

# Theoretical study of $\text{Pr}^{3+}:\text{ZBLAN}$ upconversion ultraviolet fiber laser based on $4f5d$ state

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Received August 20, 2004

A theoretical study of the kinetics of two-step-excitation upconversion ultraviolet cw fiber laser based on the  $4f5d$  state in  $\text{Pr}^{3+}:\text{ZBLAN}$  is performed using steady population rate equations and light propagation equations. Under different  $\text{Pr}^{3+}$  concentrations, the dependence of the threshold pump powers on the other pump power, the variations of laser output power with reflectivity of output coupler, pump powers and fiber length as well as the dependence of the optimum fiber length on pump powers are investigated. The results predict some optimum laser parameters for maximizing output power.

OCIS codes: 140.3510, 140.3610, 160.5690, 190.7220.

Tunable ultraviolet (UV) lasers have many important applications in physics, chemistry, biology, and other fields. Most of known tunable UV laser sources are excimer lasers or complicated devices comprising some tunable lasers in visible or infrared region and subsequent nonlinear frequency conversion. These systems are complicated and bulky, and such drawbacks greatly restrict their applications. Therefore, it is much necessary and of great interest to study the simple, reliable, cheap and high-efficiency tunable UV laser sources, which are in favor of small all-solid-state tunable UV lasers.

The  $4f^{N-1}5d$  energy levels of trivalent rare-earth (RE) ions doped in hosts have quite broad UV absorption and emission spectra<sup>[1]</sup>. Obviously, the  $4f^{N-1}5d$  levels are possible and perfect upper laser levels for broad-band tunable UV laser. Tunable UV lasers based on  $5d$  upper levels have been realized in  $\text{Ce}^{3+}:\text{LiCaAlF}_6/\text{LiLuF}_4$  crystals pumped by direct  $4\omega$  or  $5\omega$  excitation of  $\text{Nd}^{3+}:\text{YAG}$  laser<sup>[2]</sup>. Since  $4f^{N-1}5d$  direct excitation occurs in or near the vacuum UV region, the pumping source itself is a complex UV laser bringing an additional complexity to the system. A significant approach for this problem is the frequency upconversion excitation of  $4f^{N-1}5d$  levels using the upconversion pump mechanisms. Upconversion visible lasers in some RE-doped crystals and fibers have been realized based on  $4f^N$  intraconfigurational transitions<sup>[3]</sup>. Among the various RE ions doped in hosts,  $\text{Pr}^{3+}$  has a lower  $5d$  energy distribution except for  $\text{Ce}^{3+}$  ion<sup>[4]</sup>.  $\text{Ce}^{3+}$  has only two adjacent  $4f$  levels and hence its  $5d$  levels cannot be excited by effective upconversion pump.  $\text{Pr}^{3+}$  is a preferred dopant for investigating upconversion UV laser based on  $5d$  upper levels. Recently, the  $4f5d$  upconversion excitation in  $\text{Pr}^{3+}:\text{LiYF}_4/\text{LiLuF}_4$  was reported<sup>[5]</sup>.

In fluoride glasses, the fluorozirconate ZBLAN ( $\text{ZrF}_4\text{-BaF}_2\text{-LaF}_3\text{-AlF}_3\text{-NaF}$ ) glass has been proved to be a rather good sort of optical and laser material<sup>[6]</sup>. This glass is of high transmission from infrared to UV region (230—8000 nm) and it can be fabricated into optical

fiber. Moreover, because the highest phonon energy in ZBLAN is not more than  $600\text{ cm}^{-1}$ <sup>[7]</sup>, much higher upconversion pump efficiency can be obtained in such glass than in oxide glasses<sup>[8]</sup>. As a laser medium, the advantages of fiber include high pump intensity in core, good heat-removal and easily structuring small all-solid-state laser.

To our knowledge, studies on the  $5d$  upconversion UV laser have not been reported by now. To realize this kind of laser in practice, a theoretical study of its performance is necessary. In this letter, utilizing the theory of steady population rate equations and light propagation equations, we investigate the  $5d$  upconversion UV laser kinetics in  $\text{Pr}^{3+}:\text{ZBLAN}$  fiber based on the laser transition from  $4f5d$  to the ground state  $^3H_4$ .

Figure 1 shows an energy level diagram for  $\text{Pr}^{3+}$  in ZBLAN together with level labels, pumping scheme and laser transition. Two-step resonant pump was used for  $4f5d$  upconversion excitation. The first pump laser ( $\lambda_1 = 478\text{ nm}$ ) excites  $\text{Pr}^{3+}$  from the lowest Stark level of  $^3H_4$  to  $^3P_0$  and the second one ( $\lambda_2 = 329\text{ nm}$ ) excites  $\text{Pr}^{3+}$  from  $^3P_0$  to one of the  $4f5d$  levels by excited-state absorption. By advance calculations for the upconversion excitation kinetics, we found that population inversion can occur between  $4f5d$  and the higher Stark level of  $^3H_4$ . In this letter, the highest Stark level of  $^3H_4$  is chosen as the lower laser level of  $4f5d$  upconversion UV laser and the laser wavelength  $\lambda_s$  is 197 nm.

The composition of ZBLAN fiber studied is  $52\text{ZrF}_4\text{-}20\text{BaF}_2\text{-}4\text{LaF}_3\text{-}4\text{AlF}_3\text{-}20\text{NaF}$ . To study the concentration effect on laser process, five  $\text{Pr}^{3+}$  concentrations 1000, 2000, 3000, 4000 and 5000 ppm in weight were considered. Here we consider a fiber Fabry-Perot laser having two cavity mirrors  $M_1$  and  $M_2$  with signal reflectivities  $R_1$  and  $R_2$ . We assume that  $R_1 = 99\%$  and  $R_2 = 1 - 2\% - T$  where 2% is the signal loss on  $M_2$  and  $T$  is the transmission factor of  $M_2$ . A top-hat function assuming that light intensities are constant inside the fiber core and zero outside is used for the transversal power distributions in the fiber<sup>[9]</sup>.

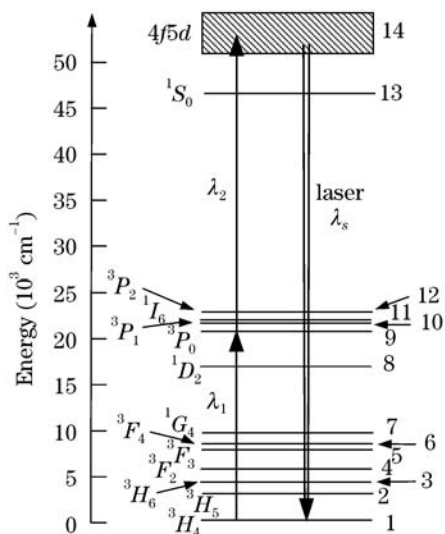


Fig. 1. Energy level diagram of  $\text{Pr}^{3+}$  ions in  $\text{Pr}^{3+}:\text{ZBLAN}$ .

Rate equations for the population density of each level shown in Fig. 1 are expressed as

$$\frac{dn_j}{dt} = \sum_{i=j+1}^{14} A_{ij}n_i - \sum_{i=1}^{j-1} A_{ji}n_j, j \neq 1, 9, 14, \quad (1)$$

$$\begin{aligned} \frac{dn_9}{dt} = & R_{19}n_{1L} - R_{91}n_9 - R_{9\ 14}n_9 \\ & + R_{14\ 9}n_{14} + \sum_{i=10}^{14} A_{i9}n_i - \sum_{i=1}^8 A_{9i}n_9, \end{aligned} \quad (2)$$

$$\begin{aligned} \frac{dn_{14}}{dt} = & R_{9\ 14}n_9 - R_{14\ 9}n_{14} + W_{1\ 14}n_{1H} \\ & - W_{14\ 1}n_{14} - \sum_{i=1}^{13} A_{14\ i}n_{14}, \end{aligned} \quad (3)$$

$$\sum_{i=1}^{14} n_i = 1, \quad (4)$$

where  $n_i$  is the normalized population density (NPD) of the  $i$ th level,  $n_{1L}$  and  $n_{1H}$  are NPDs of the lowest and highest Stark level of the ground state  ${}^3H_4$ , respectively.  $A_{ij}$  is the spontaneous transition rate, while  $R_{ij}$  and  $W_{ij}$  are the pump and the signal stimulated transition rates, respectively.

$A_{ij}$  includes radiative rate  $A_{ij}^{\text{rad}}$  and nonradiative rate  $W_{ij}^{\text{non}}$ .  $A_{ij}^{\text{rad}}$  comprises three main terms: the electric dipole  $A_{ij}^{\text{ed}}$ , the magnetic dipole  $A_{ij}^{\text{md}}$ , and the quadrupole  $A_{ij}^{\text{eq}}$ . For a transition within  $4f^2$  configuration,  $A_{ij}^{\text{ed}}$  can be calculated by the Judd-Ofelt theory<sup>[10,11]</sup>.

The intensity parameter  $\Omega_\lambda$  depends on  $\text{Pr}^{3+}$  concentration<sup>[12]</sup>, the reduced matrix element takes the data in  $\text{Pr}^{3+}:\text{LaF}_3$ <sup>[13]</sup>. The transition wavenumber  $\sigma$  are from Refs. [14,15].  $A_{ij}^{\text{md}}$  and  $A_{ij}^{\text{eq}}$  can be calculated by the magnetic-dipole and higher-order-electric transition theories<sup>[16]</sup>. The radial integral  $\langle 4f | r^2 | 4f \rangle$  needed for  $A_{ij}^{\text{eq}}$  calculation is taken as 1.464 a.u.<sup>[17]</sup>. In general, because the two terms are much smaller than the  $A_{ij}^{\text{ed}}$  term, the influence of dopant concentration on them is

neglectable. Since the electric dipole transition between  $4f^2$  and  $4f5d$  levels is parity-allowable, only the  $A_{ij}^{\text{ed}}$  term is important to  $A_{ij}$  and given by

$$A_{ij}^{\text{ed}} = \frac{64\pi^4\sigma^3}{3h\Gamma} \frac{n(n^2+2)^2}{9} e^2 |\langle 4f | r | 5d \rangle|^2, \quad (5)$$

where  $\Gamma$  is the statistical weight of one  $4f5d$  level, and  $\langle 4f | r | 5d \rangle = 0.9$  a.u.<sup>[17]</sup>.

$W_{ij}^{\text{non}}$  can be calculated by<sup>[18]</sup>

$$W_{ij}^{\text{non}} = W_0 e^{-\alpha\Delta E}, \quad (6)$$

where  $W_0$  and  $\alpha$  are nonradiation parameters,  $\Delta E$  is the energy gap.  $W_0$  and  $\alpha$  take  $1 \times 10^8 \text{ s}^{-1}$  and  $0.005 \text{ cm}$  respectively, which are the typical values for  $\text{LaF}_3$ <sup>[18]</sup>.

The relations between  $R_{ij}$ ,  $W_{ij}$ ,  $A_{ij}$  and the cross section  $\sigma_{ij}$  are

$$R_{ij} = \frac{P_k(z) \sigma_{ij}}{\pi a^2 h \nu_k}, \quad k = 1, 2, \quad (7)$$

$$W_{ij} = \frac{P_{\text{tot}}(z) \sigma_{ij}}{\pi a^2 h \nu_s}, \quad (8)$$

$$\sigma_{ij} = \frac{A_{ij} \lambda^2}{8\pi n^2 \Delta\nu}, \quad (9)$$

where  $a$  is the fiber core radius assumed as  $0.5 \mu\text{m}$ ,  $P_{1,2}$  are the pump powers of  $\lambda_1$  and  $\lambda_2$ , the spectral bandwidth  $\Delta\nu$  is taken as  $10^{10} \text{ Hz}$  corresponding to a typical linewidth of narrow lines in RE doped hosts.  $P_{\text{tot}}$  is the total guided power of signal laser and under neglect of amplified spontaneous emission it is written as  $P_{\text{tot}} = P_s^+ + P_s^-$ , where  $P_s^+$  and  $P_s^-$  are the forward and backward signal powers, respectively.

Assuming the pump waves make single transit from one end of the fiber ( $z = 0$ ) in the forward direction (+), the propagation equations of them are given by

$$\frac{dP_1}{dz} = -(n_{1L}\sigma_{19} - n_9\sigma_{91}) n_t P_1 - \beta_1 P_1, \quad (10)$$

$$\frac{dP_2}{dz} = -(n_9\sigma_{9\ 14} - n_{14}\sigma_{14\ 9}) n_t P_2 - \beta_2 P_2, \quad (11)$$

where  $n_t$  is the total population density of  $\text{Pr}^{3+}$  and  $\beta_{1,2}$  are background losses of  $P_{1,2}$ . The bi-directional propagation equations for the signal wave are stated as

$$\frac{dP_s^\pm}{dz} = \pm (n_{14}\sigma_{14\ 1} - n_{1H}\sigma_{1\ 14}) n_t P_s^\pm \mp \beta_s P_s^\pm, \quad (12)$$

where  $\beta_s$  is the background loss of the signal. The boundary conditions of Eq. (12) are

$$P_s^+(z=0) = R_1 P_s^-(z=0), \quad (13)$$

$$P_s^-(z=L) = R_2 P_s^+(z=L), \quad (14)$$

where  $L$  is the fiber cavity length.

Using the transition parameters introduced above and the steady rate Eqs. (1)—(4) together with propagation Eqs. (10)—(12), threshold pump power, output power, and optimum cavity length were obtained.

Threshold pump power can be calculated by using the threshold condition<sup>[19]</sup>  $2\bar{g}_0 L = \delta$ , where  $\bar{g}_0$  is the averaged small signal gain in the cavity,  $\delta = 2\beta_s L - \ln(1 - T)$  is

the total signal loss. Note that the gain coefficient  $g$  of the fiber medium is a function of the launched pump powers  $P_{1,2}$  and the fiber coordinate  $z$ . Therefore  $g$  has the form

$$g(P_{1,2}, z) = [n_{14}(P_{1,2}, z)\sigma_{14} - n_{1H}(P_{1,2}, z)\sigma_{14}]n_t. \tag{15}$$

In calculations, by taking an average of length we can obtain the averaged small signal gains  $\bar{g}_0(P_{1,2})$  only relevant to  $P_{1,2}$ . The pump powers when  $\bar{g}_0(P_{1,2}) = \bar{g}_0$  correspond to the threshold pump powers. Figure 2 shows  $\lambda_1$  threshold pump power versus launched  $\lambda_2$  pump power for various  $\text{Pr}^{3+}$  concentrations when  $R_2 = 70\%$ ,  $L = 12$  m and  $\beta_{1,2,s} = 0.1$  dB/m. Once  $\lambda_2$  power is larger than a certain value (for example, 75 mW for 5000 ppm), the minimum of  $\lambda_1$  threshold power is obtained and remains constant with the change of  $\lambda_2$  power. By comparison, if  $\lambda_2$  power is less than that value,  $\lambda_1$  threshold power has a dramatic increase and tends to infinity as  $\lambda_2$  power decreases. Therefore, it can be thought that the actual threshold pump power appears at the turning point of each curve in Fig. 2.  $\lambda_2$  threshold power has similar dependences on  $\lambda_1$  power as shown in Fig. 3. It can be obviously seen that the coordinates of the two turning points for a  $\text{Pr}^{3+}$  concentration in Figs. 2 and 3 are the same. Also it is seen that the minimum of threshold power is related to the  $\text{Pr}^{3+}$  concentration. Owing to threshold power of  $\lambda_1$  larger than that of  $\lambda_2$ ,  $\lambda_1$  power should be

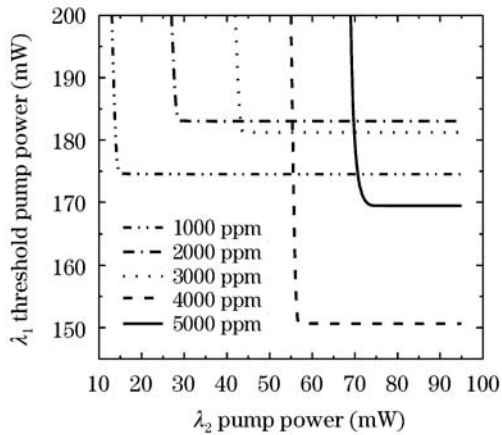


Fig. 2.  $\lambda_1$  threshold pump power versus  $\lambda_2$  pump power.

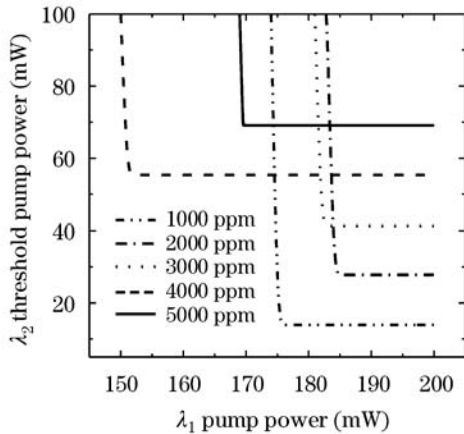


Fig. 3.  $\lambda_2$  threshold pump power versus  $\lambda_1$  pump power.

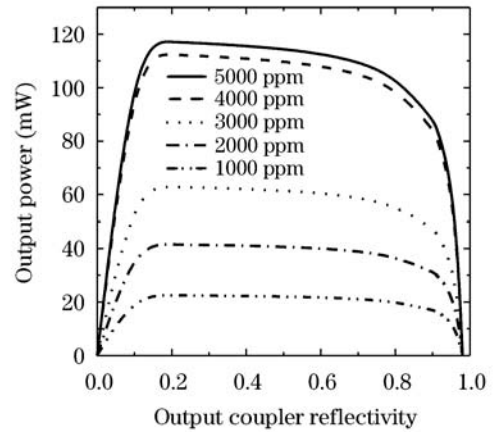


Fig. 4. Output power versus output coupler reflectivity.

suitably higher than  $\lambda_2$  power so as to make full use of pump energy  $\lambda_1$  power. But for simplicity, we assume that  $\lambda_1$  and  $\lambda_2$  powers are equal in below calculations.

The plots of laser output power versus  $R_2$  for various  $\text{Pr}^{3+}$  concentrations at 400 mW pump powers and for different pump power at 5000 ppm are shown in Figs. 4 and 5, respectively. All the curves in the two figures have similar shapes and cut the horizontal axis in two places: one is the low-reflectivity intersections near zero and the other is the high-reflectivity intersections at  $R_2 = 98\%$  due to the 2% loss of  $M_2$ . Output power changes dramatically at smaller or larger  $R_2$  while it changes little and has a higher value as  $R_2$  goes from 20% to 70%. Therefore,  $R_2$  was taken as 70% in below calculations.

A plot of output power versus pump powers for several  $L$  values under 5000 ppm is shown in Fig. 6. It is seen that the threshold and the slope efficiency are related to  $L$ . When  $L$  is small, pump energy cannot be well absorbed and hence threshold and slope efficiency are lower. Both threshold and slope efficiency increase with  $L$  and tend to fixed values. At 5000 ppm concentration, the maximum of slope efficiency is up to 10%.

Output power as a function of  $L$  for several pump powers under 5000 ppm is shown in Fig. 7, in which all the curves intersect the horizontal axis at the positions near zero because fiber cavity of short  $L$  cannot provide enough stimulated radiation to sustain laser oscillation. Each curve has a peak corresponding to the maximum

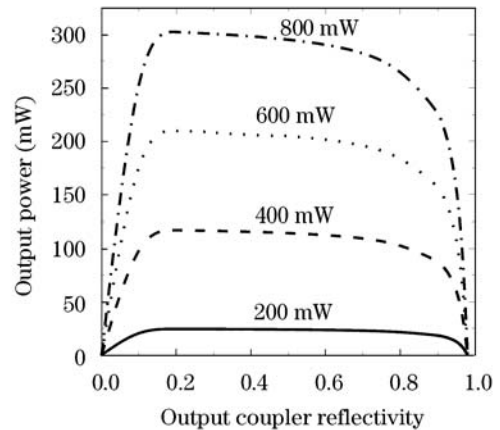


Fig. 5. Output power versus output coupler reflectivity.

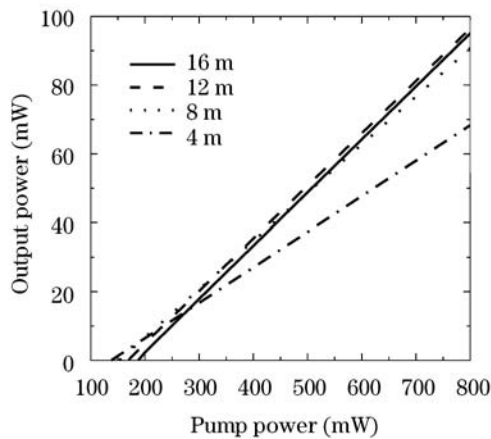


Fig. 6. Output power versus launched pump powers.

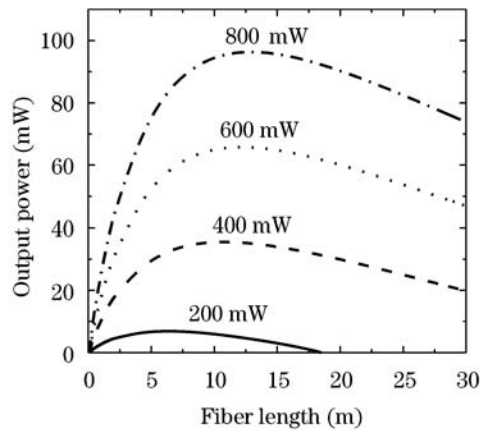


Fig. 7. Output power versus the fiber length.

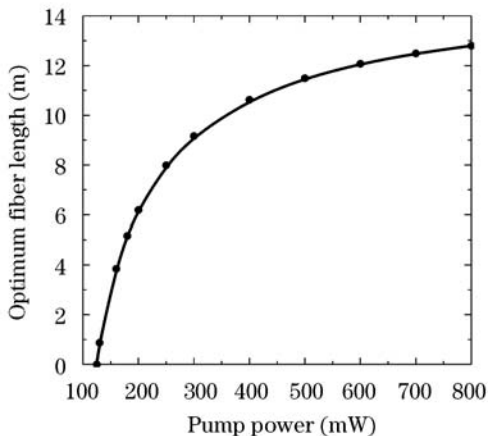


Fig. 8. Optimum fiber length versus pump powers.

output power. The fiber length of this case can be called the optimum fiber length ( $L_0$ ). When  $L = L_0$ , one of the pump lights is just all absorbed at the end of fiber. When  $L > L_0$ , output power almost linearly decreases because population inversion exists over only  $0 \leq z \leq L_0$  and in the region of  $z > L_0$  there is background loss but no stimulated emission. Therefore, given the laser characteristics (such as  $R_1$ ,  $R_2$ ,  $P_1$ ,  $P_2$  and  $\beta_s$ ), an optimum fiber length is required to get maximum output power. Figure 7 also shows that higher pump powers

need a larger  $L_0$  to produce a higher optimum output power.

The optimum fiber length is defined as the fiber length for the maximum output power when other laser characteristics are fixed. The optimum fiber length depends on the laser characteristics especially the pump powers. Figure 8 is a graph of optimum fiber length versus pump powers. Under smaller pump powers, the optimum fiber length increases quickly with pump powers. When pump powers large enough, it becomes a constant.

In summary, using the rate equations and propagation equations, we investigated the potentiality to produce up-conversion UV cw laser based on two-step  $4f5d$  excitation in  $\text{Pr}^{3+}:\text{ZBLAN}$  fiber. The results predict the dependence of threshold pump powers, output power and fiber optimum cavity length on the other characteristic parameters of the laser. For a 12 m fiber, slope efficiency of the laser can achieve 10%.

This work was supported by the National Science Foundation of China (No. 10104009). Z. Dai is the author to whom the correspondence should be addressed, his e-mail address is dai@jlu.edu.cn.

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