

# Spectral properties and energy transfer in Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped LiYF<sub>4</sub> crystal\*

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Laser crystals of LiYF<sub>4</sub> (LYF) singly doped with Er<sup>3+</sup> in 2.0% and co-doped with Er<sup>3+</sup>/Yb<sup>3+</sup> in about 2.0%/1.0% molar fraction in the raw composition are grown by a vertical Bridgman method. X-ray diffraction (XRD), absorption spectra, fluorescence spectra and decay curves are measured to investigate the structural and luminescent properties of the crystals. Compared with the Er<sup>3+</sup> singly doped sample, obviously enhanced emission at 1.5 μm wavelength and green and red up-conversion emissions from Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped crystal are observed under the excitation of 980 nm laser diode. Meanwhile, the emission at 2.7 μm wavelength from Er<sup>3+</sup> singly doped crystal is reduced. The fluorescence decay time ranging from 18.60 ms for Er<sup>3+</sup> singly doped crystal to 23.01 ms for Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped crystal depends on the ionic concentration. The luminescent mechanisms for the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped crystals are analyzed, and the possible energy transfer processes from Yb<sup>3+</sup> to Er<sup>3+</sup> are proposed.

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The host crystal LiYF<sub>4</sub> is very suitable for the incorporation with trivalent rare-earth ions, in which the Y<sup>3+</sup> ions are substituted<sup>[1]</sup>, and it is also extremely suitable for the host of mid-infrared (IR) solid state lasers due to the significantly low phonon energy and wide optical transmittance from 200 nm to 5 μm. Moreover, the fluorides have the longer lifetime of the excited electronic states in these systems compared with that in traditional oxide systems when they are used as a laser host. Although much attention has been paid to the near IR and mid-IR optical emission of Er<sup>3+</sup>/Yb<sup>3+</sup> doped glasses and crystals<sup>[2-5]</sup>, there has been rare study on that in Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped LiYF<sub>4</sub> crystal. It is proposed that the relatively homogeneous concentrations of Er<sup>3+</sup> and Yb<sup>3+</sup> in LiYF<sub>4</sub> crystal can be obtained due to the distribution coefficients of both Er<sup>3+</sup> and Yb<sup>3+</sup> in LiYF<sub>4</sub> crystals are close to 1<sup>[6]</sup>, which is extremely important for the practical application in laser device.

In this paper, Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped LiYF<sub>4</sub> crystal as well as Er<sup>3+</sup> singly-doped LiYF<sub>4</sub> crystal are grown by Bridgman method, and Yb<sup>3+</sup> is introduced into LiYF<sub>4</sub> crystal as the sensitizer to increase the pump efficiency of 980 nm laser diode. The optical emissions of the obtained crystal from visible to mid-IR wavelength are studied system-

atically to understand the energy transfer processes between Yb<sup>3+</sup> and Er<sup>3+</sup>.

The Bridgman technique is used to grow the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped YLiF<sub>4</sub> crystal. The detailed process for crystal growth was described in Refs.[7-10]. The molar composition of the powder is 51.5LiF-(48.5-2-x)YF<sub>3</sub>-2ErF<sub>3</sub>-xYbF<sub>3</sub> (x=0 or 1). The four mixtures were ground for 1 h in a mortar. In order to synthesize anhydrous Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped LiYF<sub>4</sub> polycrystalline materials, the fluoride powder was treated with anhydrous HF at 750–800 °C for 8–10 h to ensure the complete removal of residual moisture in the fluorides.

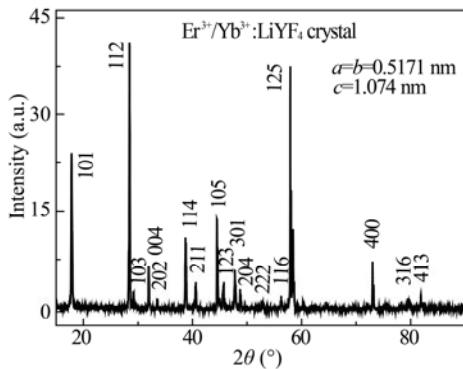
The grown crystals by the Bridgman method are about 105 mm in length and 10 mm in diameter. They are pink and transparent. The as-grown crystals were cut into small pieces and well polished by CeO<sub>2</sub> powder on both sides to about 2.5 mm-thickness for optical measurements. Structures of the samples were investigated by X-ray diffraction (XRD) using an XD-98X diffractometer (XD-3, Beijing). The absorption spectra were measured using a U-4100UV/VIS/NIR spectrophotometer in a range from 300 nm to 1750 nm. The emission spectra were recorded by a Triax 320 type spectrometer in a wavelength region of 400–3000 nm excited by 980 nm.

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The fluorescence lifetime was tested by the FLSP920 fluorescence spectrophotometer. Meanwhile, the concentrations of  $\text{Er}^{3+}/\text{Yb}^{3+}$  ions in the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{LiYF}_4$  single crystals were measured by inductively coupled plasma atomic emission spectroscopy (ICP-AES, PerkinElmer Inc., Optima 3000). All the measurements were performed at room temperature.

In order to identify the chemical phase, the powder XRD measurements of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{LiYF}_4$  crystals are performed. The result of powder XRD is shown in Fig.1, and the diffraction peaks are assigned. The XRD spectrum shows that the diffraction peaks and relative intensity of the crystal sample are very similar to those of JCPD 77-0816  $\text{LiYF}_4$ . Therefore, the crystal belongs to a tetragonal phase with a space group of  $I41/a$ , and the current doping level does not cause any obvious peak shift or second phase.



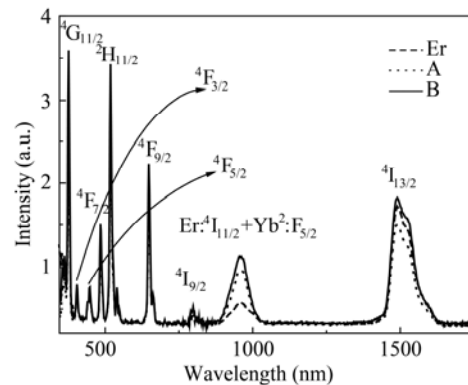
**Fig.1 Powder XRD pattern of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped crystal with  $a=b=0.5171$  nm and  $c=1.074$  nm**

In Fig.2, the most significant difference is the absorption band at  $\sim 958$  nm which is broader and more intense in the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped sample. All absorption bands of  $\text{Er}^{3+}:\text{LiYF}_4$  phosphor can be assigned to  $4f$ -transitions of the  $\text{Er}^{3+}$  ion. The peaks with maximum at  $\sim 361$  nm,  $\sim 376$  nm,  $\sim 407$  nm,  $\sim 448$  nm,  $\sim 484$  nm,  $\sim 519$  nm,  $\sim 540$  nm,  $\sim 650$  nm,  $\sim 799$  nm,  $\sim 958$  nm and  $\sim 1494$  nm correspond to the  $^4I_{15/2} \rightarrow ^4G_{9/2}$ ,  $^4I_{15/2} \rightarrow ^4G_{11/2}$ ,  $^4I_{15/2} \rightarrow ^2H_{9/2}$ ,  $^4I_{15/2} \rightarrow ^4F_{5/2}$ ,  $^4I_{15/2} \rightarrow ^4F_{7/2}$ ,  $^4I_{15/2} \rightarrow ^2H_{11/2}$ ,  $^4I_{15/2} \rightarrow ^4S_{3/2}$ ,  $^4I_{15/2} \rightarrow ^4F_{9/2}$ ,  $^4I_{15/2} \rightarrow ^4I_{9/2}$ ,  $^4I_{15/2} \rightarrow ^4I_{11/2}$  and  $^4I_{15/2} \rightarrow ^4I_{13/2}$  transitions of  $\text{Er}^{3+}$  ion, respectively. The broadening of the absorption band near  $958$  nm in  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{LiYF}_4$  is caused by the  $^2F_{7/2} \rightarrow ^2F_{5/2}$  transition of the  $\text{Yb}^{3+}$  ions, which is not present in the  $\text{Er}^{3+}$  singly doped  $\text{LiYF}_4$  crystal.

The concentrations of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  ions in the co-doped crystals are listed in Tab.1. From Fig.2, we can clearly know that the absorption coefficient of the top section of the crystal A is less than that of the bottom section of the crystal B. This indicates that the concentrations of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  in the top section are lower than those in the bottom. In other words, the concentrations of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  decrease along the crystal growth direction, which implies that the effective segregation coefficient of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  is higher than 1 in the  $\text{LiYF}_4$  crystal, which

is consistent with the results of other reports<sup>[6]</sup>.

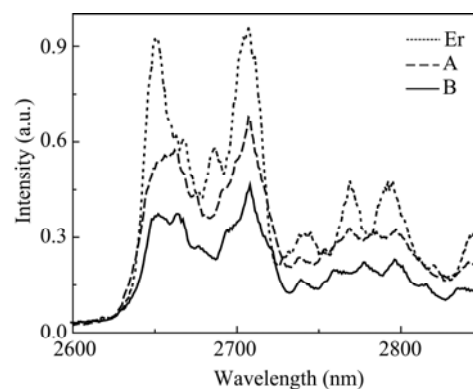
In the measurements of emission spectra, the same experimental conditions for both  $\text{Er}^{3+}$  doped and  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped samples are maintained in order to get the comparable results. Compared with  $\text{Yb}^{3+}$ -free sample, it is found from Fig.3 that the emission intensity at  $2.7 \mu\text{m}$  from the excited  $^4I_{11/2}$  state is reduced when  $\text{Yb}^{3+}$  is introduced into  $\text{Er}^{3+}:\text{LiYF}_4$  crystal. For all  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{LiYF}_4$  single crystals, the emissions at  $\sim 2.7 \mu\text{m}$  show sharp bands, while for  $\text{Er}^{3+}/\text{Yb}^{3+}$  glasses, they are inhomogeneously broadened due to the glass matrix<sup>[2]</sup>, which is not beneficial to the laser output.



**Fig.2 Absorption spectra of  $\text{Er}^{3+}$  doped and  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{LiYF}_4$  single crystals**

**Tab.1 Concentrations of  $\text{Er}^{3+}$  ions and  $\text{Yb}^{3+}$  ions with unit of molar fraction in the crystals**

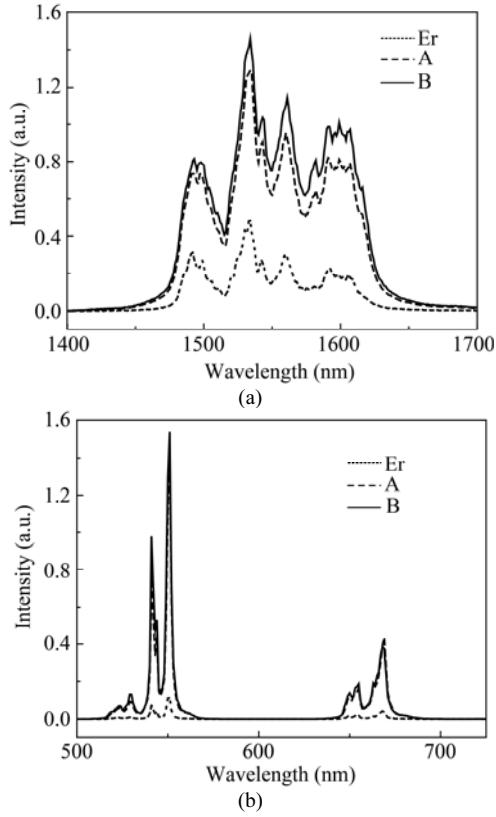
Sample (No.)	A (%)	B (%)	Er (%)
$\text{Er}^{3+}$	1.96	2.06	2.02
$\text{Yb}^{3+}$	0.99	1.02	0.00



**Fig.3 Emission spectra of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped and  $\text{Er}^{3+}$  singly doped  $\text{LiYF}_4$  crystals under  $980$  nm excitation**

The emission spectra in Fig.4(a) consist of the characteristic bands centrically peaked at  $1.53 \mu\text{m}$  originating from the  $^4I_{13/2}$  to the terminal  $^4I_{15/2}$  level, and in Fig.4(b) the peaks at  $0.52 \mu\text{m}$ ,  $0.55 \mu\text{m}$  and  $0.66 \mu\text{m}$  result from the  $^2H_{11/2}$ ,  $^2S_{3/2}$  and  $^4F_{9/2}$  metastable levels to the  $^4I_{15/2}$  level. Compared with  $\text{Yb}^{3+}$ -free sample, it is noted that both the emission and up-conversion emission intensities increase greatly when  $\text{Yb}^{3+}$  is introduced into  $\text{Er}^{3+}:\text{LiYF}_4$

crystal. The similar enhanced phenomena were also observed in other Yb<sup>3+</sup>/Er<sup>3+</sup> co-doped matrices<sup>[11,12]</sup>.



**Fig.4 (a) Emission and (b) up-conversion emission spectra of Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped and Er<sup>3+</sup> singly doped LiYF<sub>4</sub> crystals under 980 nm excitation**

From Figs.3 and 4, we can also clearly know that the emission intensity of the bottom section of crystal (sample B) is slightly stronger than that of the top section (sample A). It is caused by the different doping concentrations of Yb<sup>3+</sup> and Er<sup>3+</sup> at the different crystal locations, which results from the effective segregation phenomena of the trivalent rare-earth ions in LiYF<sub>4</sub> crystal. The emission spectra are used to estimate all the stimulated emission parameters. The stimulated emission cross-sections  $\sigma_{\text{emi}}(\lambda_p)$  for  ${}^4I_{11/2} \rightarrow {}^4I_{13/2}$  and  ${}^4I_{13/2} \rightarrow {}^4I_{15/2}$  transitions are evaluated from the measured emission line shape as<sup>[13]</sup>

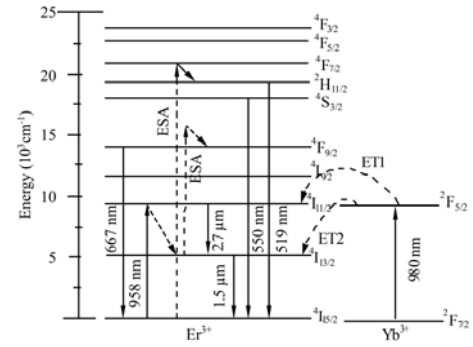
$$\sigma_{\text{emi}}(\lambda_p) = \frac{\lambda_p^4 A}{8\pi c n^2 \Delta\lambda_{\text{eff}}}, \quad (1)$$

where  $\lambda_p$  is the peak fluorescence wavelength,  $\Delta\lambda_{\text{eff}}$  is the effective line width of the transition,  $n$  is the refractive index of the host crystal,  $c$  is the velocity of light, and  $A$  is the radiative transition probability of the transition. Since the emission band is found to be slightly asymmetric, the effective line width  $\Delta\lambda_{\text{eff}}$  is determined using the expression of  $\Delta\lambda_{\text{eff}} = \int \frac{I(\lambda)}{I_p} d\lambda$ , where  $I_p$  is the peak fluorescence intensity corresponding to  $\lambda_p$ . The stimulated emission cross-sections  $\sigma_{\text{emi}}(\lambda_p)$  for 2.7  $\mu\text{m}$  and 1.5  $\mu\text{m}$  are calculated and listed in Tab.2.

**Tab.2 Stimulated emission cross-sections  $\sigma_{\text{emi}}(\lambda_p)$  for 2.7  $\mu\text{m}$  and 1.5  $\mu\text{m}$**

Sample	$\sigma_{\text{emi}}(2.7)$ (cm <sup>2</sup> )	$\sigma_{\text{emi}}(1.5)$ (cm <sup>2</sup> )
Er	$10.11 \times 10^{-20}$	$2.90 \times 10^{-20}$
A	$5.38 \times 10^{-20}$	$10.46 \times 10^{-20}$
B	$8.16 \times 10^{-20}$	$12.48 \times 10^{-20}$

The possible mechanisms of the energy transfer processes between Er<sup>3+</sup> and Yb<sup>3+</sup> ions have been reported by Vijay Singh et al<sup>[14]</sup>. Here we attempt to quantitatively investigate the energy transfers between Er<sup>3+</sup> and Yb<sup>3+</sup>. The energy level diagram for the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped LiYF<sub>4</sub> crystal is shown in Fig.5.



**Fig.5 Energy level diagram of Er<sup>3+</sup> and Yb<sup>3+</sup>**

When the Yb<sup>3+</sup> is introduced, the pump light at 980 nm is mainly absorbed by Yb<sup>3+</sup> via the transition  ${}^2F_{7/2} \rightarrow {}^2F_{5/2}$ . It should be noted that when co-doped samples are excited by high power continuous laser at 980 nm, the  ${}^2F_{5/2}$  state can be highly populated, where the  ${}^2F_{5/2}$  is a metastable state, and thus the energy transfer from Yb<sup>3+</sup>: ${}^2F_{5/2}$  to Er<sup>3+</sup>: ${}^4I_{11/2}$ ,  ${}^4I_{13/2}$  can take place due to the good energy match. These energy transfer processes from Yb<sup>3+</sup> to Er<sup>3+</sup> can rapidly populate the Er<sup>3+</sup>: ${}^4I_{13/2}$  and Er<sup>3+</sup>: ${}^4I_{11/2}$  levels. As a consequence, a greatly enhanced emission at 1.5  $\mu\text{m}$  from  ${}^4I_{13/2} \rightarrow {}^4I_{15/2}$  transition can be observed. The energy transfer rate of Yb<sup>3+</sup>: ${}^2F_{5/2} \rightarrow \text{Er}^{3+}:{}^4I_{13/2}$  (ET2) is demonstrated to be much smaller than that of Yb<sup>3+</sup>: ${}^2F_{5/2} \rightarrow \text{Er}^{3+}:{}^4I_{11/2}$  (ET1) because the energy mismatch of Yb<sup>3+</sup>: ${}^2F_{5/2}$  and Er<sup>3+</sup>: ${}^4I_{13/2}$  is larger. The most likely up-conversion mechanisms are two successive ET1 processes in the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped samples<sup>[2]</sup>. The pump energy is efficiently absorbed by the Yb<sup>3+</sup> ion in its ground state, which promotes these ions to their  ${}^2F_{5/2}$  excited state. The excited Yb<sup>3+</sup> ions transfer their energy to adjacent Er<sup>3+</sup> ions in the  ${}^4I_{15/2}$  ground state, and excite them to the  ${}^4I_{11/2}$  state. Fast energy transfer from the second excited Yb<sup>3+</sup> ion or direct absorption of the photon at 980 nm can further excite these Er<sup>3+</sup> ions from the  ${}^4I_{11/2}$  state to the  ${}^4F_{7/2}$  level. Another possibility of populating the  ${}^4F_{7/2}$  level is the interaction between two excited Er<sup>3+</sup> ions followed by the energy transfer process in the  ${}^4I_{11/2}$  state. After nonradiative relaxation from the  ${}^4F_{7/2}$  level to the  ${}^2H_{11/2}$  or  ${}^4S_{3/2}$  level, the Er<sup>3+</sup> ions can either return to the ground state, introducing the emission of green light, or further nonra-

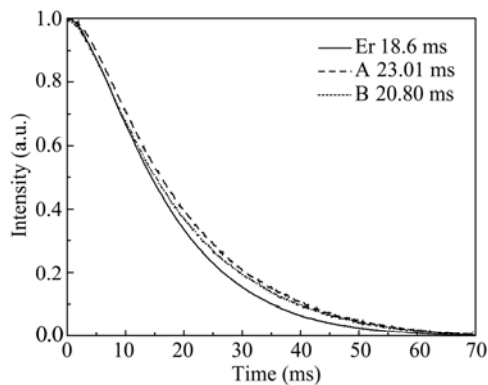
diatively relax to the  $^4F_{9/2}$  level, leading to the emission of red light. Since this  $^4F_{7/2}$ ,  $^4I_{11/2} \rightarrow ^4F_{9/2}$ ,  $^4F_{9/2}$  process involves two excited  $Er^{3+}$  ions, only the emission of red light is enhanced when the system is strongly pumped with 980 nm light. The latter is achieved more easily in the co-doped material due to its larger absorption cross section.

As shown in Fig.4,  $Yb^{3+}$  introduction promotes the green emission ( $^2H_{11/2}/^4S_{3/2} \rightarrow ^4I_{15/2}$ ), the red up-conversion emission ( $^4F_{9/2} \rightarrow ^4I_{15/2}$ ), and 1.5  $\mu m$  down-conversion emission ( $^4I_{13/2} \rightarrow ^4I_{15/2}$ ) of  $Er^{3+}$ . It is proposed that the process of up-conversion emission depopulates the  $^4I_{11/2}$  level, and the energy process of  $Yb^{3+}: ^2F_{5/2} \rightarrow Er^{3+}: ^4I_{11/2}$  (ET2) populates the  $^4I_{13/2}$  level, which is not beneficial to the emission at 2.7  $\mu m$  ( $Er^{3+}: ^4I_{11/2} \rightarrow ^4I_{13/2}$ ), and results in the reduction of the emission by introducing  $Yb^{3+}$ .

The fluorescent decays for  $^4I_{13/2} \rightarrow ^4I_{15/2}$  transition of  $Er^{3+}$  in  $Er^{3+}$  singly doped sample and  $Yb^{3+}/Er^{3+}$  co-doped samples under 980 nm are measured and shown in Fig.6. From the decay curves, the average fluorescent lifetime can be calculated by<sup>[15]</sup>:

$$\bar{\tau} = \frac{\int I(t) dt}{\int I(t) dt}, \quad (2)$$

where  $I(t)$  represents the luminescence intensity as a function of time  $t$ . The measured lifetime of  $Er^{3+}: ^4I_{13/2}$  is increased from 18.60 ms for the  $Er^{3+}$  singly doped  $LiYF_4$  crystal to 23.01 ms and 20.80 ms for the upper part (A) and lower part (B) of  $Er^{3+}/Yb^{3+}$  co-doped samples, respectively, indicating that the  $Yb^{3+}$  introduction populates  $^4I_{13/2}$  level of  $Er^{3+}$  greatly.



**Fig.6** Decay curves of the  $Er^{3+}: ^4I_{13/2}$  level in  $LiYF_4$  crystals doped with  $Er^{3+}$  and  $Er^{3+}/Yb^{3+}$  ions at 1.5  $\mu m$  under 980 nm excitation

In summary, intense 1.5  $\mu m$  and up-conversion emissions from  $Er^{3+}/Yb^{3+}$  co-doped  $LiYF_4$  single crystal are observed, which are prepared by the Bridgman technique. Intense 1.5  $\mu m$  and up-conversion emission is obtained due to the efficient energy transfer between  $Yb^{3+}$  and  $Er^{3+}$  ions. The maximum calculated emission cross sections at 1.5  $\mu m$  and 2.7  $\mu m$  wavelength of  $Er^{3+}/Yb^{3+}$  co-doped  $LiYF_4$  crystal are  $12.48 \times 10^{-20} \text{ cm}^2$  and  $8.16 \times 10^{-20} \text{ cm}^2$ , respectively. Introducing  $Yb^{3+}$  ion into the  $Er^{3+}$  doped  $LiYF_4$  crystal can play a significant role to enhance the 1.5  $\mu m$  and up-conversion emissions. So we can conclude that the  $Er^{3+}/Yb^{3+}$  co-doped  $LiYF_4$  single crystal can be a promising material for the infrared laser.

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