

## High-efficiency AlN/GaN MIS-HEMTs with SiN<sub>x</sub> insulator grown in-situ for millimeter wave applications

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**Abstract:** In this work, high-efficiency AlN/GaN metal-insulator-semiconductor high electron mobility transistors (MIS-HEMTs) have been fabricated for millimeter wave applications. A 5-nm SiN<sub>x</sub> insulator is grown in-situ as the gate insulator by metal-organic chemical vapor deposition (MOCVD), contributing to remarkably suppressed gate leakage, interface state density and current collapse. The fabricated MIS-HEMTs exhibit a maximum drain current of 2.2 A/mm at  $V_{GS}=2$  V, an extrinsic peak  $G_m$  of 509 mS/mm, and a reverse Schottky gate leakage current of  $4.7 \times 10^{-6}$  A/mm when  $V_{GS} = -30$  V. Based on a 0.15  $\mu$ m T-shaped gate technology, an  $f_T$  of 98 GHz and  $f_{MAX}$  of 165 GHz were obtained on the SiN/AlN/GaN MIS-HEMTs. Large signal measurement shows that, in a continuous-wave mode, the MIS-HEMTs deliver an output power density ( $P_{out}$ ) of 2.3 W/mm associated with a power-added efficiency (PAE) of 45.2% at 40 GHz, and a  $P_{out}$  (PAE) of 5.2 W/mm (42.2%) when  $V_{DS}$  was further increased to 15 V.

**Key words:** AlN/GaN, metal-insulator-semiconductor High Electron Mobility Transistors (MIS-HEMTs), millimeter wave, low dispersion, low drain voltage

## 带有原位生长 SiN<sub>x</sub> 绝缘层的 AlN/GaN 毫米波高效率 MIS-HEMT 器件

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**摘要:** 本文采用金属有机化学气相沉积(MOCVD)生长原位SiN<sub>x</sub>栅介质制备了用于Ka波段高功率毫米波应用的AlN/GaN金属绝缘体半导体高电子迁移率晶体管(MIS-HEMTs)。原位生长SiN<sub>x</sub>栅介质显著抑制了栅反向漏电、栅介质/AlN界面态密度和电流坍塌。所研制的MIS-HEMTs在 $V_{GS}=2$  V时最大饱和输出电流为2.2 A/mm, 峰值跨导为509 mS/mm, 在 $V_{GS} = -30$  V时肖特基栅漏电流为 $4.7 \times 10^{-6}$  A/mm。采用0.15  $\mu$ m T形栅技术, 获得98 GHz的 $f_T$ 和165 GHz的 $f_{MAX}$ 。大信号测量表明, 在连续波模式下, 漏极电压 $V_{DS} = 8$  V时, MIS-HEMT在40 GHz下输出功率密度2.3 W/mm, 45.2%的功率附加效率(PAE), 而当 $V_{DS}$ 增加到15 V时, 功率密度提升到5.2 W/mm, PAE为42.2%。

**关键词:** AlN/GaN; 金属绝缘体半导体高电子迁移率晶体管; Ka波段; 低损耗; 低偏压

中图分类号: O48 文献标识码: A

Received date: 2022-06-22, revised date: 2022-11-02

收稿日期: 2022-06-22, 修回日期: 2022-11-02

Foundation items: Supported by the National Natural Science Foundation of China (61822407, 62074161, 62004213); the National Key Research and Development Program of China under (2018YFE0125700)

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## Introduction

In recent years, high electron mobility transistors (HEMTs) based on GaN have attracted more attention, due to their high thermal conductivity, high breakdown voltage, and high-power density for millimeter-wave (mm-wave) power amplifiers. In an AlGaIn/GaN HEMTs structure, the working voltage may reach 28 V or even higher<sup>[1][2]</sup>, such high voltage will enhance the longitudinal electric field to increase the gate leakage<sup>[3]</sup>. Additionally, the internal electric field intensity will reach  $10^6\sim 10^7$  V/cm when the 20~30 V is applied to drain bias, leading to current collapse, reduction of breakdown voltage, and increase in leakage<sup>[4]</sup>. In order to achieve high-performance GaN HEMT at low operating voltage, the energy-band theory is used to design new epitaxial structures to increase the electron gas density meanwhile preventing the gate from losing its control ability for the short T-gate. Therefore, the ultra-thin barrier layer technology has shown great advantages in ultra-high frequency and high power<sup>[5][6]</sup>.

In millimeter-wave applications, the gate length is shrunk to deep-submicron size, and the transverse dimension of the device needs to be scaled down at the same proportion. To avoid the short channel effect, the material structure with an ultra-thin barrier layer is used to solve the aspect ratio of the gate. The issue primarily results from the much stronger spontaneous and piezoelectric polarization of AlN/GaN compared to AlGaIn/GaN, leading to a much higher drain current in the HEMT channel, also allowing the use of a much thinner barrier layer. While along with the shrink of vertical device dimensions, increased gate leakage necessitates the use of a gate insulator<sup>[7-10]</sup>.

AlN barrier has been shown highly sensitive to the air and vapor for oxidation, consequently, surface treatment and passivation techniques play a significant role in the surface state. To achieve a low gate leakage current, materials with a wide bandgap are necessary, such as  $\text{SiO}_2$  and  $\text{Al}_2\text{O}_3$ <sup>[7][9]</sup>. However, it is inevitable that these materials are deposited on the AlN surface when it is exposed to air, becoming contamination at the interfaces. On the other hand, in-situ deposition of  $\text{SiN}_x$  is a promising way to realize proper interfaces, which guarantees the insensitivity of AlN surfaces to temperature change.

In this work, we demonstrated the AlN/GaN MIS-HEMTs. By using in-situ  $\text{SiN}_x$  insulator, a maximum drain current  $I_{D,\max}$  of 2.2 A/mm was obtained at  $V_{GS}=2$  V, it doubled  $I_{D,\max}$  of the AlGaIn/GaN HEMTs under the same condition. Transconductance  $G_{m,\text{ext}}$  of 509 mS/mm are also achieved. Moreover, the OFF-state drain leakage, as well as gate leakage current in the HEMTs, was reduced by the low interface state between AlN barrier and insulator, contributing to a low Schottky gate leakage of  $4.7\times 10^{-6}$  A/mm at  $V_{GS} = -30$  V and a low OFF-state drain leakage of  $8.2\times 10^{-5}$  A/mm. Owing to the suppressed current collapse, when  $V_{DS} = 8$  V, a high output power density of 2.3 W/mm with peak power-added-efficiency (PAE) of 45.2%, and a power gain of 10.2 dB are achieved at 40 GHz in the continuous-wave (CW) mode.

## 1 Experiments

The schematic cross section of MIS-HEMTs is shown in Fig. 1(a). The AlN/GaN heterostructures in this study were grown on semi-insulating SiC substrates by metal-organic chemical vapor deposition (MOCVD), consisting of a Fe-doped GaN buffer layer, an unintentionally doped GaN channel layer, 1 nm AlN spacer layer, a 5 nm AlN barrier layer, and 5 nm  $\text{SiN}_x$  insulator layer. Device fabrication was started with source/drain ohmic contact formation by Ti/Al/Ni/Au stack, and subsequent rapid thermal annealed at 800 °C for 30 s in  $\text{N}_2$  atmosphere, to yield a contact resistance of  $0.3 \Omega \cdot \text{mm}$ . Device isolation was then formed utilizing multiple-energy nitrogen ion implantation. A T-shaped gate was subsequently accomplished by electron beam lithography (EBL; model manufacturer) of UVIII/Al/PMMA resist stack. The width of the T-gate foot and head are 0.15 and 0.6  $\mu\text{m}$ , respectively<sup>[2]</sup>. A Ni/Au metal layer was generated by e-beam evaporation (EVA450) on  $\text{SiN}_x$ 's surface for the gate contact. Finally, the AlN/GaN HEMT devices were passivated with 60 nm stress-free  $\text{SiN}_x$  grown by plasma-enhanced chemical vapor deposition (PECVD). The fabricated MIS-HEMTs

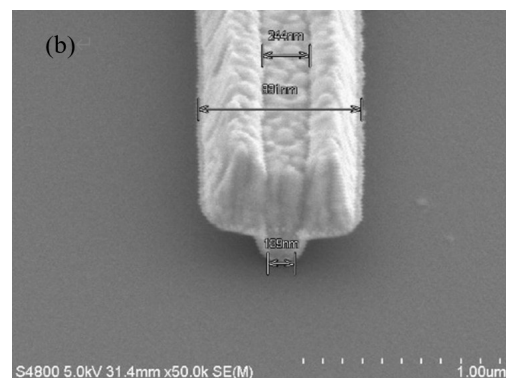
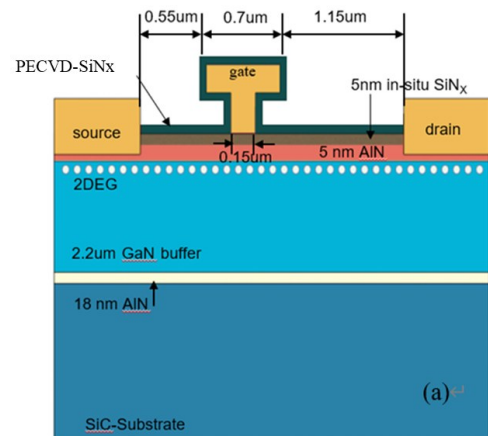


Fig.1 (a) The schematic of epitaxial structure of AlN/GaN MIS-HEMTs, (b) the SEM of 0.15- $\mu\text{m}$  T-gate  
图1 (a)外延材料与器件结构示意图, (b) 0.15  $\mu\text{m}$  T型栅扫描电镜图

have a source-drain distance ( $L_{SD}$ ) of 2.4  $\mu\text{m}$  and a gate-drain distance ( $L_{GD}$ ) of 1.15  $\mu\text{m}$ . An SEM picture of the T-gate is shown in Fig. 1(b).

As a comparison, AlGaN/GaN HEMT devices are also developed, with the barrier and cap layers replaced with a 21-nm Al<sub>0.25</sub>Ga<sub>0.75</sub>N and a 3-nm GaN layers, respectively, as Ref. [16]. The gate recessed process, which differs from the AlN/GaN device's, uses inductively coupled plasma (ICP) dry etching with chlorine-based plasmas of BCl<sub>3</sub> and Cl<sub>2</sub> to fabricate recessed-gate with a width of 0.8 μm and depth of 6 nm. Then the same T-shaped gates were fabricated on it. The remaining process steps are the same as for AlN/GaN devices.

## 2 Results and discussions

### 2.1 DC measurement

The fabricated devices yielded in this study exhibit a typical static characterization, as shown in Fig. 2 (a). Due to the much stronger spontaneous and piezoelectric polarization of AlN/GaN, a maximum drain current of 2.2 A/mm at  $V_{GS}=2$  V was observed. The thickness of the in-situ SiN<sub>x</sub> cap layer is critical for highly scaled GaN devices to avoid gate leakage current contributing to a reverse density of  $4.7 \times 10^{-6}$  A/mm at  $V_{GS} = -30$  V, as shown in Fig. 2 (b). The short-channel effect was effectively suppressed

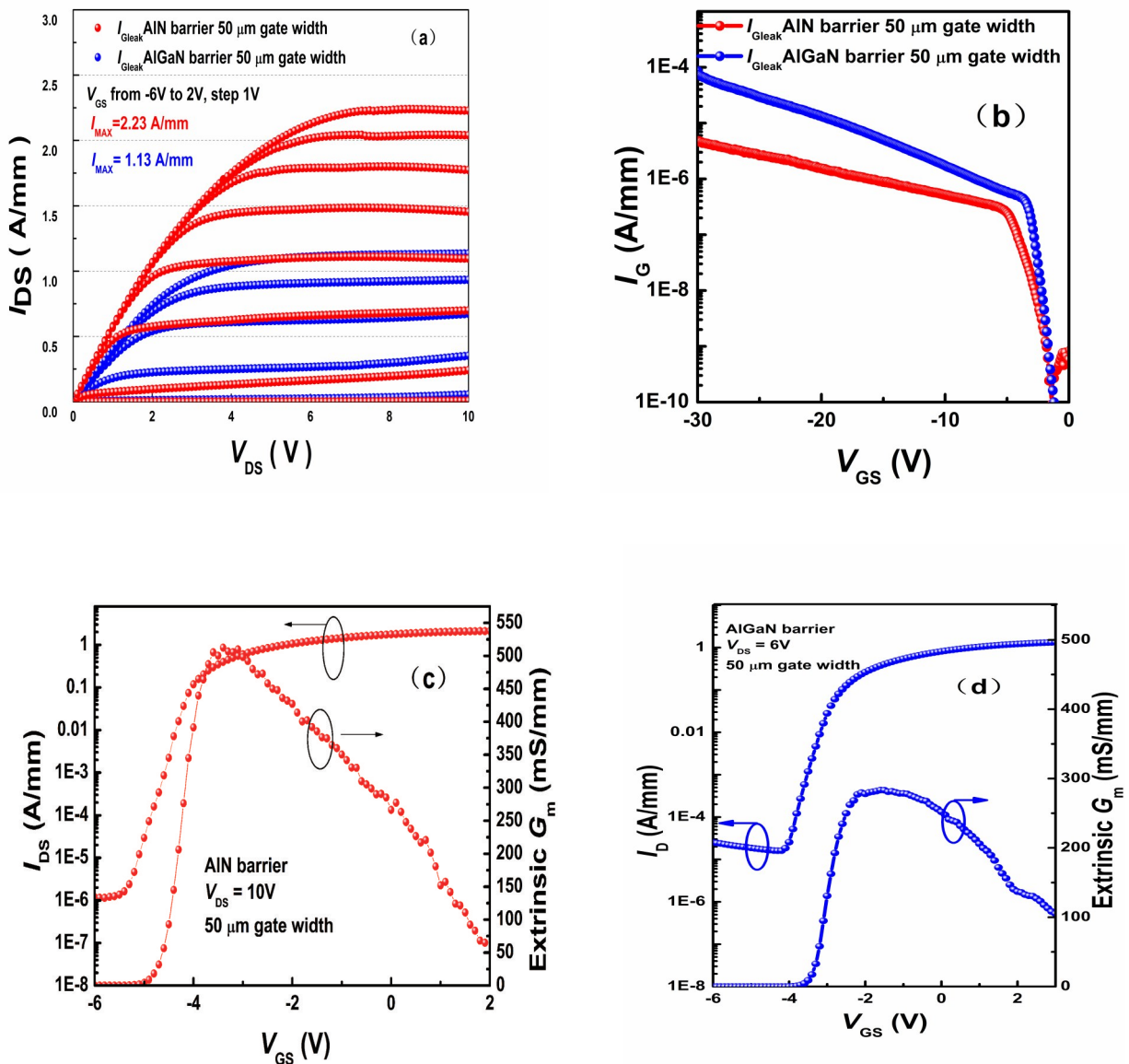


Fig. 2 Measured dc characteristics of devices (a)  $I_D$  of both HEMTs and MIS-HEMTs versus  $V_{DS}$  with  $V_{GS}$  varied from -6 V to 2 V, (b) gate leakage of HEMTs and MIS-HEMTs with  $V_{GS}$  swept to -30 V, (c)  $I_D$  and extrinsic transconductance of MIS-HEMTs with  $V_{GS}$  varied from -6 V to 2 V at  $V_{DS} = 6$  V, (d)  $I_D$  and extrinsic transconductance of HEMTs with  $V_{GS}$  varied from -6 V to 3 V at  $V_{DS} = 6$  V

图2 器件直流特性测试 (a) HEMT和MIS-HEMT器件输出电流特性测试对比图, (b) HEMT和MIS-HEMT器件肖特基特性测试对比图, (c) MIS-HEMT器件转移特性测试图, (d) HEMT器件转移特性测试图

by the thin barrier, as shown by the transfer curves in Fig. 2(c), and the OFF-state drain leakage is merely  $1.0 \times 10^{-6}$  A/mm. Meanwhile the corresponding  $G_{m,ext}$  at  $V_{DS} = 6$  V is 509 mS/mm (Fig. 2(c)). Based on AlGaIn barrier device,  $G_{m,ext}$  is 294 mS/mm and the OFF-state drain leakage is  $8.2 \times 10^{-5}$  A/mm under the same test condition (Fig. 2(d)).

## 2.2 The small-signal RF characteristics

The small-signal RF characteristics of the fabricated MIS-HEMTs were measured using a network analyzer in a frequency range from 100 MHz to 40 GHz. Values of current-gain cutoff frequency  $f_T$  and unit-power-gain frequency  $f_{MAX}$ , as shown in Fig. 4, were determined by 20 dB/dec line extrapolated from the small-signal current gain  $|h_{21}|$  and maximum stable gain (MSG). At  $V_{DS}=10$  V,  $f_T$  and  $f_{MAX}$  are 98 GHz and 165 GHz, respectively (Fig. 3). It implies that in-situ  $\text{SiN}_x$  technology effectively suppresses the RF- $G_m$  collapse in mm-wave AlN/GaN HEMTs.

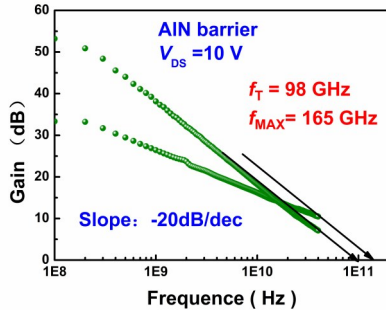


Fig. 3 Small-signal characteristics of the fabricated AlN/GaN MIS-HEMTs at  $V_{DS} = 10$  V

图3  $V_{DS} = 10$  V下AlN/GaN MIS-HEMTs器件小信号测试图

## 2.3 CV and pulse measurement

To determine the quality of in-situ  $\text{SiN}_x$ , the capacitance-voltage (C-V) measurement was employed to realize interface trap density. The frequency/temperature dispersions of the second slope in C-V curve were analyzed<sup>[11-13]</sup>, and the results are shown in Fig. 4. With  $f_m$  varying from 1 KHz to 1 MHz (Fig. 4(a)), and T increasing from 25 °C to 150 °C (Fig. 4(b)), the C-V characteristics of AlN/GaN MIS-HEMT exhibits a slight ( $\Delta V$  less than 0.05 V) dispersions in multi- $f/T$  ac-CV characteristics, indicating low  $D_{it}$  and high interface quality in MIS-HEMT. Accordingly,  $D_{it}$  at the in-situ  $\text{SiN}_x/\text{AlN}$  interface was mapped against  $E_T$ <sup>[14-15]</sup>. From  $E_C-0.58$  eV to  $E_C-0.29$  eV,  $D_{it}$  falls between  $3.4 \times 10^{11}$  and  $1.1 \times 10^{12}$   $\text{cm}^{-2}\text{eV}^{-1}$  (Fig. 4(c)).

The low interface state density ensures the low dc-RF dispersion, the pulse I-V characteristic of the devices is shown in Fig. 5(a). The pulse period and width were set to 10  $\mu\text{s}$  and 200 ns, respectively. The gate-lag effect under a quiescent bias of  $(V_{GSQ}, V_{DSQ}) = (-6$  V, 0 V) barely changes in the MIS-HEMTs. The drain-lag ratio under a quiescent bias of  $(V_{GSQ}, V_{DSQ}) = (-6$  V, 15 V) is pretty weak in the saturation region (collapse ratio: 1.5%, Fig. 5(a)). It is probably due to the N in the  $\text{SiN}_x$  rather than

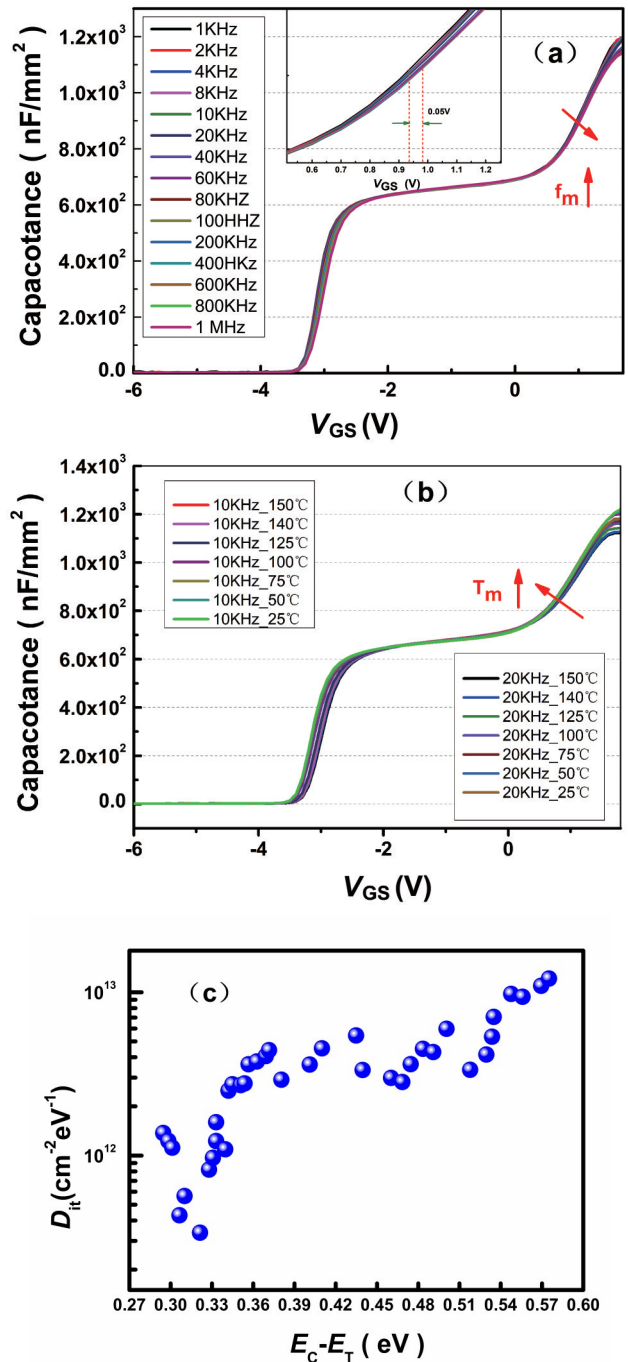


Fig. 4  $f/T$ -dependent C-V characteristics of AlN/GaN MIS-HEMTs with (a)  $f_m$  varying from 1 KHz to 1 MHz, (b) T increasing from -25 °C to 150 °C  $f_m$  varying at 10 KHz and 20 KHz (c)  $D_{it}-E_T$  mapping in AlN/GaN MIS-HEMTs

图4 (a)AlN/GaN MIS-HEMTs不同频率下的CV测试图,(b)频率 10 HKz 和 20 KHz 下 AlN/GaN MIS-HEMTs 从 -25 到 150 °C 的 CV 特性测试图,(c)AlN/GaN MIS-HEMTs 多频-变温下计算的  $D_{it}$ - $E_T$  关系图

the AlN barrier that leads N vacancies creating a conducting channel through the AlN barrier, hence low annealing temperature and time. The in-situ  $\text{SiN}_x$  impeded the formation of nitrogen deficiency and oxidation of bare AlN surface when conventional process of ohmic annealing at above 800 °C, and suppressed damage to the AlN barrier

during the process of extra SiN<sub>x</sub> ex-situ passivation. The ultralow dispersion implies that in-situ SiN<sub>x</sub> effectively obstructed the bombardment of ion when the plasma was generated. As shown the pulsed transfer characteristics curves in Fig. 5(b), hysteresis is less than 100 mV after sweeping from -8 V to 0 V, indicating significant suppression of deep interface traps with in-situ insulator.

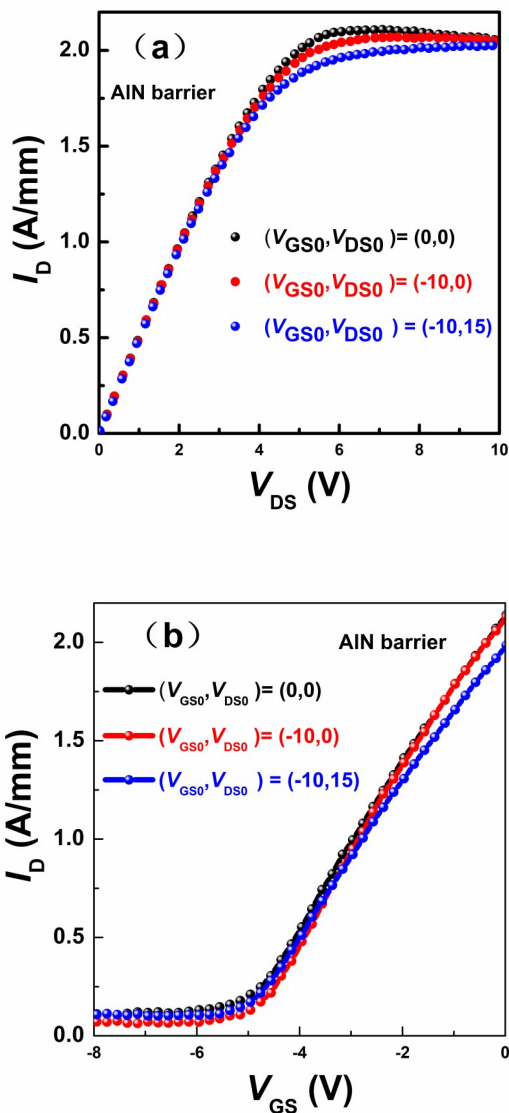


Fig. 5 Pulsed I-V characteristics of (a) output characteristics measured at  $V_{GS} = 0$  V, (b) transfer characteristics measured at  $V_{DS} = 10$  V

图5 脉冲测试图(a)  $V_{GS} = 0$  V下,不同静态偏置下饱和输出电流测试对比图,(b)  $V_{DS} = 10$  V时不同静态偏置下转移特性对比测试图

## 2.4 Large-signal measurement

Figure. 6 depicts the large-signal power performance of the mm-wave AlN/GaN MIS-HEMTs, evaluated at 40 GHz in CW mode, in comparison with AlGaIn/GaN HEMTs. The devices were biased at Class-AB condition with low operation voltage,  $V_{DS} = 8$  V,  $V_{DS} = 10$  V, and

$V_{DS} = 15$  V, respectively. Load and source impedance were optimized for the best PAE before the evaluation.

Owing to the enlarged current density and minimized forward gate leakage current of AlN/GaN MIS-HEMTs, a record high PAE of 45.2% is achieved at  $V_{DS} = 8$  V, and the corresponding output power density and associated gain are 2.3 W/mm and 10.8 dB gain. By contrast, the PAE, output power density, and gain of AlGaIn/GaN HEMTs are merely 42.6%, 1.2 W/mm, and 9.1 dB respectively. when  $V_{DS} = 10$  V,  $P_{out}$  of AlN/GaN MIS-HEMTs reached 3.3 W/mm while that of AlGaIn/GaN HEMTs is 1.5 W/mm; when  $V_{DS} = 15$  V,  $P_{out}$  of AlN/GaN MIS-HEMTs increased to 5.2 W/mm while that of AlGaIn/GaN HEMTs is 2.8 W/mm. In previous research using the AlGaIn HEMTs structure,  $P_{out}$  of 5.1 W/mm can be only obtained under  $V_{DS}$  over 25 V<sup>[16]</sup>. The high performance of AlN/GaN HEMTs is believed to attribute to the wide conduction band between AlN and GaN, as well as the high-quality SiN<sub>x</sub>/AlN interface.

At low voltage, the power density of AlN / GaN thin barrier MIS-HEMTs based on in-situ SiN growth is nearly double that of AlGaIn barrier devices, making them promising for low voltage applications.

## 3 Conclusions

With in-situ SiN<sub>x</sub> technique on AlN/GaN epi-structure and T-gate process, high-performance MIS-HEMTs have been fabricated for low  $V_{DS}$  applications at Ka-band. A high-quality SiN<sub>x</sub>/AlN interface has been obtained, which was verified by analyzing the frequency and temperature-dependent of the second slope in the C-V characteristics. Using 0.15  $\mu$ m  $\Gamma$ -shaped gate technology, the developed MIS-HEMTs show a maximum drain current of 2.2 A/mm at  $V_{GS} = 2$  V, an extrinsic peak  $G_{m,ext}$  of 509 mS/mm, extra-low dc-RF dispersion. The drain-lag ratio of 1.5% under a quiescent bias of  $(V_{GSQ}, V_{DSQ}) = (-6$  V, 15 V) collapse-ratio in the saturation region. the MIS-HEMTs can yield an output power density of 2.3 W/mm associated with power-added efficiency (PAE) of 45.2% at 40 GHz under the drain voltage  $V_{DS} = 8$  V in continuous-wave mode. Furthermore, when  $V_{DS} = 10$  V, the power density was 3.3 W/mm, and PAE maintain 43.8%; when  $V_{DS} = 15$  V, power density increased to 5.2 W/mm with PAE decreasing to 42.2%. The results suggest that the in-situ AlN/GaN MIS-HEMTs are promising for low bias voltage applications requiring high-efficiency and high-power density at Millimeter Waves.

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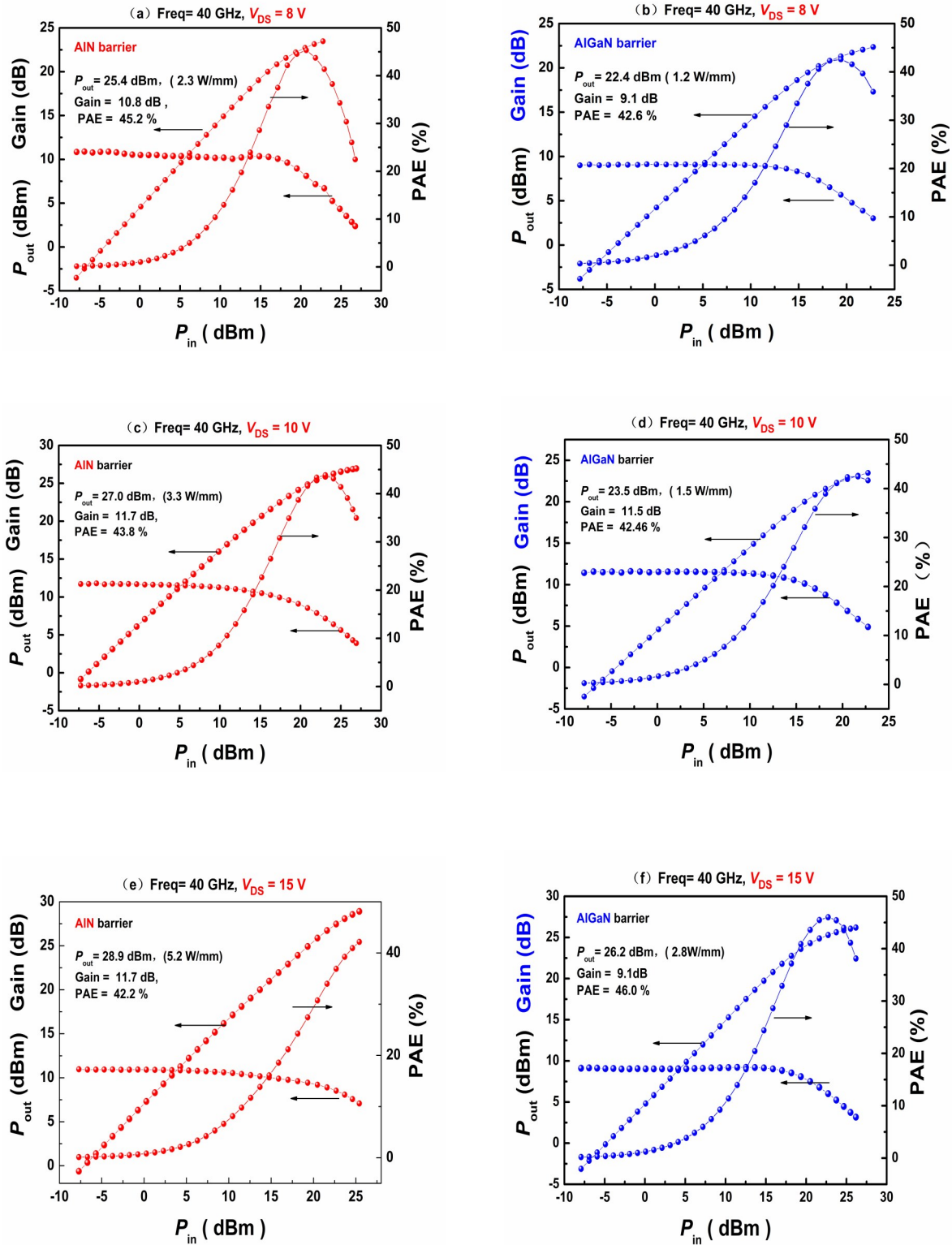


Fig. 6 Large-signal measurements at 40 GHz in CW mode (a)  $V_{DS}=8$  V, AIN/GaN MIS-HEMTs measurement, (b)  $V_{DS}=8$  V, AlGaIn/GaN HEMTs measurement, (c)  $V_{DS}=10$  V, AIN/GaN MIS-HEMTs measurement, (d)  $V_{DS}=10$  V, AlGaIn/GaN HEMTs measurement, (e)  $V_{DS}=15$  V, AIN/GaN MIS-HEMTs large-signal measurement, (f)  $V_{DS}=15$  V, AlGaIn/GaN HEMTs measurement

图6 40 GHz下大信号连续波测试 (a)  $V_{DS}=8$  V, AIN/GaN MIS-HEMTs测试结果, (b)  $V_{DS}=8$  V, AlGaIn/GaN HEMTs测试结果, (c)  $V_{DS}=10$  V, AIN/GaN MIS-HEMTs测试结果, (d)  $V_{DS}=8$  V, AlGaIn/GaN HEMTs测试结果, (e)  $V_{DS}=15$  V, AIN/GaN MIS-HEMTs测试结果, (f)  $V_{DS}=15$  V, AlGaIn/GaN HEMTs测试结果

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