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## Structural and Optical Properties of $Zn_3N_2$ Films Prepared by Magnetron Sputtering in $NH_3$ -Ar Mixture Gases

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**Abstract:** The  $Zn_3N_2$  is a kind of wide band gap semiconductor and it can be converted into p-type ZnO:N after oxidation at temperatures higher than  $400^\circ C$  which has significant potential for electronic and optoelectronic applications. The  $Zn_3N_2$  films were prepared by RF magnetron sputtering a metallic zinc target in  $NH_3$ -Ar mixture gases on glass substrate at room temperature. The optical transmission, optical absorption, structural property, chemical bonding states, photoluminescence were measured using a double beam spectrophotometer, X-ray diffractometer (XRD), X-ray Photoelectron Spectroscopy (XPS), fluorescence spectrometer. The effects of  $NH_3$  ratio on the structural and optical properties of the films were examined. XRD analysis indicates that the films are polycrystalline and have a preferred orientation of (321). The intensity of the  $Zn_3N_2$  (321) peak increases with the  $NH_3$  ratio. The films prepared with the  $NH_3$  ratios of 5%~10% have low transmission values, the transparency of the films get better with the increase of the  $NH_3$  ratio. The  $Zn_3N_2$  films have an indirect band gap, the optical band gap increases from 2.33 to 2.70 eV when the  $NH_3$  ratio varies from 5% to 25%. XPS analysis shows that the  $Zn_3N_2$  film is easily hydrolyzed by air moisture. Photoluminescence spectrum shows two emission peaks, which are located at 437 nm and 459 nm.

**Key words:** Zinc nitride films; Magnetron sputtering;  $NH_3$  ratios; Photoluminescence

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### 0 Introduction

During the past few years, zinc compounds have emerged as attractive materials owing to their significant properties<sup>[1-3]</sup>. Zinc nitride ( $Zn_3N_2$ ) has attracted the research interest in recent years since it can be converted into p-type ZnO:N after oxidation at temperatures higher than  $400^\circ C$ <sup>[4-5]</sup>. It is known that the realization of reproducible and controllable p-ZnO will lead to the new era of cheap and reliable transparent optoelectronic devices<sup>[6-8]</sup>. However,  $Zn_3N_2$  is a relatively new material and its physical properties are not well understood. For example, the optical band gap of  $Zn_3N_2$  has remained a controversial issue and the use of  $Zn_3N_2$  films has not been extensively explored, so further research is needed. Up to now, some groups have successfully fabricated zinc nitride films. In 1998, polycrystalline zinc nitride films were deposited by reactive RF magnetron sputtering a metallic zinc disc in  $N_2$ -Ar mixture

gases on glass substrate with the temperature of 423 K, and a direct band gap of 1.23 eV has been obtained<sup>[2]</sup>. In 2006, zinc nitride films were prepared onto quartz substrates from a zinc nitride target in nitrogen working gas by reactive RF magnetron sputtering at room temperature<sup>[9]</sup>, the films were cubic in structure and had an indirect transition optical band gap of 2.12 eV. In 2009, zinc nitride films were prepared by RF magnetron sputtering zinc target in  $N_2$  - Ar plasma on quartz substrates with the temperature of 473 K<sup>[10]</sup>, and the polycrystalline zinc nitride film with only one diffraction peak was first reported.

The general issue in the growth of stoichiometric nitrides is the provision of nitrogen having high chemical reactivity.  $NH_3$  gas can be used as a nitrogen source because  $NH_3$  gas easily decomposes at low temperature. In this paper, polycrystalline zinc nitride films were prepared by RF magnetron sputtering system using a metallic zinc target in  $NH_3$ -Ar mixture gases on glass

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substrate under room temperature. The structural and optical properties of zinc nitride films are discussed in detail.

## 1 Experiment

Zinc nitride films were deposited onto glass substrates by RF magnetron sputtering a metal zinc target (purity of 99.99%) in the  $\text{NH}_3$  (purity of 99.99%) and Ar (purity of 99.99%) mixture gases. The glass substrates were ultrasonically cleaned in acetone, alcohol, rinsed in deionized water and subsequently dried in flowing nitrogen gas. Prior to deposition, the sputtering chamber was pumped down to  $6 \times 10^{-4}$  Pa and the target was sputter-etched in pure Ar gas for 30 min to remove contamination, then pure ammonia gas was introduced into the chamber.  $\text{NH}_3$  and Ar gases were introduced into the sputtering chamber through separate mass flow controllers, and the total flow rate was regulated to 20 sccm with  $\text{NH}_3$  varying from 1 to 5 sccm. The working pressure was 1.0 Pa, the RF power was maintained at 50 W, the substrates were kept at room temperature and the distance between the substrate and the target was 60 mm. The thickness of all the films was around 400 nm.

Optical transmission was measured in the range of 300 ~ 850 nm using a double beam spectrophotometer (TU1901) by taking the glass substrates into consideration. The structural property was analyzed by the X-ray diffraction (XRD) technique using a Rigaku D/MAX 2500 V/PC diffractometer with Cu-K $\alpha$  radiation source. PL spectrum was measured by fluorescence spectrometer (RF-5301).

## 2 Results and discussion

### 2.1 Structural properties

The analysis of XRD patterns for a series of films prepared at room temperature and different  $\text{NH}_3$  ratios is shown in Fig. 1. The XRD analysis indicates that all the films are polycrystalline, and the crystallinity depends on the  $\text{NH}_3$  ratios in the sputtering ambient. It can be seen from Fig. 1 that, for the film prepared at  $\text{NH}_3$  ratio of 5%, besides the diffraction peaks of  $\text{Zn}_3\text{N}_2$  (222) ( $2\theta = 31.3^\circ$ ) and  $\text{Zn}_3\text{N}_2$  (321) ( $2\theta = 33.7^\circ$ ), there is also another peak at  $2\theta = 35.7^\circ$  arising from the metallic zinc. This indicates that both  $\text{Zn}_3\text{N}_2$  and Zn co-exist when the  $\text{NH}_3$  ratio is 5%. As the  $\text{NH}_3$  ratio is increased from 5% to 15%, the  $\text{Zn}_3\text{N}_2$  (321)

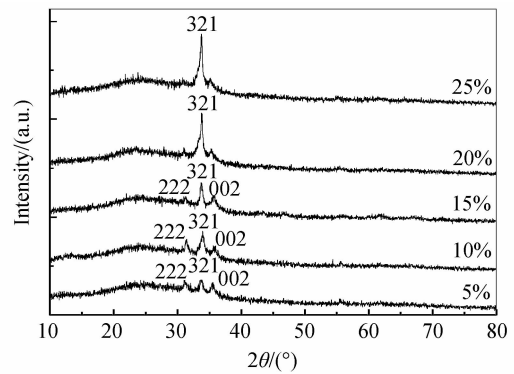
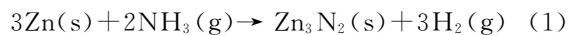


Fig. 1 XRD patterns of the films deposited with various  $\text{NH}_3$  ratios

peak becomes dominant, indicating that the crystallites of  $\text{Zn}_3\text{N}_2$  grow preferentially with the increase of the  $\text{NH}_3$  ratio. The nitride on the substrate is described by the following reaction



when  $\text{NH}_3$  ratio is less than 15%, more sputtered Zn atoms reach the substrate than excited nitrogen species, so there are not enough excited nitrogen species reacting with the sputtered Zn atoms. Single phase  $\text{Zn}_3\text{N}_2$  thin films with the (321) crystal orientation are formed at the  $\text{NH}_3$  ratio over 20%. The intensity of the  $\text{Zn}_3\text{N}_2$  (321) peak increases with the  $\text{NH}_3$  ratio. XRD analysis shows that the  $\text{NH}_3$  ratio affects the film textures.

### 2.2 Optical properties

Transmittance of  $\text{Zn}_3\text{N}_2$  films deposited at room temperature and different  $\text{NH}_3$  ratios is shown in Fig. 2. The film prepared with the  $\text{NH}_3$  ratio of 5% has a very low transmission. The film deposited at the  $\text{NH}_3$  ratio of 10% also has low transmission values. For the other films, the transmission can be as high as 80% (and even larger for some of them) in the visible region and the transparency of the films get better with the increase of the  $\text{NH}_3$  ratio. It can be confirmed that the  $\text{NH}_3$  ratio has great effect on the

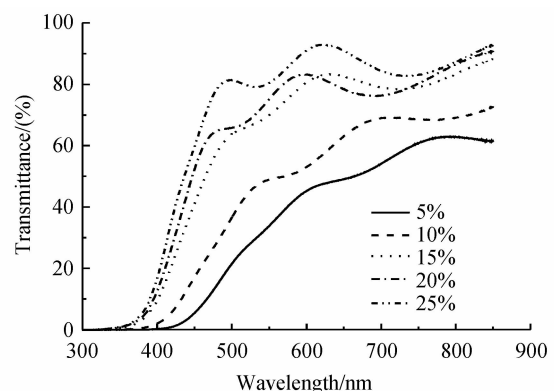


Fig. 2 Transmittance of the  $\text{Zn}_3\text{N}_2$  films deposited with various  $\text{NH}_3$  ratios

transmittance. The film deposited at 5% NH<sub>3</sub> ratio is rich in Zn atoms which deteriorate the crystallinity of the Zn<sub>3</sub>N<sub>2</sub> films and has a low transmittance. With increasing the NH<sub>3</sub> ratio to 10%, more nitrogen species react with Zn atoms, the stoichiometric ratio of the films gets better, which results in an improved transparency. With the further increase of the NH<sub>3</sub> ratio, the stoichiometric ratio of the films becomes better which leads to a better crystallinity and transparency.

The dependence of the absorption coefficient on the photon energy is analyzed using the following expression for near-edge optical absorption of semiconductors. For direct band gap semiconductors, Eq. (2) is used to calculate the optical band gap

$$(ah\nu)^2 = b(h\nu - E_g) \quad (2)$$

And for indirect band gap semiconductors, Eq. (3) is used to calculate the optical band gap

$$(ah\nu)^{1/2} = b'(h\nu - E_g) \quad (3)$$

where  $h\nu$  is the photon energy,  $E_g$  is the optical band gap, and  $b$  ( $b'$ ) is the constant. The value of optical band gap is determined through extrapolating the linear portion to  $ah\nu=0$ . Fig. 3 represents the dependence of the absorption coefficient on the photon energy for the film prepared at the NH<sub>3</sub> ratio of 25% and room temperature. It can be seen that a good linear relation is fitted with Eq. (3), indicating zinc nitride has an indirect band gap, and the optical band gap is determined to be about 2.70 eV. The optical band gap of zinc nitride films estimated in the present study is smaller than that of Ref. [11] (3.4 eV), much larger than that of Ref. [2] (1.23 eV). The difference of the optical band gap value could come from the different film prepared methods.

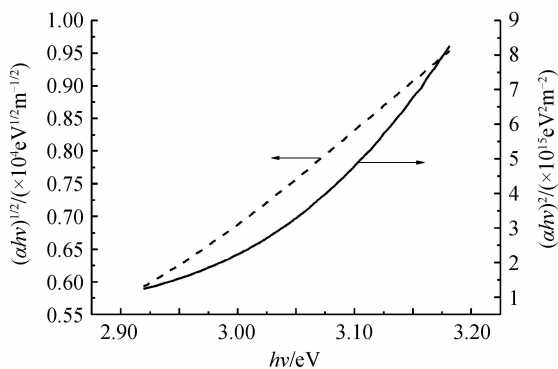


Fig. 3 The dependence of the absorption coefficient on the photon energy for the film prepared at 25% NH<sub>3</sub> ratio

Fig. 4 gives the optical band gap of the films deposited at room temperature and NH<sub>3</sub> ratios of 5%, 10%, 15%, 20% and 25%, and the values are 2.33 eV, 2.38 eV, 2.58 eV, 2.63 eV and 2.70 eV, respectively. The optical band gap of the films increases with NH<sub>3</sub> ratios, this is attributed to the improved crystallinity of the films. The Zn<sub>3</sub>N<sub>2</sub> is a kind of wide band gap semiconductor and could be a potential candidate as optical material for transparent optoelectronic devices.

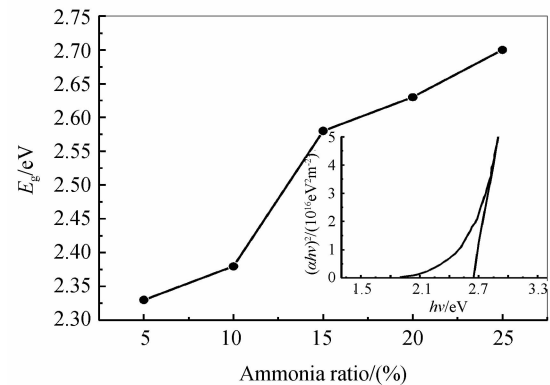


Fig. 4 Optical band gap of Zn<sub>3</sub>N<sub>2</sub> films deposited with various NH<sub>3</sub> ratios

### 2.3 XPS spectra

In order to investigate the chemical bonding states of the Zn<sub>3</sub>N<sub>2</sub> films, XPS experiments were carried out. Fig. 5 shows the full scan results of

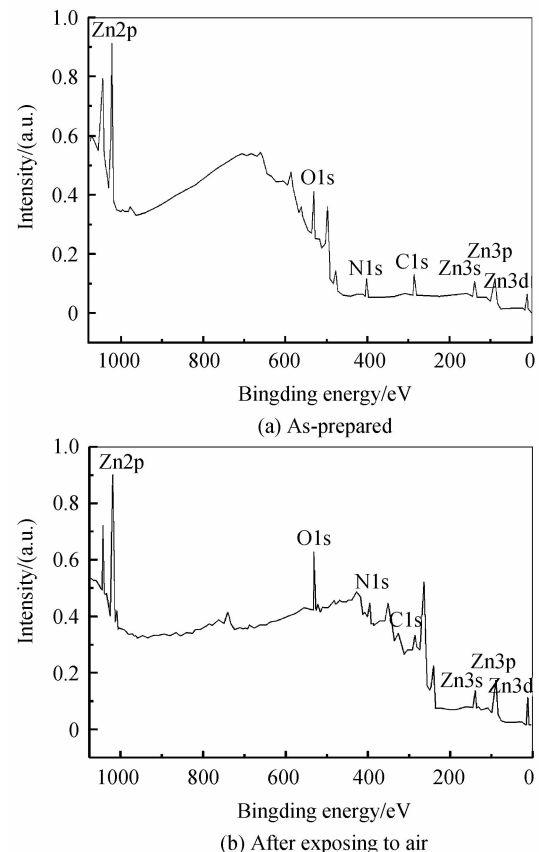
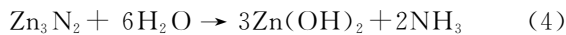


Fig. 5 XPS spectra of the Zn<sub>3</sub>N<sub>2</sub> film prepared at NH<sub>3</sub> ratio of 25%

the  $Zn_3N_2$  film prepared at the  $NH_3$  ratio of 25% and room temperature. The peaks of Zn2p, O1s, N1s and C1s are clearly shown in the XPS spectra.  $Zn_3N_2$  is easily hydrolyzed by air moisture and the reaction can be written as



After exposing the samples to air, the intensity of the O1s peak becomes much higher compared with that of the as-prepared  $Zn_3N_2$  films, indicating the  $Zn_3N_2$  has been hydrolyzed by air moisture.

#### 2.4 Photoluminescence spectra

The room temperature PL emission spectrum of  $Zn_3N_2$  film deposited at 25%  $NH_3$  ratio and room temperature was measured at an excitation wavelength of 325 nm using Xe lamp source. As shown in Fig. 6, the emission band can be fitted with two Gaussian bands which are located at 437 nm (2.84 eV) and 459 nm (2.69 eV). It is obvious that the value of 2.84 eV is larger than the optical band gap of 2.70 eV. The samples have been exposed to the atmosphere before the PL measurement, from the XPS analysis, the peak of PL located at 437 nm (2.84 eV) should be attributed to the transition of photogenerated electrons from the conduction band to the valence band of  $Zn_xO_yN_z$ . According to the Pauling theory, ionicity in a single bond increases with the difference in the values of electron negativity between two elements formed the single bond. The electron negativity of O (3.5) is larger than that of N (3.0), which indicates that Zn-O bond has a larger ionicity than Zn-N bond. The increase in  $E_g$  is probably attributed to the increase in ionicity due to the formation of Zn-O bonds<sup>[10]</sup>. The PL peak located at 459 nm (2.69 eV) fits the optical band gap (2.70 eV) well and it is attributed to the intrinsic emission.

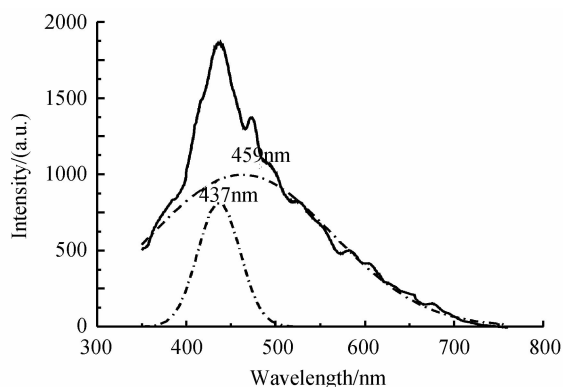


Fig. 6 Room temperature PL spectrum of the  $Zn_3N_2$  film prepared with 25%  $NH_3$  ratio

### 3 Conclusion

Zinc nitride films were prepared by RF magnetron sputtering a metallic zinc target in  $NH_3$ -Ar mixture gases at room temperature. The polycrystalline single phase  $Zn_3N_2$  films were obtained at the  $NH_3$  ratio over 20%. The structural and optical properties of the  $Zn_3N_2$  films were highly dependent on the  $NH_3$  ratios. With the  $NH_3$  ratio increasing from 5% to 20%, the structure of  $Zn_3N_2$  film changed from three phases to single phase. The optical band gap of the films varied from 2.33 to 2.70 eV and the transmittance was improved with increasing the  $NH_3$  ratios from 5% to 25%. The PL spectrum exhibits a strong violet emission band at 437 nm and one weak band at 459 nm.

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## $NH_3$ -Ar 气氛下制备的 $Zn_3N_2$ 薄膜的结构和光学性能

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**摘要:**  $Zn_3N_2$  是一种宽带隙半导体材料, 在温度高于  $400^\circ\text{C}$  氧化可生成 p 型  $ZnO:N$ , p 型  $ZnO:N$  在电子学和光电子学领域有广泛的应用. 在  $NH_3$ -Ar 气氛下, 用 RF 磁控溅射金属 Zn 靶在玻璃衬底上室温制备了  $Zn_3N_2$  薄膜. 用紫外-可见分光光度计、X 射线衍射仪、X 射线光电子谱分析仪、荧光分光光度计对  $Zn_3N_2$  薄膜的光学透过、光学吸收、结构、化学键态和光致发光进行了测量, 研究了  $NH_3$  分压对  $Zn_3N_2$  薄膜的结构和光学特性的影响. XRD 分析表明  $Zn_3N_2$  薄膜呈现多晶结构, 具有 (321) 择优取向,  $Zn_3N_2$  (321) 衍射峰强度随  $NH_3$  分压增加而增强. 在  $NH_3$  分压 5%~10% 制备的  $Zn_3N_2$  薄膜有较低透过率, 透过率随  $NH_3$  分压增加而提高.  $Zn_3N_2$  薄膜是间接带隙半导体, 当  $NH_3$  分压从 5% 变化到 25% 时, 光学带隙从 2.33 eV 升高到 2.70 eV. XPS 分析表明  $Zn_3N_2$  薄膜在潮湿空气中容易水解. 室温下  $Zn_3N_2$  薄膜在 437 nm 和 459 nm 波长出现了发光峰.

**关键词:**  $Zn_3N_2$  薄膜; 磁控溅射;  $NH_3$  分压; 光致发光