

超快激光调控晶体形核与生长过程研究进展

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摘要 物质的结晶在生物制药、大分子结构分析等领域有着重要的应用, 这些应用对结晶结果(包括晶体数量、大小、晶型等)提出了一定的需求, 而通过蒸发溶剂或改变温度使溶质析出结晶的传统方法, 存在结晶结果难以控制的问题。近年来, 超快激光在调控晶体形核生长中的应用得到了关注和研究。超快激光以其超快、超强的特点, 在调控晶体形核与生长方面具有独特的作用, 且具有热影响区域小、适用材料范围广等优势。本文综述了超快激光调控晶体形核生长过程的研究进展, 主要包括超快激光诱导结晶形核、控制晶体生长过程以及晶面图案化加工三个方面, 并对超快激光调控晶体形核生长研究的应用前景进行了展望。

关键词 激光技术; 超快激光; 结晶; 形核; 晶体生长

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1 引言

物质在溶液中的结晶涉及生物、材料、医学等研究领域, 可以被应用于生物制药、大分子结构分析等方面。单晶 X 射线衍射(XRD)是生物大分子结构分析中最常用的手段之一, 需要通过溶液中结晶的方法得到高质量的单晶。传统的方法是通过调节环境温度和湿度, 使待结晶物质的过饱和溶液缓慢蒸发, 直到溶质析出形核, 进而生长成所需的晶体。由于结晶机理的复杂性, 结晶过程对环境的要求极为苛刻, 在结晶过程中控制结晶的大小、形状、晶型等存在一定困难^[1]。针对这一问题, 一些外场辅助结晶的方法被提出, 如在结晶过程中辅以激光、超声、电磁场、微波、剪切力等, 可对晶体的形核与生长具有一定的调控作用^[2-10]。超快激光是一种调控效果好、灵活性高、对材料损伤小的调控结晶手段。超快激光是指脉宽在飞秒(10^{-15} s)到皮秒(10^{-12} s)量级的脉冲激光, 具有超快、超强的特点, 可适用的材料范围广, 加工精度高, 热影响区域小, 已被广泛应用

于微纳加工、生物医药等领域^[11-14]。近年来, 超快激光在材料结晶领域的应用得到了相关研究人员的关注。

目前, 超快激光调控晶体形核生长的方法主要可以分为超快激光诱导结晶形核、控制晶体生长过程以及晶面图案化加工这三类。如图 1 所示, 在超快激光诱导结晶形核方法中, 超快激光作用于待结

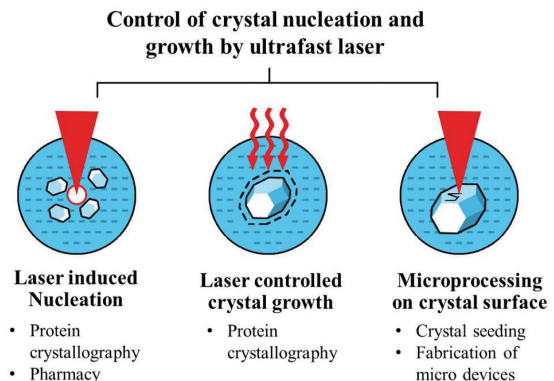


图 1 超快激光调控晶体形核与生长的方法及其应用
Fig. 1 Methods and applications of ultrafast laser induced nucleation and growth

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晶的过饱和溶液内部,通过热力学和电磁场作用诱导形核,这种方法能够实现对形核数量、大小、晶型等的调控,实现难结晶物质的结晶形核等,可以被应用于生物大分子结构分析、生物制药等领域。超快激光调控晶体生长过程是指在晶体形核后,将超快激光作用于已生长出的晶体或溶液中,对晶体的某一晶面或整体的生长速度起到促进或抑制作用。利用这种方法可以获得可控形状和大小的晶体,在蛋白质晶体学等领域具有潜在的应用价值。另外,超快激光还可以直接对晶面进行微纳图案化加工,可以被应用于晶种制备、微器件加工等领域。本文将分别对这几种方法的具体研究进展进行综述。

2 超快激光诱导结晶形核

2.1 诱导结晶形核的主要方法

溶液中的结晶可以分为形核和生长两个主要过程。形核过程是指溶质分子从过饱和溶液中析出形成晶核的过程,而生长是指已经产生的晶核在溶液中继续长大得到所需晶体的过程。其中形核过程对于结晶结果中的晶体数量、晶型、晶体质量有很大影响。为了实现对结晶形核过程的控制,一些外场辅助的诱导结晶形核方法得到了大量研究,如表 1 所示。目前,外场诱导形核已被应用于无机物^[3,15-21]、有机物^[18,22-34]、生物大分子^[35-41]、高分子聚合

表 1 调控晶体形核的主要方法分类

Table 1 Methods of controlling the nucleation process

Category	Material	Method & parameter	Effect or mechanism	First author & year	Reference
Inorganic	KCl, NaCl, NaClO ₃	Femtosecond laser (970 mJ·cm ⁻² , 5.2 MHz)	Cavitation bubbles induced nucleation	Shilpa, 2015	[18]
				Alexander, 2009, 2019	
		Nanosecond laser (65–260 mJ·pulse ⁻¹ , 10 Hz)	Non photochemical laser induced nucleation (NPLIN)	Duffus, 2009 Ward, 2015 Kacker, 2018 Mirsaleh-Kohan, 2017 Barber, 2019	[3, 15-17, 19-21]
Organic	Urea Paracetamol, sulfathiazole, phenacetin	Femtosecond laser (10–70 J·cm ⁻² , 1 kHz)	Cavitation bubbles induced nucleation	Shilpa, 2015 Yoshikawa, 2006	[18, 22]
		Nanosecond laser (100 mJ·pulse ⁻¹ , 100–200 pulses)	NPLIN	Liu, 2017	[28]
		Femtosecond laser (25–95 μJ·pulse ⁻¹ , 1 kHz)	Cavitation bubbles induced nucleation	Wang, 2019	[33]
		Nanosecond laser (0.1–0.3 GW·cm ⁻² , 0–60 s)	Kerr effects induced polymorphism	Li, 2016	[26]
		Microwave	Thermal effects induced nucleation	Mohammed, 2012	[9]
		Magnetic fields	Electric-magnetic fields induced polymorphism	Sudha, 2015	[8]
	Ultrasonic	Cavitation bubbles induced nucleation	Mori, 2015 Su, 2015 Bhangu, 2016 Ruecroft, 2005	[5-7, 43]	
	Perovskite	Femtosecond laser (0.25–1.2 J·cm ⁻² , 80 MHz)/ continuous wave laser (40–120 W·cm ⁻²)	Thermal effects induced nucleation	Chou, 2016 Jeon, 2016 Arciniegas, 2017	[23-24, 27]

续表

Category	Material	Method & parameter	Effect or mechanism	First author & year	Reference
Organic	Porphyrin	Continuous wave laser ($1.3 \times 10^7 \text{ W} \cdot \text{cm}^{-2}$)	Solvothermal assembly	Yamamoto, 2018	[31]
	Nitrobenzene, decane, biscalix[4]arene, etc.	Continuous wave laser (200–300 mW)	Laser trapping	Walton, 2019 Yuyama, 2017	[32, 34]
Macro- molecules / biomolecules	Proteins (lysozyme, glucose isomerase, etc.)	Femtosecond laser ($1.95 \text{ nJ} \cdot \text{pulse}^{-1}$ – $30 \mu\text{J} \cdot \text{pulse}^{-1}$, single pulse 1 kHz)	Cavitation bubbles induced nucleation	Yoshikawa, 2014 Shilpa 2015 Adachi, 2003	[2, 18, 35-40]
				Nakamura, 2007 Yoshikawa, 2009 Murai, 2010 Iefuji, 2011 Sugiyama, 2012	
	Lysozyme, amyloid fibril, glycine	Continuous wave laser (0.5–1.1 W) Nanosecond laser (0.47 – $1.1 \text{ GW} \cdot \text{cm}^{-2}$, 10 Hz)	Laser trapping NPLIN	Liu, 2017 Yuyama, 2018	[29, 41]
				Javid, 2016 Tasnim, 2018	
Polymers	Polymers	Continuous wave laser ($100 \text{ MW} \cdot \text{cm}^{-2}$)	Laser trapping	Sugiyama, 2012 Nabetani, 2007	[40, 42]

物^[40,42]等不同的材料体系。激光诱导形核是一种具有高效率、高空间选择性的辅助形核方法,这类方法利用激光的光致热效应、光电场作用等实现对晶体形核的调控。除了激光诱导形核外,超声^[5-7,43]、电磁场^[8]、微波^[9]等方法对晶体的形核过程也具有一定的调控作用。

超快激光具有超快超强的特点,因此其与溶液的作用机理与连续激光或纳秒激光不同。物质对超快激光具有多光子吸收效应,超快激光的能量可以被不同种类的基底、溶液吸收。超快激光与溶液作用过程中存在光电场作用、局部热效应以及冲击波、空化气泡等现象,因此与溶液的作用过程更加复杂,对结晶形核具有独特的调控作用。

根据超快激光的激光参数和作用机制,超快激光诱导结晶形核的方法可以分为图 2 中所示的几类。图 2(a)是超快激光诱导基底表面结晶形核方法。在该方法中,激光被聚焦在旋涂有结晶前驱体溶液的基底表面,基底吸收激光能量后,局部温度升高,诱导结晶形核。这种方法主要被应用于基底表面的图案化微结构沉积,如图 2(b)所示的在基底表面沉积钙钛矿制备微纳米光电子器件等^[27]。由于超快激光具有非线性吸收效应,因此激光的能量也可以被溶液直接吸收,从而在溶液中产生空化气泡

诱导结晶^[36],如图 2(c)所示。这一类方法可以被应用于诱导难结晶物质的形核,调控结晶形核的数量、大小等。图 2(d)是飞秒激光诱导蛋白质结晶形核的具体结果,改变飞秒激光的脉冲个数,可以使溶液中产生不同数量的晶核,并影响最终得到的晶体的数量和大小^[35]。除了通过激光在溶液中产生空化气泡诱导形核之外,激光的电磁场作用、溶液中的杂质吸热等作用也可以对结晶形核过程产生影响,如图 2(e)所示。当采用相对于前两种方法峰值功率更低的激光辐照溶液时,体系不会直接吸收激光能量而造成光化学破坏,因此这一类方法也被称为非光化学的激光诱导结晶形核(NPLIN)。目前,这类方法通常采用纳秒激光等峰值功率较低的激光光源,但考虑到超快激光与溶液作用中也有类似的效应,因此本文也对这类方法诱导形核的效果和机理进行了介绍。非光化学激光诱导结晶形核可以被用于促进形核、改变形核晶型等,其形核结果主要受激光强度、偏振、溶液中杂质浓度等的影响^[3,17,25-26]。图 2(f)所示是一种利用纳秒激光非光化学诱导氯化钾结晶的方法^[3]。

超快激光不仅可以用于调控结晶形核的数量和大小,近年来,人们发现超快激光可以诱导不同晶型的形核。由于一些药物分子不同的晶型会影响药物

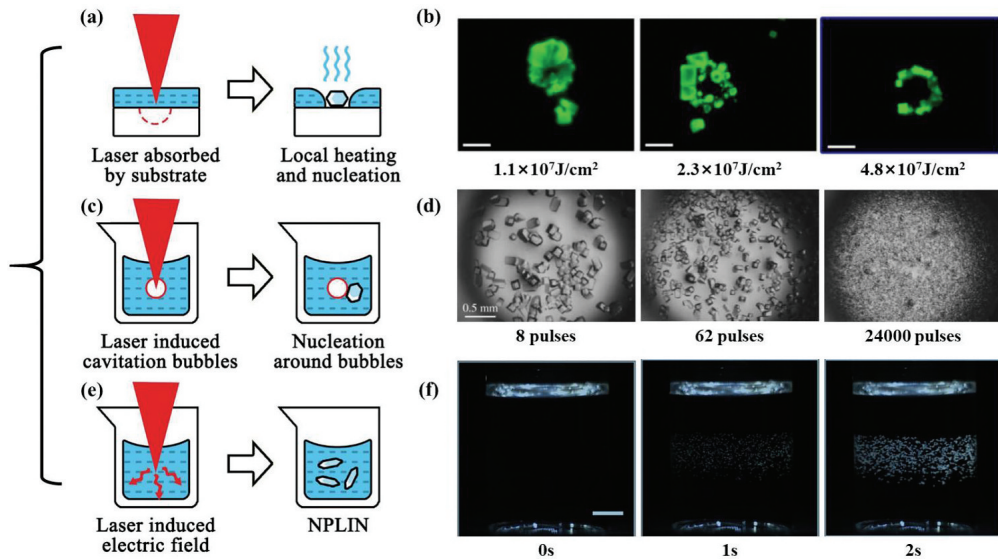


图 2 激光诱导结晶形核的方法和实例。(a)激光在基底表面产生热效应诱导形核；(b)飞秒激光诱导钙钛矿结晶，图中标尺为 $50 \mu\text{m}$ ^[27]；(c)激光在溶液中形成空化气泡并促进结晶形核；(d)飞秒激光诱导溶液中蛋白质结晶^[35]；(e)非光化学激光诱导结晶形核；(f)不同辐照时间下纳秒激光诱导氯化钾溶液结晶，图中标尺为 0.5 cm ^[3]

Fig. 2 Methods and examples of laser induced nucleation. (a) Laser induced crystallization by local heating of the substrate; (b) femtosecond laser induced crystallization of perovskite, and the scale bar is $50 \mu\text{m}$ ^[27]; (c) laser induced cavitation bubbles and following nucleation; (d) femtosecond laser induced nucleation of hen egg white lysozyme (HEWL)^[35]; (e) non-photochemical laser induced nucleation (NPLIN); (f) nanosecond laser induced nucleation of KCl at different irradiation time, and the scale bar is 0.5 cm ^[3]

的物理化学性质，因此对磺胺噻唑、扑热息痛等有机物的形核过程进行调控在生物制药领域具有一定的应用价值^[44-48]。除了温度梯度、超声等外场辅助手

段以外，超快激光诱导形核方法也被用于提高某些特定晶型的比例。如图 3 所示，将飞秒激光聚焦在扑热息痛过饱和溶液内部，可以促进溶质析出结晶，

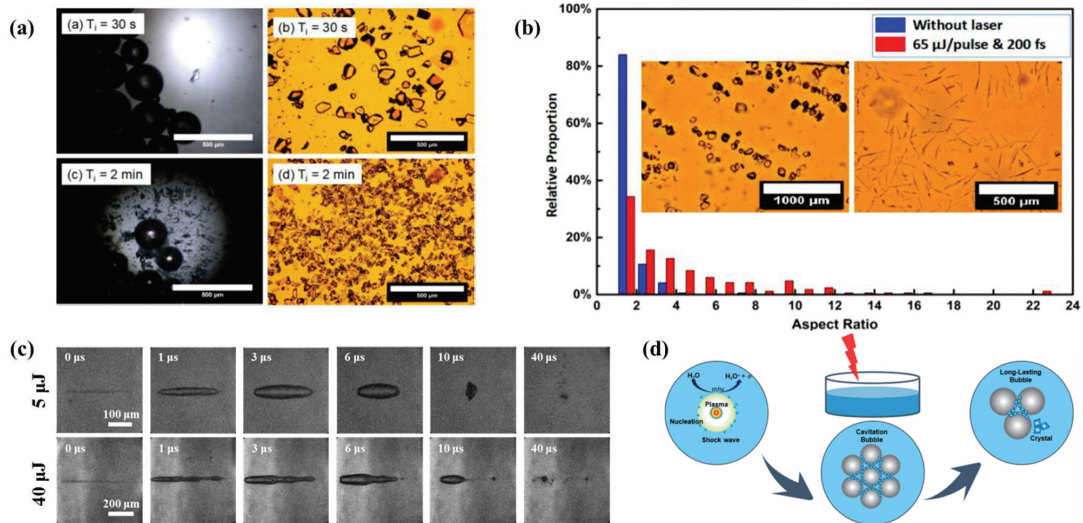


图 3 时间整形飞秒激光诱导扑热息痛结晶形核^[33]。(a)飞秒激光作用不同时间后的结晶形核结果；(b)最优参数下晶体的长宽比分布；(c)飞秒激光与溶液作用产生空化气泡的超快过程观测结果；(d)飞秒激光在溶液中产生空化气泡诱导结晶形核过程的机理示意图

Fig. 3 Spatiotemporally shaped femtosecond laser induced nucleation of paracetamol^[33]. (a) Femtosecond laser induced nucleation of paracetamol after different time; (b) aspect ratio of the crystal at the optimal parameters; (c) time-resolved images of femtosecond laser induced cavitation bubbles; (d) schematics of controlled nucleation caused by laser induced cavitation bubbles

同时可以提高在常温下亚稳态晶型[如图 3(b)所示的长条状晶体]的比例。另外,基于电子动态调控理论,时间整形的飞秒激光对于形核的晶型比例也有一定的调控作用。图 3(c)是飞秒激光与溶液作用的微秒尺度超快观测结果,可以认为飞秒激光在溶液中产生的空化气泡对结晶形核过程起到了调控作用。图 3(d)是飞秒激光诱导结晶形核的原理示意图,飞秒激光诱导空化气泡可以加快形核速度,也可以提高亚稳态晶型的比例^[33]。

2.2 超快激光诱导结晶形核的机理

通过对超快激光诱导结晶形核过程进行超快观测和理论分析,可以对其诱导形核的机理有更深入的理解。超快激光与材料的作用是一个跨时间、空间尺度的非线性非平衡过程,激光与材料作用过程中存在能量吸收、光电场影响等多种效应的共同作用,其诱导的结晶形核也存在多种机理的耦合。目前,对于激光诱导结晶的机理有多种解释,根据使用的激光参数和材料体系不同,这些作用机理主要可以分为空化气泡和非光化学诱导结晶两大类。从空化气泡角度出发的解释认为,超快激光可以被多光子吸收,溶液吸收飞秒激光能量后产生冲击波和空化气泡^[49-50],在此过程中,冲击波和空化气泡可以在局部产生扰动,改变局部的溶质浓度。当溶质浓度高于过饱和线时,就会析出形核。一些研究通过对超快激光与溶液作用过程的观测与对溶质的荧光标记,证明了激光诱导空化气泡附近的溶质浓度升高^[37-39];同时,由于空化气泡与溶液接触界面上表面能的变化降低了形核势垒,溶质更容易在气液界面上析出形核,从而对结晶形核起到了促进作用。这一机理在超声辅助形核、材料界面异相形核的研究中也有相关讨论^[7, 51-52]。除了空化气泡的作用外,在非光化学诱导形核中,光学克尔效应、电场极化,以及溶液中杂质对激光的吸收效应等,也对结晶形核有着调控作用^[3]。控制变量的实验可以分别证明这些效应对形核的作用:有人通过倏释波诱导形核证明了单独的光电场对结晶形核具有促进作用^[17];有人在控制激光偏振的实验中发现,使用线偏振和圆偏振的激光可以诱导磺胺噻唑等有机物形成不同晶型的晶核,证明了极化方向对形核的影响^[26];激光诱导甘氨酸的结晶形核率与溶液中的杂质含量相关,这说明激光对溶液中杂质颗粒的加热也是非光化学激光诱导形核的原因之一^[25]。

图 4 是从过饱和溶液中结晶形核的相图。物质的溶解度曲线与环境参数相关,这些参数包括温度、

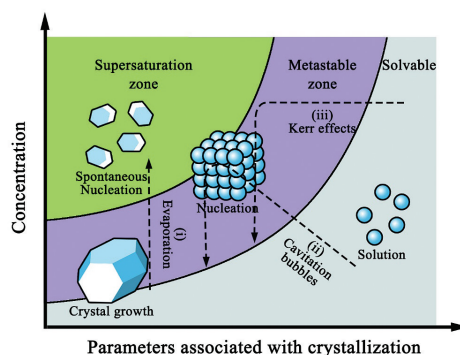


图 4 激光诱导结晶形核的不同方法及机理

Fig. 4 Methods and mechanisms of laser induced nucleation

溶液中离子的浓度、电磁场强度等。当溶质浓度低于溶解度时,体系处于溶液状态;当溶质浓度高于饱和溶解度时,体系首先会进入亚稳态区域,在没有外界扰动的情况下,溶质分子仍然以分子或团簇的形式分散在溶液中,形成过饱和溶液;当溶质浓度高于过饱和度的极限时,或由于外界扰动、第二相的引入等促使分子和团簇越过形核势垒时,溶质就会析出形核。传统的结晶方法通过缓慢蒸发溶剂,使溶液过饱和度达到临界值,从而使溶质析出形核,如图 4 中的路线(i)所示。这类方法由于溶液中各部分浓度基本相同,会同时发生自发形核,难以控制结晶的数量和质量。激光诱导空化泡辅助形核的方法如图 4 中的路线(ii)所示,空化气泡导致其周围的局部溶质浓度升高,同时空化气泡与溶液的界面降低了形核势垒,从而在溶液整体没有达到过饱和的情况下在局部促进形核。光学克尔效应诱导形核方法则是通过光电场作用促进溶质分子形成有规则排列的团簇,在浓度不变的情况下,降低形核所需的过饱和度,起到促进结晶形核的效果,如路线(iii)所示。

尽管超快激光诱导结晶形核过程涉及多种机理,目前也还没有统一的解释,但这类方法都可以总结为,激光与溶液的作用提高了局部的溶质浓度或降低了溶解度,从而在局部发生形核。基于这一原理,超快激光诱导结晶形核具有高空间选择性的特点;同时,这种方法可以在溶液还未发生自发形核的情况下,在局部形成少量晶核,这样的晶核在长大后可以获得体积更大、质量更好的单晶。

3 超快激光调控晶体的生长过程

3.1 超快激光调控晶体的生长过程

超快激光除了可以被应用于诱导结晶形核外,也可以被应用于对晶体的生长过程进行调控。晶核

形成后,随着溶液浓度从过饱和状态回到饱和状态,以及溶剂的继续蒸发,溶液中的分子会进一步析出,并倾向于在已经成核的晶体表面沉积,实现晶体的生长。晶体的生长过程会影响最终获得的晶体的大

小、形状、晶体质量等。在诸如蛋白质结构分析等对晶体体积和质量要求较高的应用场景中,对晶体生长的控制有助于得到符合要求的单晶,因此激光调控晶体生长的方法也得到了研究,如表 2 所示。

表 2 激光调控晶体生长方法的主要分类

Table 2 Main categories of laser controlled crystal growth methods

Category	Material	Method & parameter	Effect or mechanism	First authors & year	Reference
Macro-molecules/ bio-molecules	Phenylalanine, hen egg white lysozyme (HEWL)	Continuous wave laser (0.5–1.1 W)	Laser trapping	Yuyama, 2013, 2018 Tu, 2015	[41, 53-54]
	HEWL, glycine	Femtosecond laser ablation (0.25–1.8 $\mu\text{J}\cdot\text{pulse}^{-1}$, 1 kHz)	Alternating the growth mode	Tominaga, 2016 Suzuki, 2018	[55-56]
	HEWL	Femtosecond laser (0.2–1.2 $\mu\text{J}\cdot\text{pulse}^{-1}$, 1 kHz)/ UV ns laser ablation (50 $\text{mJ}\cdot\text{cm}^{-2}$, 1 kHz)	Fabrication of crystal seeds	Murakami, 2004 Kashii, 2005, 2007 Hasenaka, 2009 Yoshikawa, 2012	[57-61]
Organic	Biscalix[4]arene	Focused laser beam (300 mW)	Laser trapping	Yuyama, 2017	[34]

超快激光主要可以通过对溶液或晶体的作用实现不同的晶体生长调控效果。一种方式如图 5(a)

所示,将超快激光聚焦在溶液内部,通过激光捕捉效应,对晶体的生长过程进行调控。本研究团队在实

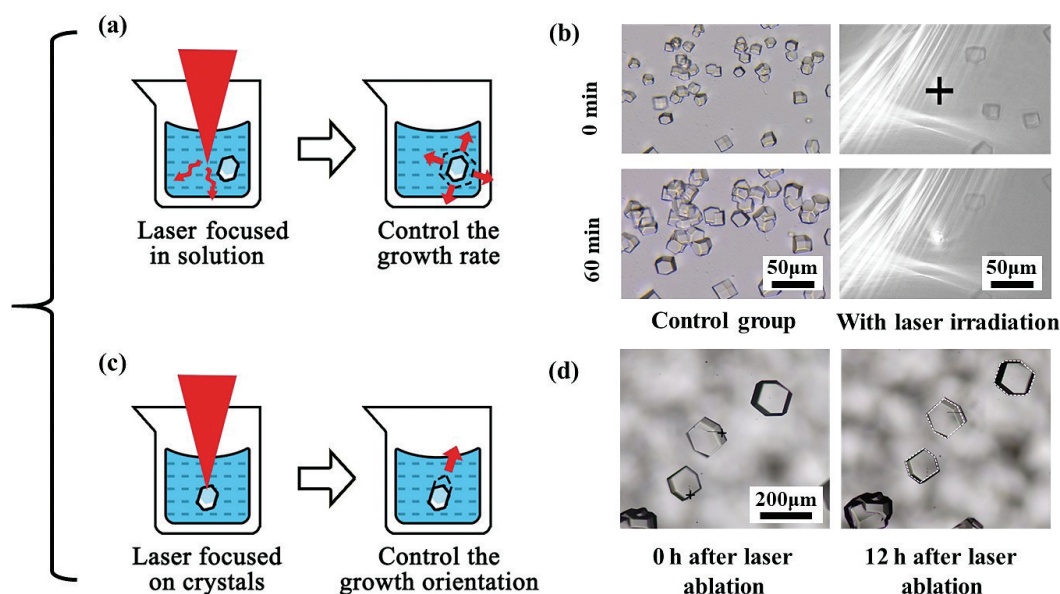


图 5 激光调控晶体生长速度的方法和实例。(a)激光作用于溶液内部调控晶体的整体生长速度;(b)飞秒激光抑制蛋白质晶体的生长速度;(c)激光作用于晶体表面控制晶体的生长取向;(d)飞秒激光烧蚀促进某一晶面的生长

Fig. 5 Methods and examples of laser controlled crystal growth. (a) Control of the growth rate of crystals by laser irradiation in the solution; (b) femtosecond laser controlled growth of HEWL compared with non-irradiated crystals; (c) control of the growth orientation by laser ablation of crystal surface; (d) promotion of the growth rate of one crystal surface by femtosecond laser ablation

验中将功率为 200 mW、脉冲重复频率为 80 MHz 的飞秒激光聚焦在溶液内部调控溶液中晶体的整体生长速度。图 5(b)所示是有无激光作用时蛋白质生长速度的对比,可以观察到有激光辐照的鸡蛋白溶菌酶晶体的整体生长速度受到了抑制(相比于对照组)。另一种方法如图 5(c)所示,利用超快激光聚焦烧蚀已经生长出的晶体表面,可以提高被烧蚀晶面的生长速度,从而起到调控晶体生长取向的作用^[55-56]。图 5(d)为飞秒激光辐照 12 h 前后晶体生长的对比,在这个实验中研究人员使用略高于烧蚀阈值的飞秒激光(1.2 J/cm^2)烧蚀蛋白质晶体表面,左图黑色“十”字为激光辐照处,右图中白框为晶体的原始大小,激光辐照晶面的生长要快于其他晶面。利用这一原理可以改变大分子晶体的形状(在生物大分子的单晶 XRD 中,一些材料在传统结晶方法下易生长成薄片或长条状,不利于 XRD 表征),同时由于超快激光烧蚀对周围材料的热损伤小,因此它在高分子结构分析中具有一定的应用前景。另外,采用超快激光对已生长出的晶体进行加工可以

得到新的品种,从而继续生长得到质量更好的晶体^[57-61]。超快激光加工晶体的原理将在后文进行进一步讨论。

3.2 超快激光调控晶体生长过程的机理

通过对晶体生长过程和结晶结果进行观测,可以对超快激光调控晶体生长过程的机理进行解释。如图 6 所示,晶体的生长过程可以被理解为溶液中的分子或团簇在已有晶体表面沉积的过程。对于这一过程进行调控可以改变晶体整体或单个晶面的生长速度,起到调控晶体最终大小和形状的效果。聚焦在溶液内部的激光可以通过激光捕捉效应调控晶体的整体生长速度。在包括苯丙氨酸在内的一些有机物的结晶中,激光捕捉效应能够使溶质分子在激光聚焦区域周围聚集,从而提高局部的溶质浓度,起到促进晶体生长的作用^[34,53,62];而对于另外一些材料(例如鸡蛋白溶菌酶)的结晶过程,激光捕捉效应会使周围溶液中的溶质形成规则排列的团簇,处于较低能量状态下,不倾向于在晶体表面沉积,从而起到抑制晶体生长的作用^[41,54]。

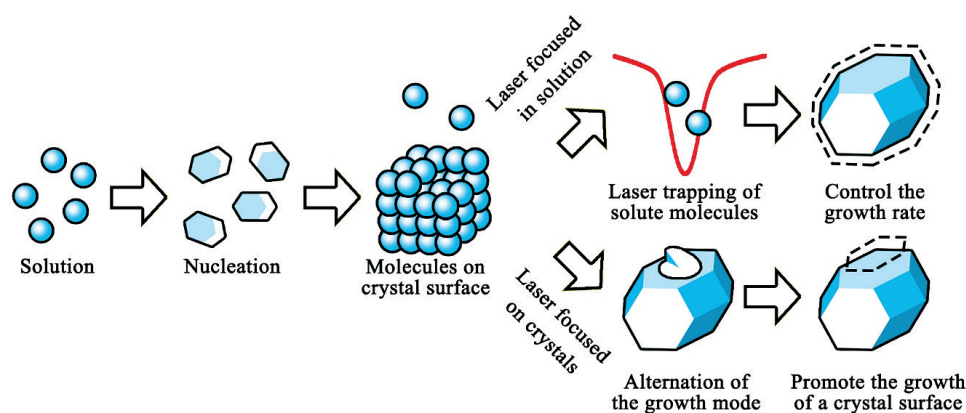


图 6 激光调控晶体生长过程的不同方法及其机理

Fig. 6 Methods and mechanisms of laser controlled crystal growth

超快激光在蛋白质晶体表面的烧蚀加工也可以调控激光烧蚀晶面的生长速度,这是因为在晶体表面的烧蚀形成了螺旋位错,改变了晶体的生长模式。由于一般的晶体生长模式为逐层生长,在一层晶体生长到足够大时,上一层晶体才会开始生长,因此速度较慢,而螺旋生长模式不受这一限制^[55]。对于生长中的晶面的微分干涉显微成像表征,也证明了螺旋生长的速度要快于逐层生长的速度^[63]。

4 超快激光图案化加工晶体表面

除了可以对晶体形核与生长过程进行调控外,超快激光还可以应用于晶体的微纳加工,获得理想的晶体形状,或在晶体表面实现图案化的微纳结构。

超快激光对于溶液中晶体的微纳加工具有多种应用前景,可以被应用于晶种的制备以及微器件的制造等。由于自发形核的晶体可能存在缺陷,利用超快激光非热烧蚀可以对已有晶体进行切割,从而得到质量更好的晶种。另一种方法是烧蚀产生材料溅射,在溶液中重新获得新的晶种,从而继续生长得到质量较好的单晶^[57-61]。除了直接加工晶体,超快激光加工方法还可以通过对基底的加工来调控结晶的结果。由于激光可以通过微纳加工和表面改性来改变表面的浸润性能^[64-65],因此可以在基底上加工出微纳结构来控制溶液在其表面的亲疏水性分布,使溶液在目标位置沉积并蒸发结晶^[66-67]。

对于晶体表面的图案化加工,尤其是对生物

大分子晶体的加工,目前仍然存在着困难。因为分子晶体的结晶主要依靠分子间作用力,结合能力较弱,在外场作用下容易发生破碎,而生物材料又容易受到热影响而改性,因此很多微纳加工手段受到了限制。超快激光以加工精度高、热影响区域小的优势可以被应用于蛋白质等一些对热效

应较为敏感的材料加工^[68-69]。本研究团队采用超快激光在蛋白质晶体表面进行了加工,图 7(a)、(b)是加工结果。超快激光可以在蛋白质晶体表面实现点、线、面等图案化微结构,加工精度高,对周围材料的热破坏小,材料仍然能够维持原来的晶体结构^[70]。

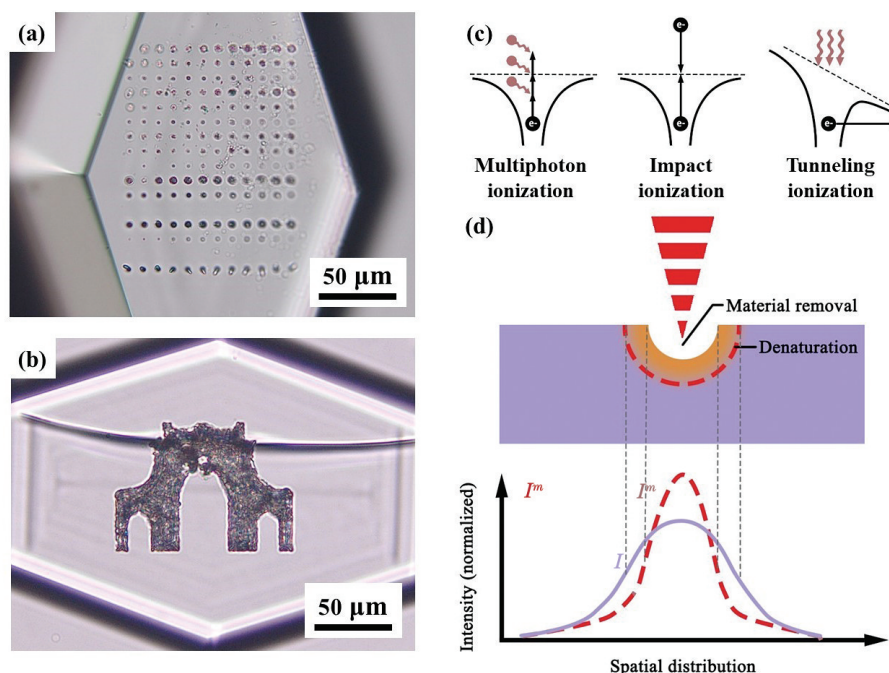


图 7 飞秒激光烧蚀加工蛋白质晶体的结果和过程示意图。(a)(b)飞秒激光在鸡蛋白溶菌酶晶体表面加工图案化微纳结构^[70];(c)飞秒激光电离电介质材料的主要机理;(d)飞秒激光与蛋白质晶体作用示意图

Fig. 7 Ablation results and schematics of femtosecond laser processing on protein crystals. (a) (b) Femtosecond laser processing patterned micro-nano structures on the surface of protein crystal^[70]; (c) main mechanisms of femtosecond laser ionization of dielectric materials; (d) schematics of femtosecond laser interaction with protein crystals

超快激光与物质的作用是一个非线性、非平衡的过程。以蛋白质晶体为例,蛋白质属于电介质材料,其带隙较宽,对于红外和可见激光无法吸收单光子能量。而超快激光由于峰值功率极高,可以通过非线性效应被材料吸收^[71],可以对一些特殊材料,尤其是生物材料和透明材料进行非热加工,而且可以实现超衍射极限的加工精度,热影响区小^[69-70,72-77]。图 7(c)所示为几种电介质材料对超快激光的非线性吸收效应,包括多光子电离、碰撞电离、隧道电离等。其中:多光子电离指的是一个电子吸收多个光子而跃迁成为自由电子的过程;碰撞电离是指高能量的自由电子与价带电子碰撞产生两个自由电子的过程;而隧道电离则是由于激光的电磁场峰值强度极高,使电子发生了隧穿。几种非线性效应的共同作用导致了一些宽禁带材料能够对超快激光进行吸收并发生电离。

在电离之后,大量自由电子会使材料通过库仑爆炸或静电烧蚀等非热过程发生材料的去除。通过对以上过程进行建模和数值计算,可以预测超快激光烧蚀材料的形貌以及激光在材料上的能量沉积^[70-71,78]。材料对超快激光的非线性吸收主要从多光子吸收开始。由于多光子吸收的吸收率与光强的 m 次幂(m 为一个电子需要吸收的光子个数)成正比,因此材料对光子的能量吸收分布相对于光场分布更集中,如图 7(d)中的分布曲线所示,可以实现超衍射极限的加工。同时,由于超快激光与材料的作用过程快于电子晶格的弛豫时间,即材料的去除快于发生热效应的时间,因此超快激光加工是一个非热过程,减小了对周围材料的热影响。另外,基于电子动态调控理论的飞秒激光时空整形可以进一步减小激光烧蚀对周围蛋白质材料的改性和热破坏。

5 超快激光调控结晶应用前景的展望

超快激光调控晶体形核生长过程与蛋白质晶体学的结合有着广阔的应用前景。蛋白质晶体学是生物学中的重要分支学科,主要研究蛋白质晶体的结构及性质。单晶 XRD 是蛋白质结构解析的主要方法之一,同步辐射光源的引入可以显著提升 XRD 技术的精度,这种方法在今后还将有更大的发展潜力。单晶 XRD 的前提是得到质量较高的单晶,通过超快激光调控晶体的形核与生长,不仅可以实现难结晶物质的结晶,还可以调控晶体的数量、大小、形状,在获得高质量单晶方面具有重要的应用价值。

超快激光调控结晶还可以被应用于生物制药等领域。由于一些有机药物存在不同的晶型,而不同晶型的物理化学性质不同,会影响药物的溶解吸收等过程,因此在制药中会倾向于得到某些特定晶型的结晶。超快激光调控结晶形核在这一领域的应用将会提高所需晶型的产率。另外,近年来纳米药物以其独特的物理化学性质和吸收率得到了许多研究人员的关注,研究人员将超快激光加工晶体材料的方法应用于制备纳米级药物晶体颗粒^[79]。

随着材料技术和生物医药的发展,生物材料基的微器件得到了越来越多的研究和应用^[80-82],如蛋白质/DNA 芯片^[83-84]、生物传感器^[85]等。超快激光微纳加工技术的发展,尤其是超快激光在生物材料晶体表面的微纳米图案化加工,为生物材料基微器件的制备奠定了基础。例如,超快激光在蛋白质晶体材料表面的微结构加工,可以被应用于蛋白质基微器件的制备,包括细胞支架^[86-88]、药物输运^[89]、生物传感器^[90-91]等的制备。此外,超快激光诱导的选择性结晶在蛋白质芯片、生物检测等方面也具有一定的应用价值^[92-94]。

超快激光调控晶体形核与生长的应用还存在着一些挑战,与传统的结晶方法以及超声等辅助手段相比,超快激光还存在成本高、效率低等问题,从而限制了它的大规模工业应用。随着多焦点阵列的并行加工等高效加工方法的发展,激光加工的效率问题将会得到改善^[95]。从另一角度来看,相比工业领域,超快激光调控结晶在前沿科研领域的应用优势更加显著,具有高空间选择性、适用材料范围广等其他结晶方法所不具备的优势。超快激光是制造领域的先进技术,它与生物、材料等学科中前沿研究的交叉融合,在未来的研究中

可能产生新的科研突破。

6 结束语

本文综述了超快激光在调控晶体形核和生长方面的研究进展;在诱导形核方面,已经实现了超快激光对结晶形核过程的调控,通过改变激光参数可以提高结晶率以及调控结晶的数量、大小和晶型;在控制晶体生长方面,超快激光可以通过对溶液或晶体的作用,调控晶体整体或某一晶面的生长速度。另外,采用超快激光对晶体直接进行加工具有高精度、低热影响的优势。目前,超快激光诱导晶体形核与生长仍然有一些问题需要解决:一方面,超快激光调控晶体形核生长的具体机理还没有得到很好的解释,这是因为超快激光与材料的作用过程存在多种机理的耦合,给激光诱导结晶过程的机理研究和参数设计带来了挑战;另一方面,超快激光调控晶体形核生长方法的应用受到成本和效率的限制,前沿的跨学科研究也存在一定局限。

总而言之,超快激光调控晶体形核与生长过程的研究具有重要价值,对超快激光与材料作用机理的深入探索以及对更多跨学科交叉应用的研究,将会使超快激光调控晶体形核与生长的技术取得新进展,在晶体学、大分子结构分析、生物制药等科研和工程领域发挥更多作用。

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Progress in Ultrafast Laser-Induced Nucleation and Crystal Growth

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Abstract

Significance Crystallization has applications in biomedicine, structural analysis, and other related fields. For example, single crystal X-ray diffraction (XRD) is a common method for the structural analysis of biomacromolecules. Polymorph crystallization is also of significance in the pharmaceutical industry. These applications require the number, size, and polymorph of the crystals to be determined. Conventionally, crystals are obtained by evaporation of a solution or via a batch cooling process. However, the complex nature of the crystallization process means that precise control of crystallization is difficult.

The crystallization process consists of two main stages: nucleation and crystal growth. When the concentration of a solute exceeds its solubility, the supersaturated solution is in a metastable zone. When the solute concentration reaches the supersaturation limit, nucleation occurs. The nucleus will then grow into larger crystals when the concentration drops back to the solubility level.

In recent years, various methods have been studied for controlling crystal nucleation and growth processes, including those involving lasers, ultrasonics, and electromagnetic fields (**Table 1**, **Table 2**). Among these methods, ultrafast laser, because of its ultrashort pulse width and ultrahigh peak intensity, interacts uniquely with the solution and crystals. It has advantages including limited thermal effects and can be applied to many materials. Therefore, the ultrafast laser method has been applied for the control of the crystallization process. In this review, we introduce the research progress of ultrafast laser-controlled crystallization. Many different methods and mechanisms of laser-induced nucleation and crystallization are discussed. Studies on effective control of the crystallization process will not only benefit the biomedical industry, but also shed new light on current academic crystallography research.

Progress The ultrafast laser-controlled crystallization process can be categorized into several different types depending on stage of crystallization where the laser is involved (**Fig. 1**). Ultrafast laser interaction with a supersaturated solution will induce the nucleation of crystals. Many different mechanisms contribute to this process, including laser heating of the substrate, formation of cavitation bubbles, and the electromagnetic effect. Local heating of the substrate or laser-induced cavitation in solution increases the local concentration and results in nucleation. Laser irradiation with lower power leads to electromagnetic field interactions with the solution or the heating of impurities within the solution. These methods are collectively known as non-photochemical laser induced nucleation (NPLIN) since the laser is not directly absorbed by the solution. The electromagnetic effects, including polarization and Kerr effects, reduce the energy barrier and enhance the nucleation rate (**Fig. 2**). Through these methods, researchers are able to enhance the nucleation probability, and control the number and size of the crystals. Most importantly, the spatial selectivity of laser radiation allows local nucleation while the global concentration is lower than the supersaturation limit. This means fewer initial nuclei compared to spontaneous nucleation, which further results in crystals with large size and high quality. Ultrafast laser irradiation can also influence the polymorph of nucleation and enhance the ratio of metastable crystal phases (**Fig. 4**). This is useful in biomedical research and within the pharmaceutical industry.

After the crystal nucleus dissolves out from the solution, laser interaction with the crystals or the surrounding solution can influence the crystal growth process. Laser irradiation of the solution can be performed to change the growth rate of crystals through a laser trapping phenomenon. For some organic materials, laser trapping increases the concentration at the focal point and accelerates the crystal growth. For some other materials, such as proteins, the electromagnetic field will keep the molecules and clusters in a low energy state and restrain the crystal growth. In addition to the control of the entire crystal growth rate, the growth of a specific crystal face can also be promoted.

Ultrafast laser ablation on a crystal surface alters the growth mode and enhances the growth speed of the specific crystal face(**Fig. 5**). This will be helpful in obtaining single crystals with ideal size and shape, which is crucial in single-crystal XRD and other biomedical applications.

Ultrafast laser processing on crystal surfaces can also be performed to achieve micropatterning on single crystals. Ultrafast laser ablation has high precision and has a limited thermal effect on the surrounding materials because of the nonlinear absorption effect and non-thermal ablation process. Therefore, it is suitable for the processing of thermally sensitive materials, including proteins, amino acids, and other biomaterials. Arbitrary micropatterns such as microarrays can be achieved on the surface of single protein crystals without thermal damage using femtosecond laser processing. Ultrafast laser cleaving of protein crystals can be performed to fabricate crystal seeds with high quality. Micropatterning on single crystals has potential applications in the fabrication of biological devices.

Conclusion and Prospect In conclusion, ultrafast laser can be used to control the nucleation and crystal growth processes. This approach is applicable for many biomedical fields because it can control crystallization and has limited thermal effects. Ultrafast laser control of the crystallization process still poses challenges such as lack of mechanism understanding and limits in practical applications. Future studies on its mechanism and cross-disciplinary collaboration will enhance the significance and application prospect of this method.

Key words laser technique; ultrafast laser; crystallization; nucleation; crystal growth

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