, sensing, and high-precision measurement [1–5]. As the fundamental building block for wavelength (de)multiplexing, optical filters are highly desired in wavelength-division-multiplexing (WDM) technology. WDM technology has been widely adapted for flexible and effective filtering and combining of hundreds of nanometer-wide signals in optical communication applications. Besides, WDM technology is also one of the key technologies to construct sensor networks to realize quasi-distributed multisite and multiparameter sensing, which can obtain the spatial distribution and time-varying information of the measured field simultaneously. A filter with a large free spectral range (FSR) can effectively increase the number of independent sensors on a single sensor link and realize simultaneous sensing of multiple loci and parameters. Therefore, an integrated photonic filter

with a large FSR and a small footprint allowing a large number of multiplexed channels is crucial.

The filters based on silicon photonics technology with different interferometric structures mainly include echelle diffraction gratings (EDGs) [6,7], arrayed-waveguide gratings (AWGs) [8–10], microring resonators (MRRs) [11–16], Mach–Zehnder interferometers (MZIs) [17–21], Fabry–Perot (F-P) cavities [22–24], Bragg gratings [3,25–28], and nanobeam cavities [29–33], and their FSR usually ranges from several nanometers to tens of nanometers. Recently, many efforts have been devoted to achieving a large FSR covering multiple wavelength channels by optimizing the filter cavity's resonance structures. The FSR of MRRs could be increased to as large as 93 nm by using a submicrometer bending radius [16], while the FSR of the F-P cavity could be larger than 30 nm [24]. For the nanobeam cavity, multiple resonant modes are usually excited in the cavity with a tens-of-nanometers FSR. The limited FSR of the aforementioned integrated filters prevents their use in applications that require numerous channels.

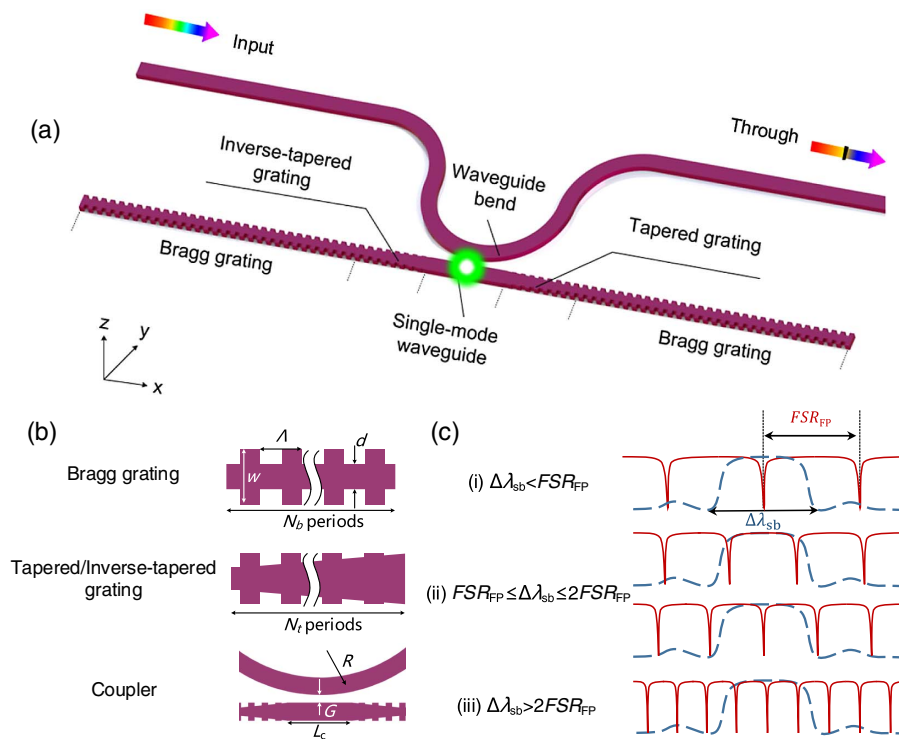
Thus, various design strategies have been adopted to extend the filter's FSR or obtain an FSR-free response in the operating wavelength range. Contradirectional couplers (CDCs) have been designed based on the photonic bandgap feature of Bragg grating. Since the bandwidth of Bragg gratings is usually disproportionate to the length, a device using shallow grating might be quite long [28]. To further reduce the size of filters, CDC is introduced into one or two coupling regions of the MRR to achieve a major resonance [34]. Maximum side-mode suppressions at all other undesired resonant wavelengths will be achieved provided that the stopband of the grating is twice the MRR's FSR. Another way is to apply the Vernier effect to realize quite large FSR by cascading multiple MRRs with different FSRs [35]. Nevertheless, it is difficult to achieve the resonant wavelength alignment since the stringent requirement for design and fabrication accuracy must be satisfied. Extra active tuning control is usually introduced to compensate for the fabrication error. Therefore, an ideal integrated filter that combines the large FSR and high side-mode suppression ratio to avoid precise wavelength alignment is highly desirable.

In this paper, we address the challenges in integrated optical filters by theory, simulation, and experimental analysis for on-chip ultra-wideband optical signal filters, which simultaneously achieve FSR-free operation and subnanometer optical bandwidths. First, we introduce the structure of the filter consisting of a series of key parts that take up coupling, filtering, mode matching, and reflection. Then we introduce the mechanism of FSR-free spectral response in the grating-assisted F-P cavity by investigating and comparing the stopband of Bragg grating and

FSR of the F-P cavity. We show that there is only a single deep dip in a hundreds of nanometers waveband when grating depth and cavity length are adopted appropriately to ensure the stopband of the Bragg grating is smaller than the FSR of the F-P cavity. Fabricated devices achieve an FSR-free response in a record-large waveband (S + C + L band) and a flat-top response at nonresonant wavelengths.

## 2. DEVICE DESIGN AND OPERATION PRINCIPLE

Figure 1(a) shows the schematic of the filter, consisting of a side-coupled waveguide bend and a Bragg grating-assisted F-P cavity. A central single-mode waveguide is embraced by two Bragg gratings as side-reflection mirrors, forming the F-P cavity. A tapered/inverse-tapered grating is used to connect the central waveguide and the Bragg gratings to achieve mode matching between the waveguide and Bragg mirror, minimizing the scattering loss at the cavity-mirror interface. The Bragg grating consists of wide and narrow waveguides arranged alternately and periodically. For the tapered/inverse-tapered grating, the central transom is linearly tapered from the wide/narrow to the narrow/wide waveguide. The light from the input waveguide is coupled into the center of the F-P cavity. The light at resonant wavelength is trapped and enhanced in the cavity, while the nonresonant wavelength is directionally routed to the through waveguide. The parameters to be designed for the filter include the width and length of the central waveguide  $w$  and  $L_c$ , the bend radius  $R$ , the coupling gap  $G$ , the perturbation



**Fig. 1.** (a) Schematic of our proposed filter with a grating-assisted F-P cavity selectively trapping a single narrowband beam in the ultrawide waveband range. (b) Some key parts of the filter as well as the design parameters. (c) Typical spectral response of a Bragg grating (dashed blue curve) and F-P cavity with ideal mirrors (solid red curve). When the stopband of the Bragg grating is smaller than the FSR of the F-P cavity,  $\Delta\lambda_{sb} < \text{FSR}_{FP}$ , there remains only one major resonance.

period  $\Lambda$ , and the width of the wide and narrow waveguide of Bragg grating  $w$  and  $d$ , as illustrated in Fig. 1(b), as well as the period number of the Bragg grating and tapered grating  $N_b$  and  $N_t$ . The spectral response of the filter mainly depends on the stopband of the Bragg grating  $\Delta\lambda_{sb}$  and FSR of the F-P cavity with ideal mirrors  $\text{FSR}_{\text{FP}}$ , as shown in Fig. 1(c). The stopband is defined here as the width between the null points of the central lobe.

(i) When  $\Delta\lambda_{sb} < \text{FSR}_{\text{FP}}$ , only one resonant mode of the F-P cavity in the stopband of Bragg grating is excited and enhanced, and no dip may be observed in cases that all the resonant modes are out of the stopband when the F-P cavity holds a small cavity length.

(ii) When  $\text{FSR}_{\text{FP}} < \Delta\lambda_{sb} < 2\text{FSR}_{\text{FP}}$ , one or two resonance dips can be excited, which depends on the position of the resonant wavelength. If the resonant wavelength is around the central wavelength of the stopband  $\lambda_c$ , there will be only one major resonance. If the resonant wavelength is close to the nulls of the central lobe, there will be two dips.

(iii) When  $\Delta\lambda_{sb} > 2\text{FSR}_{\text{FP}}$ , two or multiple resonance dips will be excited.

The relation between  $\lambda_c$ ,  $\Delta\lambda_{sb}$ , and  $d$  is plotted in Fig. 2(a). The  $\lambda_c$  is attained by the Bragg equation, and  $\Delta\lambda_{sb}$  is calculated by using three-dimensional (3D) finite-difference time-domain (FDTD) simulations. The  $\Delta\lambda_{sb}$  decreases as  $d$  increases, while  $\lambda_c$  increases as  $d$  increases. Figure 2(b) shows the calculated FSR as  $L_c$  is varied. The FSR is given by

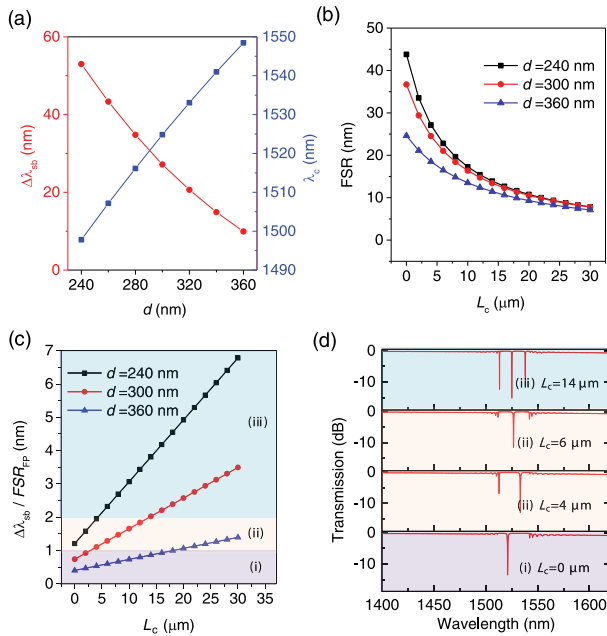
$$\text{FSR}_{\text{FP}} = \frac{\lambda_c^2}{2(L_c n_{g1} + 2L_{\text{pd}} n_{g2} + 2L_t n_{g3})},$$

where  $L_{\text{pd}}$  and  $L_t$  are the penetration depth of the Bragg mirrors and the length of the tapered/inverse-tapered grating.  $n_{g1}$ ,  $n_{g2}$ , and  $n_{g3}$  are the group refractive indices of the central waveguide, the Bragg grating, and the tapered/inverse-tapered grating, respectively. For simplicity,  $n_{g3}$  is approximately equal to the average of  $n_{g1}$  and  $n_{g2}$ , which is suited for the linearly tapered grating.  $L_{\text{pd}}$  can be given by [36]

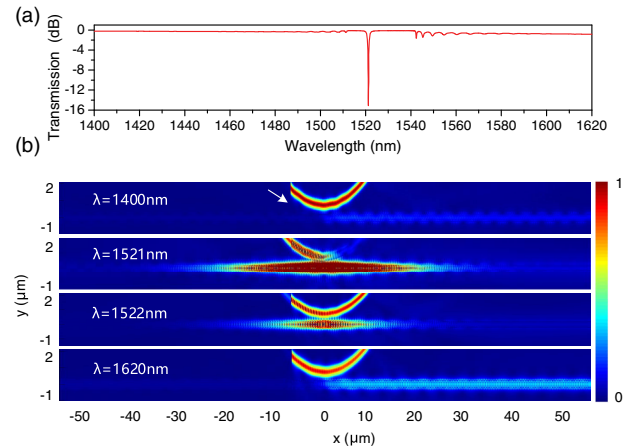
$$L_{\text{pd}} = \frac{\lambda \cdot n_{\text{eff},n}}{4(n_{\text{eff},w} - n_{\text{eff},n})},$$

where  $n_{\text{eff},w}$  and  $n_{\text{eff},n}$  are the effective refractive indices of the wide and narrow waveguides in the Bragg grating and  $\lambda$  is the working wavelength. By the equation, the simulation time for  $\text{FSR}_{\text{FP}}$  can be greatly reduced compared to the fully 3D FDTD simulation.

The FSR-free feature of the filter is determined by the relation of  $\Delta\lambda_{sb}$  and  $\text{FSR}_{\text{FP}}$ . To address the issue, different values for  $L_c$  are chosen to calculate the ratio of  $\Delta\lambda_{sb}/\text{FSR}_{\text{FP}}$  for  $d = 240, 300$ , and  $360$  nm as shown in Fig. 2(c). Three regions with different colors correspond to the abovementioned cases (i)–(iii). We calculate the filters' spectral responses for  $L_c = 0, 4, 6$ , and  $14$   $\mu\text{m}$  with  $d = 300$  nm, corresponding to cases (i)–(iii). For  $L_c = 0$   $\mu\text{m}$  of case (i), we obtain the FSR-free response due to  $\Delta\lambda_{sb}/\text{FSR}_{\text{FP}}$  smaller than 1. For  $L_c = 4$  and  $6$   $\mu\text{m}$  of case (ii), double dips and one dip are excited, respectively. For  $L_c = 14$   $\mu\text{m}$  of case (iii), multiple dips are excited due to  $\Delta\lambda_{sb} > 2\text{FSR}_{\text{FP}}$ . The simulated results fully agree with the discussions illustrated in Fig. 1(c). As a demonstration, Fig. 3(a) exhibits the spectral transmission of the filter for transverse-electric (TE) polarization with  $G = 250$  nm,  $d = 300$  nm,  $L_c = 0$   $\mu\text{m}$ ,  $\Lambda = 317$  nm,  $N_t = 5$ , and  $N_b = 150$  from 1400 to 1620 nm. Since the ridge waveguide based on a 220 nm silicon-on-insulator (SOI) platform with 150 nm etching depth is adopted, transverse-magnetic (TM) polarization is not supported in such a waveguide structure. TM polarization can work for strip waveguide, and the device



**Fig. 2.** (a) Calculated central wavelength  $\lambda_c$  of the Bragg grating and stopband  $\Delta\lambda_{sb}$  for  $d = 240, 260, 280, 300, 320, 340$ , and  $360$  nm. (b) Calculated FSR of the F-P cavity  $\text{FSR}_{\text{FP}}$  and (c)  $\Delta\lambda_{sb}/\text{FSR}_{\text{FP}}$  ratio for different central waveguide lengths ( $L_c = 0$ – $30$   $\mu\text{m}$ ) and central transverse widths ( $d = 240, 300, 360$  nm). The figure is divided into three regions filled with different colors and labeled by (i)–(iii). (d) Calculated spectral responses of the filter for  $L_c = 0, 4, 6$ , and  $20$   $\mu\text{m}$ , corresponding to cases (i)–(iii).

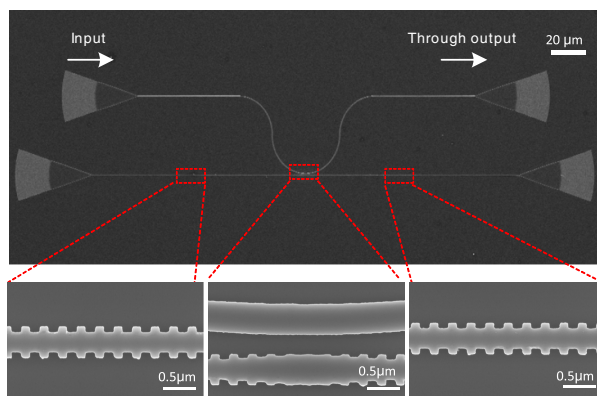


**Fig. 3.** (a) Calculated transmission of the filter in the wavelength range of 1400–1620 nm. (b) Simulated electric field distribution at the wavelengths of 1400, 1521, 1522, and 1620 nm. The white arrow shows the direction of the injected light.

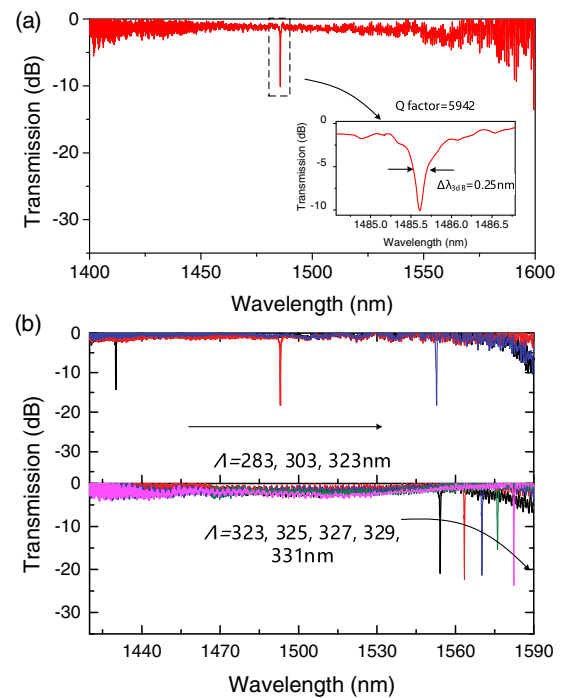
will be FSR free if case (i) ( $\Delta\lambda_{sb} < \text{FSR}_{FP}$ ) is satisfied. We can see only a single dramatic dip at 1521 nm in the ultralarge wavelength range of 220 nm, whereas there is a flat-top response at nonresonant wavelengths. Figure 3(b) exhibits the electric field distribution of the whole structure at the wavelengths of 1400, 1521, 1522, and 1620 nm. For the light at the wavelengths of 1400 and 1620 nm out of the stopband, the filter is considered a double-waveguide directional coupler. Hence, the light cannot be enhanced in the F-P cavity but is coupled into the right Bragg grating. Since the coupling length is short, the insertion loss out of the stopband could be neglected. For the wavelength of 1522 nm in the stopband, the light is coupled into the cavity, then reflected by the Bragg mirror, and finally transferred to the through port. For the resonant wavelength of 1521 nm, the light is coupled into the cavity, and the power in the cavity is enhanced until a dynamic balance between coupled power, outcoupled power, and lossy power is achieved. At the critical coupling condition, the light is like being trapped in the cavity, and almost no power is coupled out to the through port.

### 3. DEVICE FABRICATION AND CHARACTERIZATION

The proposed filter was based on SOI with a 220 nm top layer and was fabricated after the exposure of electron beam lithography (EBL, Raith Voyager) followed by the dry etching process in inductively coupled plasma (ICP) equipment (Samco). The fabricated devices have rib waveguides with an etching depth of 150 nm and a top oxide cladding. A broadband tunable laser system (Santec full-band TSL-550) was used to characterize the fabricated devices. The measured spectral responses were normalized with respect to the transmission of a straight waveguide connected with grating couplers on the same chip. The resolution is 5 pm in the measurements. Instead of utilizing commonly used shallow-etched grating couplers with 70 nm depth, here, 150 nm etched grating couplers, which have a much slower roll-off despite slightly lower peak coupling efficiency, were applied to enable broadband performance characterization. Figure 4 illustrates the scanning electron microscope (SEM) image of the filter and the enlarged key parts.



**Fig. 4.** SEM image of the fabricated filter as well as the insets illustrating the zoom-in views of Bragg gratings and the central coupling region.



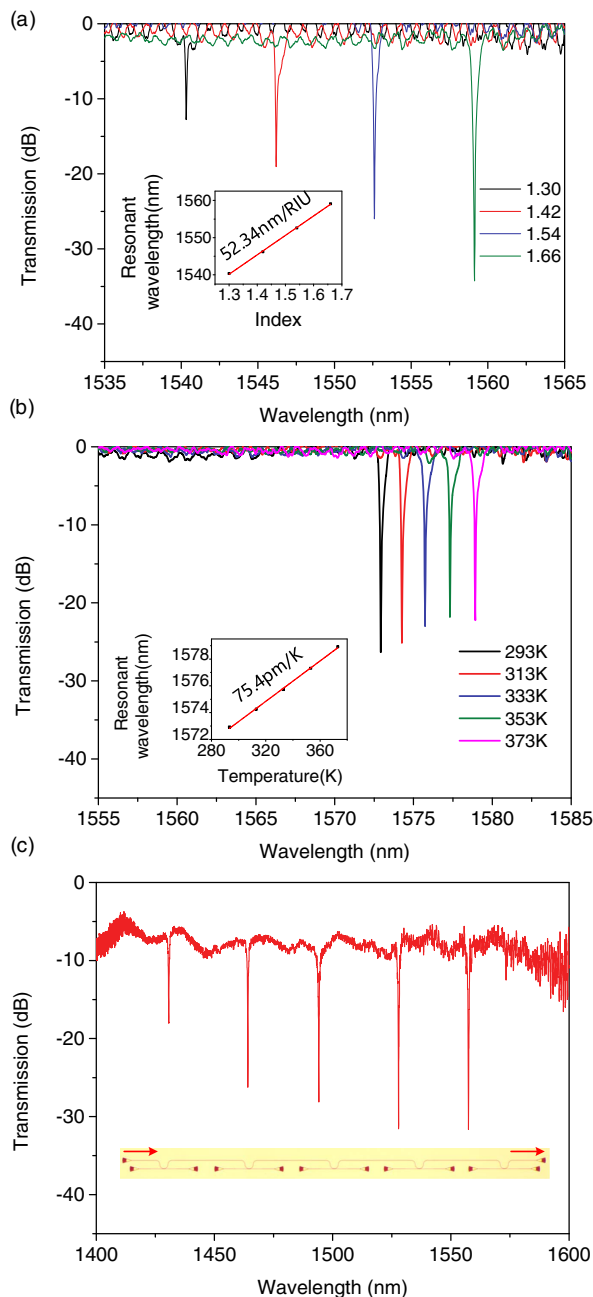
**Fig. 5.** (a) Measured transmission spectrum of the fabricated device. The inset shows the spectral response around the resonant wavelength. (b) Measured transmission spectra of the fabricated devices with various pitches.

The normalized spectral response at through port shows a single deep dip with an extinction ratio of about 9.3 dB at 1485.6 nm in a 200 nm wavelength span from 1400 to 1600 nm as illustrated in Fig. 5(a). Due to the bandwidth limit of the grating coupler, the coupling efficiency is too low to be detected below 1400 nm. The inset shows the zoom-in view of the plot around the resonant wavelength with 3 dB bandwidth of 0.25 nm and Q factor of 5942. The key parameters of  $G = 350$  nm,  $d = 300$  nm,  $L_c = 0$  μm,  $\Lambda = 307$  nm,  $N_t = 5$ , and  $N_b = 200$  are adopted. The Q factor can be improved by optimizing the EBL and ICP dry etching process to decrease the roughness of the waveguide sidewalls. Figure 5(b) shows the multiple measured curves associated with various pitches. As the pitch experiences a large deviation from 283 to 323 nm, the resonant wavelength shifts from 1430.06 to 1552.83 nm. Also, relatively dense filtering ( $\sim 7$  nm channel spacing) can be achieved by adopting a small deviation of the pitch of 2 nm, and the channel spacing can be reduced further by tuning  $L_c$ .

### 4. REFRACTIVE INDEX AND TEMPERATURE SENSING

To study the sensing capabilities of the filter, we arrange our experimental steps as follows. First, we place the chip without top cladding on the testing stage and cover it with refractive index liquids (Cargille Laboratories, USA), whose refractive index is varied as four values of 1.30, 1.42, 1.54, and 1.66. The transmission spectra are plotted in Fig. 6(a). We can see clearly that the resonant wavelength redshifts when the refractive index





**Fig. 6.** Measured transmission responses of the filter (a) covered under liquids with various refractive indices, and (b) heated by increasing temperature. The insets in (a) and (b) show linear fits of the resonant wavelength shifts versus refractive index and temperature, respectively. (c) Measured transmission response of the device consisting of five FSR-free filters with different pitches cascaded in series. The inset shows the optical microscope view of the multichannel filter.

increases and the FSR-free feature still remains, and the dip tends to be deeper. There is a perfectly linear relationship between the resonant wavelength shift and the refractive index change as shown in the inset of Fig. 6(a). The sensitivity of the refractive index, namely, the slope of the fitted curve, is calculated as  $\Delta\lambda/\Delta n = 52.34$  nm/RIU. Second, we deposit 1  $\mu\text{m}$  thick silica onto the chip as the top cladding and do

the testing on a high-precision temperature console stage. The chip is heated from 293 to 373 K with an increasing step of 20 K, and the transmission spectra are shown in Fig. 6(b). The inset shows a linear fit to the resonant wavelength shifts as a function of temperature. The sensitivity of temperature is calculated as  $\Delta\lambda/\Delta T = 75.4$  pm/K.

To investigate the possibility of multiparameter sensing using WDM technology, we designed and fabricated a multichannel filter consisting of five of our proposed filters with diverse pitches in cascade. The pitch varies from 287 to 327 nm with a step of 20 nm. The measured transmission response of the multichannel filter is illustrated in Fig. 6(c). Five deep dips corresponding to five FSR-free filters with different pitches occur in the spectrum. Therefore, it is promising to achieve quasi-distributed multisite and multiparameter sensing through specific treatment for each filter unit such as surface modification [37].

## 5. CONCLUSION

In summary, we have proposed and fabricated a side-coupled Bragg-grating-assisted F-P filter with an FSR-free response. By elaborately designing the stopband of the Bragg grating and the FSR of the F-P cavity, only one deep resonance dip with sub-nanometer bandwidth is realized in a record-large operation waveband (S + C + L band). The well-designed filter has also been applied as the basic sensing unit for both the refractive index and temperature measurement, showing a sensitivity of 52.34 nm/RIU for refractive index and 75.4 pm/K for temperature. Our results suggest that FSR-free filters can be adapted in many photonic integrated platforms to create highly attractive applications, including but not limited to optical communication and sensing. Besides, we also demonstrate a multichannel filter with five such FSR-free filter units cascaded in series, which opens up the possibility of on-chip integrated photonics for quasi-distributed multisite and multiparameter sensing.

**Funding.** National Key Research and Development Program of China (2019YFB2203003); National Natural Science Foundation of China (61975179, 91950204); Westlake University (the start-up fund of Westlake University).

**Acknowledgment.** We thank Westlake Center for Micro/Nano Fabrication, Instrumentation and Service Center for Physical Sciences at Westlake University and ZJU Micro-Nano Fabrication Center at Zhejiang University for the facility support.

**Disclosures.** The authors declare no conflicts of interest.

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